

# 3<sup>RD</sup> ANNUAL GLOBAL ETF AWARDS®

"How the industry measures excellence"

Dinner and Workshop  
Hilton New York  
April 26, 2007

## THE 3<sup>RD</sup> ANNUAL GLOBAL ETF AWARDS® DINNER AND WORKSHOP

brings together the industry's elite in celebrating the dynamic growth of the exchange traded funds market. The half-day Workshop, organized by exchangetradedfunds.com, The ONE and the Sponsors, provides educational sessions to an invited group of current and prospective ETF participants and investors. This year the Workshop offers sessions geared towards ETF developments in domestic and international markets.

### SCHEDULE OF EVENTS

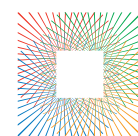
- 2:00 pm – 6:00 pm Investor and Industry Workshops  
6:00 pm – 8:00 pm Global ETF Awards® Dinner

[Click Here to View Agenda](#)

### CONFIRMED SPEAKERS INCLUDE

- Jeffrey L. Feldman, *Vice-Chairman*, X-SHARE (USA)
- Deborah Fuhr, *Managing Director – Investment Strategies*, MORGAN STANLEY (UK)
- Ozgur Guneri, *Fund Board Director*, DOW JONES INSTAMBUL 20 ETF (Turkey)
- Istvan Honics, *Director*, OTP FUND MANAGEMENT LTD (Hungary)
- Sanjiv Shah, *Executive Director*, BENCHMARK ASSET MANAGEMENT COMPANY PVT. LTD (India)
- Ahmed Maan Tabaqchali, *Capital Markets Advisor*, AL MAL CAPITAL PSC (UAE).
- Graham Tuckwell, *Chairman*, ETF SECURITIES (UK)

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MERGENT

STANDARD  
& POOR'S

### TICKETS

Dinner Only	US \$1,245
Workshop Only*	US \$595
Combined*	US \$1,345

\* Entrance to Workshop subject to The ONE's Approval

### NOTE:

Financial Planners are eligible for the following discounts:

\$200 off Dinner Only  
\$100 of Workshop Only  
\$350 off Combined

First ten qualified FPs to register will be complimentary. Subject to THE ONE's approval.

If you are interested in attending the **Global ETF Awards® Dinner and Workshop**, please contact:

The ONE at

info@the-o-n-e.com  
areyes@exchangetradedfunds.com  
1.973.895.0010  
www.exchangetradedfunds.com

Hosted By:

exchangetradedfunds.com

Organized By:

the **O**ptimal  
**N**etworking  
**E**vent LLC

# 3<sup>rd</sup> Annual Global ETF Awards<sup>®</sup> Dinner and Workshop

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The 3<sup>rd</sup> Global ETF Awards<sup>®</sup> Dinner and Workshop will bring together the industry's leaders in celebrating the dynamic growth of the exchange traded funds market. The half-day Workshop session is designed to educate industry participants and professionals looking to deliver services in the ETF marketplace.

## Workshop & Awards Dinner Program

1:30 REGISTRATION

## 2:00 – 5:30: INVESTOR DISCUSSION FORUM

2:00 INDEXING EVOLUTIONS–THE IMPACT ON ETF DEVELOPMENTS

Moderator:

David M. Blitzer, Ph.D. Managing Director & Chairman of the Index Committee,  
STANDARD & POOR'S

Panelists:

Jeffrey L. Feldman, Vice Chairman, X-SHARES  
Thomas Kuh, Managing Director, KLD RESEARCH & ANALYTICS, INC  
Vincent Lowry, Chief Executive Officer, VTL ASSOCIATES  
Michele McGruther ,Vice President, FTSE AMERICAS

3:00 TRADING STRATEGIES USING ETFs, CEFs AND DERIVATIVES–IT'S ALL ABOUT OPTIONS

Moderator:

Matt Moran, Vice President – Institutional Marketing, CHICAGO BOARD OPTIONS EXCHANGE

Panelists:

Stacey Ash, Investment Manager & Head of Marketing, IFUNDS  
Jeff Margolin, Vice President – Closed-End Fund Analyst, FIRST TRUST PORTFOLIOS L.P.  
Pietro Poletto, Head of ETF, Fixed Income and Securitised Derivatives Markets, BORSA ITALIANA

3:45 Refreshment Break

#### 4:00 MARKET MAKERS & SPECIALISTS–STRATEGIES FOR MAKING THE MARKETS

Moderator:

To be advised

Panelists:

Reggie Brown, Managing Director – Institutional Sales, LABRANCHE STRUCTURED PRODUCTS DIRECT INC

Robert Tull, Managing Director, Macro Market LLC

#### 4:30 USING ETFS IN 401Ks AND PENSIONS–WHAT YOU SHOULD KNOW

Moderator:

To be advised

Panelists:

Darwin Abrahamson, Founder and Chief Executive Officer, INVEST N RETIRE, LLC

Bruce Lavine, President and Chief Operating Officer, WISDOMTREE INVESTMENT INC.

