

Ethics Panel Recommendations

Issue	Current FEI status	Ljungqvist Commission Recommendations	Ethics Panel Recommendations
STRUCTURES AND PROCEDURES			
Establishment of an FEI Integrity Unit	None	None although there is a clear path to rules revision and harsher sanctions will automatically result.	<p>This would report to the FEI President and the Bureau or Board. The IU would be outsourced to ensure independence, transparency, and no conflicts of interest. It aims to enable the FEI to be better positioned to control events, identify offenders, and monitor corruption and conflicts of interest.</p> <p>The IU would be present at events as deemed necessary. The IU would also consider and act if necessary on intelligence it received. A Hotline should also be considered to ensure anyone within the sport who had concerns regarding sporting integrity could report any issues and anonymously if desired. A key feature of the IU would be that it is not a judiciary body, this is the role of the Tribunal.</p> <p>The IU would monitor the quality of evidence handling and sample gathering. (also see FEI Laboratories recommendation)</p>
Effectiveness of the FEI Tribunal	FEI Tribunal	None.	<p>In line with the idea that 'justice delayed is justice denied', the FEI Tribunal should retain its independence but should ensure that it is composed of such number and quality of people to allow it to conduct its work more efficiently and quickly than is currently the case and aggressive timelines should be instituted for the settlement of cases for the benefit of the defendant and the prosecution.</p> <p>The members of the Tribunal should go through the Nominations Committee and may serve for a maximum of 2 x four year terms.</p>
Communicating Test Results and Sanctions Decisions	Instant reporting	Not applicable.	<p>The Panel recommends that a full review of the system be undertaken to establish protocols that have as their focus clarity and transparency in reporting to the President and the Executive, the PR, the NF's, the IOC and any other relevant persons or bodies should be notified in a timely manner as well the timelines for notifications.</p> <p>(note: the existing protocols of WADA to be considered in this process)</p>
Co-ordination	None	None.	It is recommended that the ultimate aim for the FEI is to ensure that National Federations apply the same rules and sanctions relating to doping as applied by the FEI.
<i>Philosophy</i> behind the medication list	Thresholds – listed under Annex II of the FEI regulations	The Ljungqvist report defines medication. An exhaustive closed list of medication (therapeutic, commonly used substances) will be published and reviewed annually by the List Advisory	The Panel recommends that relevant stakeholders, including potentially one or more Panel members, be part of the group responsible for establishing the list of substances and that this group should consider <u>prior</u> to the issue of the list of substances what the definition of a 'Performance Enhancing Drug' (doping) is, in relation to equestrian sport. A clear line should be established between trace amounts and performance enhancing (doping) levels.

		<p>Group .Newly identified substances may be added or removed from the medication list during the year provided a 90-day notice is given to stakeholders.</p> <p>Thresholds- additional thresholds for environmental substances are to be pursued.</p>	
Professionalisation of the sport	Partial	None.	The aim of the FEI should be that a greater number of roles should be professionalised by having paid officials.
Education	None.	<p>The Commission recommends:</p> <ul style="list-style-type: none"> - On-line training programme for riders (linked with the registration) and support personnel (i.e. grooms, Veterinarians,) - Print and DVD tools - Drug database - Website for Clean sport. <p>PR campaign working closely with NFs</p>	<p>The Ethics Panel supports proactive liaison by the FEI to promote education for athletes working in conjunction with the relevant athletes groups / clubs.</p> <p>The Panel recommends the establishment of a confidential FEI hotline for athletes and veterinarians to be able check on the regulatory implications of treatments advised by veterinary surgeons.</p> <p>The Ethics Panel recognises that a single issue education campaign for the public on the difference between medication and doping is not financially viable given the complexity of the issue.</p> <p>The Ethics Panel recommends that the FEI communicates the messages on doping through its on going communications with the public and that messaging on medication is an ongoing communication with internal stakeholders.</p> <p>The Ethics Panel recommends the production of an online and hard copy pamphlet outlining the rules and processes for doping and medication and this should include a clear definition of who is included in the group 'all support personnel' in relation to Persons Responsible.</p>
RULES			
New Anti-Doping Rules	TBC	Effective 1 January 2010	The Panel recommends that the deadline for all final changes is 18 months prior to any Olympic Games.
Rule Violation Punishments	TBC	New penalties in line with WADA	The Ethics Panel supports the recommendation of the Ljungqvist Commission
Rule Book	Various	Renewed version of FEI competitor guide to Doping & Medication Control (a FAQ document)	<p>The FEI should simplify and streamline the rule change process and the rule books.</p> <p>The FEI should create an easy to use 'guide' / FAQ's on rules by 1January 2010 using the website and creating links to relevant information</p>

