

Valuation/Price Checking



FTT alerts when a security has a stale price or has become illiquid, or both. This enables the compliance officer to verify that the holding was appropriately valued by the pricing committee, AND that the valuation procedure reflects current market conditions. The system also provides for pricing alteration detection vs. pricing service files.

Further, this module monitors the sell price of a security vs. its valuation in prior days. The system will alert a valuation exception if the difference between the sale price and prior days' valuation falls outside client determined boundaries. A comprehensive report capability is included that provides for standard and custom reports, a report scheduler and report exports.

For additional information on Financial Tracking Technologies' automated, modular compliance capabilities, please contact Kelly Vales at 203-340-2356 x805 or kvales@financial-tracking.com.