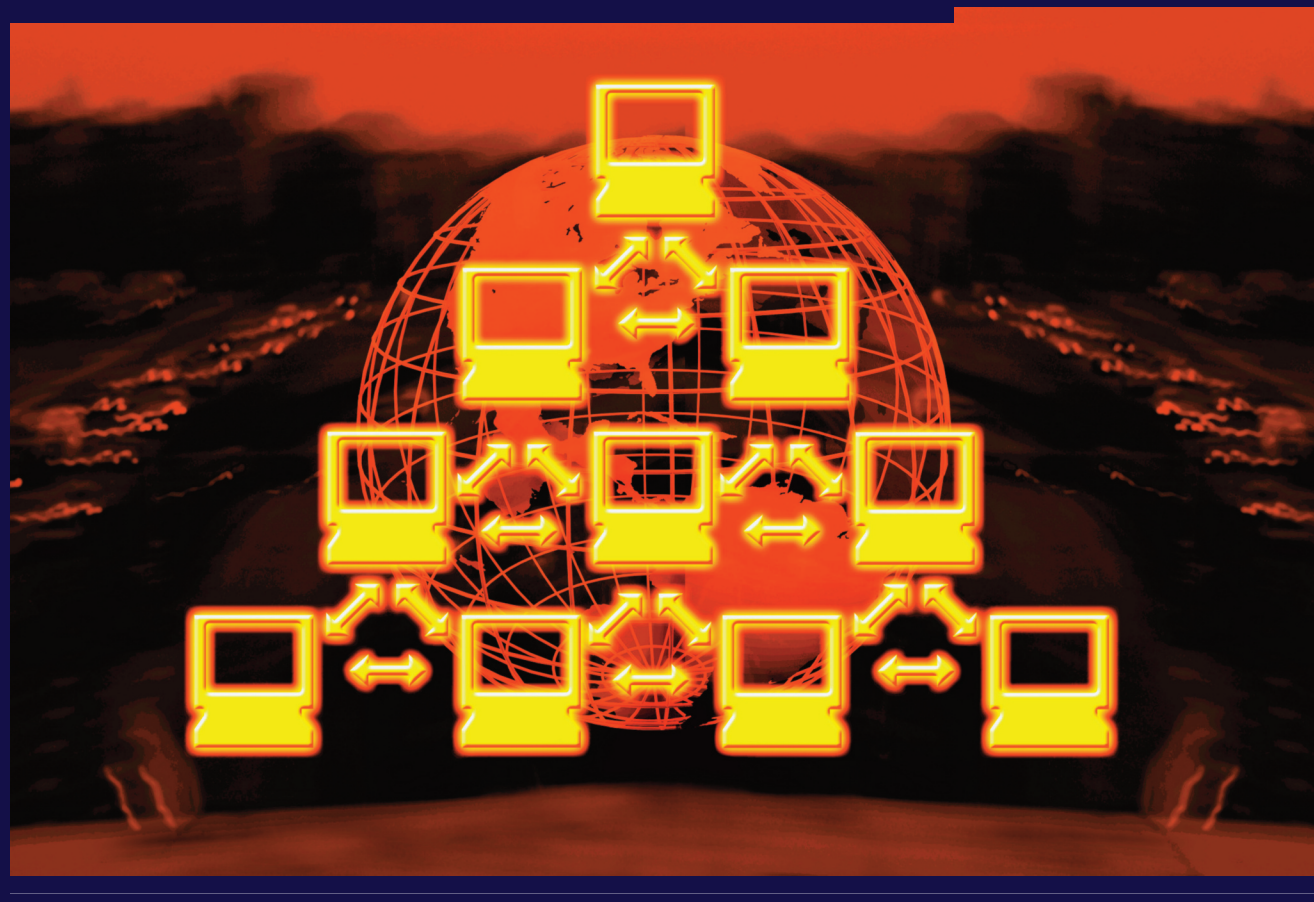


Employee TradeSphere™



Employee Tradesphere™ provides for pre-trade clearance, automated or manual, online certifications/attestations for a variety of documents and reports, ongoing trade surveillance and pre-gift clearance, and gift reporting and tracking. **FTT** monitors the transactions and holdings of employees (e.g. supervised, access and covered persons) investment account(s) vs. employee trading guidelines, and automatically alerts when an exception has occurred. Optional features include:

- Security master file refreshed daily
- Restricted, blackout and watch list(s)
- Outside business activity reporting
- On-demand custom reporting
- Auto reconciliation of trades not pre-cleared
- Unlimited document distribution and tracking
- Automated data feeds from broker/dealers
- Trades and holdings online certification
- Misuse of non-public information detection
- Excessive activity alerting
- Exception resolution and archiving capability

For additional information on Financial Tracking Technologies' automated, modular compliance capabilities, please contact Kelly Vales at 203-340-2356 x805 or kvales@financial-tracking.com.