



INFORMATION BARRIERS & ETHICAL WALLS



Securing Your Integrity

Law firms have the responsibility of protecting access to “sensitive” information under their care. Information can be deemed sensitive and require safeguarding for ethical and/or privacy reasons as defined by the firm itself, its clients, ABA guidelines, professional liability insurers, regulatory requirements or the courts.

Ethical Drivers

Taking in new business
Mergers and acquisitions
Lateral-hires

Privacy Drivers

Internal firm sensitive information
(e.g. human resource records)
Client specified
(e.g. intellectual property)

Marketing Drivers

Outside counsel mandates
RFP requirements (beauty contests)
Competitive differentiation

Lawyers are inherently ethical. The issue is that breaches occur unintentionally due to the high volume of electronic information residing in different repositories. For example, a lawyer may perform a keyword search in the firm’s knowledge management system and inadvertently be exposed to document headings and descriptions to which they should not have access. Or, a multi-purpose scanner may show a client/matter description that should have been protected. What’s more, most firm technology systems document access, leaving an audit trail of the innocent breach and a liability issue for the firm.

Securing information access across all firm personnel, offices and technology systems is no easy task. Manual systems can no longer keep up with the real-time and electronic nature of business today. Technology solutions, such as walls, available in existing firm systems (e.g. document management) are typically vendor-specific and not extensible to other business applications (e.g. time and billing). Further, walls are often applied inconsistently based on the perspective of the department creating the wall as opposed to a global risk management approach.

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Comprehensive Assurance

The CompliGuard™ Information Barriers & Ethical Walls system allows law firms to protect sensitive information and reduce risk exposure by establishing, maintaining, monitoring and updating screens from a single point of entry to consistently secure content across multiple firm systems in real-time.

CompliGuard sets the standard for comprehensive protection:

- **Needs-based Options**—multiple screen types available to match firm-specific confidentiality requirements
- **Flexible Use Scenarios**—automates inevitable and ongoing changes in team structure, including contract lawyers and other temporary help
- **Automated Oversight**—fast, automated screen creation, monitoring and updates stop potential breaches before they occur
- **Integrated Safeguards**—multiple system propagation (e.g. document management, conflicts, time and billing) extends confidentiality screens for consistent and solid protection
- **Compliance Auditing**—real-time reporting and alerts
- **Risk-based Perspective**—single point of entry for consistent screens maintained by risk professionals who understand liability exposure



Unparalleled Expertise

The CompliGuard™ Information Barriers & Ethical Walls system was developed by The Frayman Group, Inc., a consulting and software development company that provides process reengineering services and technology system development for law firms. The Frayman Group helps firms reduce risk, grow strategically and boost productivity. Led by LegalKEY® founder Yuri Frayman, our team of professionals has assisted more than 250 law firms worldwide in auditing their new business intake/acquisition processes, applying best practices, developing and implementing supporting technologies, and assisting with related training and change management.

For more information about The Frayman Group's services or products, please contact info@fraymangroup.com.

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business process assurance

1400 Avenue Z, Suite 404
Brooklyn, NY 11235
718-648-7700
www.fraymangroup.com