

**MarketACE™** is a web-based service that relieves compliance groups of the arduous and time consuming tasks of detecting the mis-use of non-public information. Refreshed daily, the database matching service is powered by technology that automatically consolidates events (ACE) and news and alerts when employee or client portfolio trading matches historical events or market actions, or both.

For regulatory audit purposes, it includes alerts and work flow management and data archiving. Market $ACE^{TM}$  complements both third party and proprietary employee pre-trade, ongoing surveillance and certifications solutions.

Primary data matching components of **MarketACE™**:

- Corporate insider transactions
- Company financials
- Corporate actions
- ETFs

- Analyst estimates and ratings
- Company earnings
- Company news
- IPOs