Alvin H. Rapp, Chief Operating Officer, 401K RETIREMENT SOLUTIONS LLC

Kellie Thomas, Financial Advisor, METLIFE

## 2:00 – 5:30 INDUSTRY DISCUSSION FORUM

### 2:00 ETFs, ETCs, ETN's–SPECIALTY ETFs AND HYBRID PRODUCT DEVELOPMENT

Moderator:

Joseph Keenan, Managing Director, THE BANK OF NEW YORK

Panelists:

Anthony F. Dudzinski, CEO X-SHARES

Philippe El-Asmar, Managing Director – Head of Investor Solutions, Americas,  
BARCLAYS CAPITAL

James Ross, Senior Managing Director, STATE STREET GLOBAL ADVISORS

Graham Tuckwell, Chairman, ETC SECURITIES

### 3:00 ETF OPPORTUNITIES IN EMERGING MARKETS–CHANGES AND EXPANSION

Moderator:

Deborah Fuhr, Managing Director, Investment Strategies, MORGAN STANLEY

Panelists:

Ozgur Guneri, Fund Board Director, DOW JONES ISTANBUL 20 ETF

Istvan Honics, Director, OTP FUND MANAGEMENT LTD.

Sanjiv Shah, Executive Director, BENCHMARK ASSET MANAGEMENT CO PVT. LTD

### 3:45 Refreshment Break

### 4:00 CURRENT GLOBAL REGULATORY ENVIRONMENT AND ETF DEVELOPMENTS

Moderator:

Scott R. Ebner, Senior Vice President – ETF Marketplace, AMERICAN STOCK EXCHANGE LLC

Panelists:

John Li, Chief International Business Officer, CHINA ASSET MANAGEMENT

Peter Fredriksson, BAYMARKETS

George Simon, Partner, FOLEY & LARDNER LLP

### 5:00 GLOBAL TRADE ASSOCIATION – FIRST MEETING

Moderator:

Arlene Reyes, Chief Operating Officer, EXCHANGETRADEDFUNDS.COM

Panelists:

Sharon Highland, Chairperson and President, BARAK ASSET MANAGEMENT, LLC

Additional Speakers to be advised

## GLOBAL ETF AWARDS CELEBRATION & DINNER

5:30 Opening Cocktail Reception

6:30 Global ETF Awards® Dinner

Hosted By:

exchangetradedfunds.com

Organized By:



3rd Annual Global ETF Awards® Dinner & Workshop  
April 26, 2007  
Hilton New York

REGISTRATION FORM

**To Register:**

Call: 973-895-0010  
Fax: 973-895-0016  
Mail: The Optimal Networking Event  
P.O. Box 191  
Mt. Freedom, NJ 07970

**Venue Information:**

Hilton New York  
1335 Avenue of the Americas  
New York, NY 10019 USA  
Phone: 1-212 586-7000  
www.newyorktowers.hilton.com

**Participant Information:**

Prefix(i.e. Mr., Ms.): \_\_\_\_\_  
First Name: \_\_\_\_\_  
Last Name: \_\_\_\_\_  
Nickname for badge: \_\_\_\_\_  
Suffix: \_\_\_\_\_  
Direct Phone: \_\_\_\_\_  
Direct Fax: \_\_\_\_\_  
Email: \_\_\_\_\_  
Job Title: \_\_\_\_\_

Company: \_\_\_\_\_  
Department: \_\_\_\_\_  
Address 1: \_\_\_\_\_  
Address 2: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Province: \_\_\_\_\_  
Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_  
Company Phone: \_\_\_\_\_  
Company Fax: \_\_\_\_\_  
Company URL: \_\_\_\_\_

**Ticket prices (Financial Planners See Note Below):**

Dinner Only US\$1,2045  
Workshop Only\* US\$595  
Combined\* US\$1,3045

\*Entrance to Workshop subject to The ONE's approval.

**Payment Method (please check one):**

Company check  
(requires a credit card hold to complete registration)  
 Visa  MC  Amex

**NOTE:** Financial Planners are eligible for the following discounts: \$200 off Dinner Only; \$100 off Workshop Only and \$350 off Combined. Subject to The ONE's approval.

Card Number:
Exp. Date:
Name on Card:
Signature:

Please make checks payable to The Optimal Networking Event and write EV3 on your check.

All registrations made within two weeks of the conference must be made by credit card.

The ONE will send confirmation of registration to the email address listed above once credit card has been charged or check has been received.

**Cancellation Policy:**

Payment is due at time of registration. If you cancel four weeks or more prior to the event, a full refund will be provided. Cancellations occurring between two and four weeks prior to the event will be eligible for a partial refund equal to 25% of the ticket price. If you cancel within two weeks of the event, no refund will be provided.