

fund action

**Institutional
Investor Events**

fund directions

**Register by
April 27th for
Early Bird
Discount**

PRESENT...

In Association with:



MUTUAL FUND DIRECTORS FORUM
The FORUM for FUND INDEPENDENT DIRECTORS

THE 4TH ANNUAL
**MUTUAL
FUND
FORUM**

MANAGING OPERATIONAL CHALLENGES TO
PREVENT RISK AND ENSURE PROFITABILITY

AND

THE 2ND ANNUAL
**FUND
GOVERNANCE
FORUM**

ADAPTING TO CHANGING EXPECTATIONS
FOR FUND DIRECTORS

JUNE 6, 2007

HARMONIE CLUB, NYC

JUNE 7, 2007

Event Highlights:

- Managing conflicts of interest within mutual funds
- Implementing a risk based approach for achieving compliance with 22c-2
- Successfully reporting up to the board
- Examining the use of derivatives within mutual funds
- Remaining competitive when outsourcing

Event Highlights:

- Director oversight of securities lending and derivatives strategies
- Examining the role of directors in approving Rule 12b-1 plans
- Effective director oversight of fund administrators
- Fund governance issues, including those raised in the AEI report

Don't Miss!
**The Latest on 22c-2, 12b-1,
Derivatives and More!**

These events are designed for all mutual fund professionals, specifically Fund Managers, Fund Directors and Chairmen, Operations Executives, Compliance Officers, Legal Counsel, Prime Brokers as well as Solution Providers and Service Firms.

**"Great, expert panelists and
speakers with excellent experience"**

– Steve Pierce, VP, Bisys

**"Covered a wide variety of topics, all specific to mutual
funds, which is rare"**

– Matthew Hemberger, CCO, Water Island Capital

1.800.437.9997 or 1.212.224.3570 or registration@iievents.com

WWW.IIEVENTS.COM