GENERAL ANTI-DOPING MEASURES			
FEI Laboratories	Currently trying to standardise	<p>Addressed by the Commission all labs to be standardised.</p> <p>Code of conduct template established and harmonised between the FEI and the labs. PR witness to sign the template prior to his/her attendance of the sample analysis. FEI should appoint an expert independent witness to attend the analysis of the sample to counter any adverse effect of the PR witness.</p> <p>Swabbing kits should be improved to allow for better preservation of volatile substances.</p> <p>A protocol to be included in the contract with the lab, whereby upon reporting an adverse analytical finding, the lab analyzing the A sample will notify the FEI immediately and automatically send the B sample to a second lab chosen by the FEI</p>	<p>The Ethics Panel supports the harmonisation of the standards of the laboratories and supports the work done in this area by the Ljungqvist Commission.</p> <p>The Panel recommends that further work is done to assess the risks and potential weaknesses of sample tampering and the physical security of samples.</p> <p>The Ethics Panel wishes to see that the highest standards of security levels and evidence handling techniques are attained and that should become part of IU activities on an ongoing basis. (reflected in the Integrity Unit recommendation)</p>
Medication Control Programme (MCP)	(See right)	The report on the Clean Sport MCP Working Group foresees the Global MCP program being introduced in three phases commencing with phase 1 for Groups I and 2.	<p>Global MCP should be introduced.</p> <p>(Note: whilst not the responsibility of the Ethics Panel, the system of financing the new MCP system must be realistic and should not interfere with the development of the sport or lead to the number of international events being reduced.)</p>
Anti-Doping List Review	None	Recommended in line with WADA	The Ljungqvist Commission recommends an annual review of the anti-doping list. The Ethics Panel recommends that the review is conducted with representatives of all stakeholders.
Protocols	None	None	<p>Urgent review of protocols for all anti-doping testing. This is to include assessment of veterinarians' conflicts of interests and consideration of using independent vets, for example from universities.</p> <p>Establish a protocol for riders to register treating veterinarians at competitions within the FEI compound.</p>
Out of Competition Testing	<p>Article 1016.6.4 FEI Veterinary rules states:</p> <p><i>"Horses may be sampled</i></p>	<i>"A provision should nevertheless allow the FEI to perform out-of-competition testing providing proper procedures are first established and</i>	<p>The Panel recommends that a list of specific doping substances should be agreed that could be tested for in out of competition environments.</p> <p>The Panel recommends the establishment of an FEI IT based data bank for the storage and</p>

	<i>out of competition at the Direction of the FEI Veterinary Department"</i>	<i>communicated for such testing".</i>	analysis of MCP and Medication / doping information.
Medication Log Book or 'Stable Books'	None	None	A medication logbook should be obligatory for all horses competing internationally.
COMPETITION CONTROL MECHANISMS			
Course Designing	No control	None.	The Panel recommends that every five years the President and the Bureau / Board appoint relevant stakeholders to evaluate the demands placed on horses in international competition. A similar evaluation should take place in disciplines other than those involving courses with obstacles
Stewarding	FEI Stewards Manual. Only person requiring qualification is Chief Steward. That person is rarely standing in the practice arena. Stewarding is being completely restructured by the FEI.	In the Final report under Chapter III Evidence para 2.2 Education. More investment is necessary in the area of prevention of abuse in general, one important of which is education of the relevant personnel (Stewards in Particular) on evidentiary matters so as to successfully prosecute such cases.	The role of the Chief Steward to be increased within competition organisations For higher level events consideration should be given to appointing a foreign individual as chief steward to ensure impartiality. The Ethics panel recognises: <ul style="list-style-type: none"> - The need for the education of stewards to be upgraded - For the selection of stewards to FEI lists to be stricter - For a random selection process in appointments to higher level competitions - For more stringent procedures in maintaining levels of competence.
Show Vets	None	None	The Ethics Panel recommends that an FEI steward must be present when a horse is being treated by a veterinarian.
Copy of Authorised Medication Form for National Federations	Nothing in place	Not addressed.	The Ethics Panel recommends that a mechanism needs to be introduced whereby teams, and in effect vets and riders, receive hard copy permission (a receipt) from the FEI Delegate Vet to use medication at events. The declaration system needs to be harmonised for all FEI events ensuring that there is no difference in procedures, documentation, criteria for authorisations and its application between events of different categories. There should be a centralised system at the FEI providing clarity, consistency and control over veterinary decisions at international events.
Yellow and Red Cards for Unsporting Behaviour	Yellow card exists	Not applicable.	Recommend a review of the yellow card system, the use of yellow and or red cards for unsporting behaviour, bringing the sport into disrepute, and also to extend the list of those individuals that can receive these sanctions.

EVENT SECURITY CONTROLS			
Stable Security	Enforced.	None.	<p>Stable security needs to be at a much more sophisticated and effective level than at present through stewarding.</p> <p>The introduction of CCTV surveillance system should be examined by independent professionals, in discussion with Show Organisers and FEI.</p> <p>The deadline for delivery of findings is in time for inclusion within the FEI General Assembly November 2009.</p> <p>This recommendation is applicable to security controls at: Olympic and regional Games; FEI Championships; and CSIO's.</p>
Accreditation	<p>Veterinary Regs, Riders, Grooms, Chef d'Equipe, owners 2 per horse, vet, driver.</p> <p>TD, Members of the Ground Jury and Appeal Committee, Stewards, Vet Commission / Delegate, treating vet, Medication Control Officers, Team Vets and accredited individual Competitor's private vets, Official Farrier and private farriers, PR for the horse, maximum 2 owners per horse. Chefs, trainers and grooms. Horse transport agent, Physical therapists registered with Vet Commission.</p>	None	<p>The Ethics Panel recommends that the official accreditation list for stable access needs to be reviewed and only those truly authorised to receive accreditation should receive accreditation.</p> <p>Consider the accreditation protocols to ensure the necessary interaction between riders and support personnel (in potential a 'mixed zone') with a view to ensuring stable access to a restricted list.</p> <p>This recommendation is applicable to all events.</p>
PERSON RESPONSIBLE			
Expanding the list	<p>Covered in FEI Rules:</p> <p>This is covered under GRs Article 118 page 22 the current article reads as follows "The person responsible shall be the</p>	<p>Pg 9 & 10 Para. 2.3</p> <p>The PR will continue to typically and primarily be the rider who rides or drives the horse during the event. Comment 2.3 – the current GR's state</p>	<p>The Panel recommends that the accreditation procedure for competitions clearly states that the receipt of an accreditation means that the person accredited agrees to abide by FEI rules and therefore can be deemed, should the event arise, to be a Person Responsible.</p>

	<p>athlete during an event. But the owner and other support personnel including but limited to grooms and veterinarians can be regarded as additional PRs if they are present at the event or have made a relevant decision about the horse.”</p>	<p>that the PR shall be the athletes who rides or drives the horse during an event, but the owner and other support personnel including but not limited to grooms and veterinarians may be regarded as additional PR if they are present at the event or have made a relevant decision about the horse.</p> <p>Under 2.4 – Discipline measures (sanctions against other parties) – 2.4.1 owners / horses. In order to reflect the owners part of the responsibility and in order to allow for relevant sanctioning if applicable, horse owners should be given the right to be heard (if possible concurrently) with the athlete during the prosecution process. The sanction applied to a horse owner may also be the suspension of his / her horse. The rules will also include a special provision for borrowed horses. Veterinarians 2.4.2 – FEI Veterinarians may be removed from the list of FEI Veterinarians. Team Veterinarians who do not fall under the jurisdiction of the FEI may be banned from the FEI competition venues (the latter could apply to trainers and others as well).</p>	
Grooms	(see Expanding The List)	Not covered	<p>Grooms activities need to be more carefully monitored and controlled.</p> <p>The Panel recommends that the rules specify that injectable medications may only be given by a treating veterinarian registered with the Organising Committee.</p> <p>Riders should ensure management of their stables. The introduction of a Medication logbook system would assist the riders in controlling their stable management. (see Medication Log book / stable books)</p>