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Importer Security Filing (10+2)

ISF 10+2 Summary

Importer Security Filing, which you probably know as 10+2, is a Customs and Border Protection (CBP) regulation requiring importers and vessel carriers to provide advance data elements to CBP for non-bulk cargo shipments arriving into the United States by vessel. The focus of this initiative is to improve CBP's ability to recognize high-risk shipments in advance to ensure the safety of America's borders.

Data Elements

10+2 Importer Security Filing went into effect on January 26th, 2009. CBP is requiring importers and carriers to transmit these additional data elements 24 hours prior to vessel lading.

Importers (10)

- ⊙ Manufacturer Name & Address,
- ⊙ Seller Name & Address,
- ⊙ Container Stuffing Location,
- ⊙ Consolidator Name & Address,
- ⊙ Buyer Name & Address,

- ⊙ Ship to Name & Address,
- ⊙ Importer of Record #,
- ⊙ Consignee #,
- ⊙ Country of Origin of the Goods,
- ⊙ 6 Digit Commodity #.

Carriers (2)

- ⊙ Vessel Stow Plan,
- ⊙ Container Status Message Data.

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ISF Mitigation Guidelines

- We are nearing the 11th hour of ISF. The mitigation guidelines were published in Customs Bulletin & Decisions on July 17th.
- Four factors may result in liquidated damages. These include; failure to submit, late submission, failure to update or failure to withdraw. Penalties will be assessed as $5,000 per ISF transmission up to $10,000,
- **6 Mitigation Factors:**
  1. Progress of the importer's ISF program,
  2. Percentage of ISFs containing violations,
  3. Tier 2 & 3 C-TPAT members will receive consideration up to 50%,
  4. Action taken by the importer after a violation has occurred,
  5. Circumstances beyond the importer's control,
  6. Inaccurate info received by another party w/i the supply chain.

ISF Report Card

U.S. Customs will be providing ISF report cards to importers who request them. This is to be used as an educational tool for reflection on the ISFs filed by the importer. Since we are still in a non penalty phase ISF filers should be using this report to understand the timeliness and accuracy of their filings.

To register for your ISF report please send an email to U.S. Customs at progress_report@cbp.dhs.gov.

The email should include:
        1) Filer's corporate name
        2) Filer code used for filing ISF
        3) Point of Contact
        4) Point of Contact's telephone number

U.S. Customs and Border Protection

Importer Security Filing (ISF-10)

Progress Report

Filer Code: **XYZ**

Filer Name: **Company XYZ**

IOR Number: **11-111111100**

Importer Name: **Company XYZ Imports LLC**

1. ISF-10 Submission Volume:

Submission Status	Message Format	Message Action	Year-Month					Total
			2008-01	2008-02	2008-03	2008-04	2008-05	
Accepted	ABI - CATAIR SF (Stand-alone)	Add	1	72	153	127	36	389
Accepted	ABI - CATAIR SF (Stand-alone)	Replace		63	195	104	31	393
Rejected	ABI - CATAIR SF (Stand-alone)	Add		15	11	3	2	31
Rejected	ABI - CATAIR SF (Stand-alone)	Replace		1				1
Total			1	151	359	234	69	814

2. Rejection Error Frequency:

Submission Status	Message Format	Error Nbr	Error Message	Year-Month				Total
				2008-02	2008-03	2008-04	2008-05	
Rejected	ABI - CATAIR SF (Stand-alone)	117	DUPLICATE ISF TRANSACTION	14	6	2	1	23
Rejected	ABI - CATAIR SF (Stand-alone)	154	INVALID HOUSE OR REGULAR BOL NUMBER	1	2			3
Rejected	ABI - CATAIR SF (Stand-alone)	304	MANUFACTURER NAME/ADDRESS REQUIRED		1			1
Rejected	ABI - CATAIR SF (Stand-alone)	402	MIN. ONE COMMODITY RECORD REQUIRED		1			1
Rejected	ABI - CATAIR SF (Stand-alone)	404	INVALID HARMONIZED NUMBER	1	2	1	1	5
Total				16	12	3	2	33

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Progress Report

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IOR Number: **11-111111100** Importer Name: **Company XYZ Imports LLC**
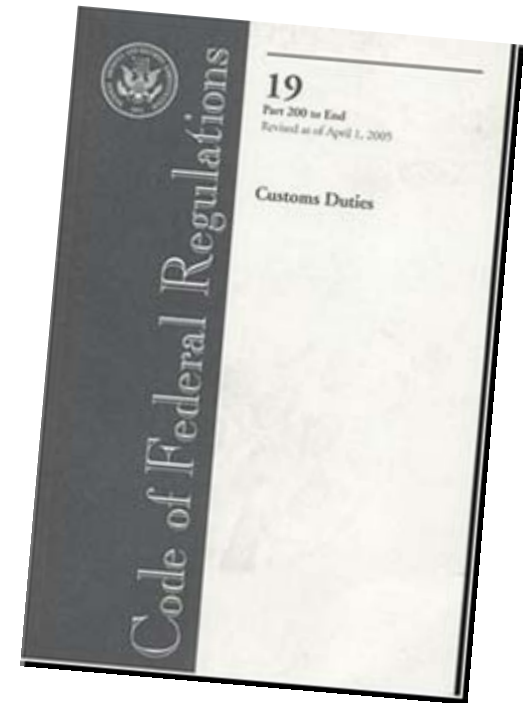
3. Timeliness Performance:

ISF-10 submissions subject to measurement *	Year-Month					Total	Pct
	2009-01	2009-02	2009-03	2009-04	2009-05		
Filed within/prior to Bill File Date		39	103	66	13	221	88.0%
Filed After Bill File Date	1	2	7	17	3	30	12.0%
Total	1	41	110	83	16	251	

Measurement to first bill file date (which may be filed more than 24 hours prior to lading)

ISF-10 submissions not subject to measurement	Year-Month					Total
	2009-01	2009-02	2009-03	2009-04	2009-05	
Unmatched ISFs / Amendments / Deletions / Rejections		110	250	151	53	564
Total		110	250	151	53	564

CBP & Implementation

- <u>Publication Date:</u> November 26, 2008

- <u>Effective Date</u>: January 26, 2009

- <u>Compliance Date</u>: January 26, 2010
  - The 12 month period may be extended if circumstances warrant.
  - No liquidated damages assessed for not meeting the new requirements
  - No "do not load" (DNL) holds for compliance issues

- Flexible Enforcement & Structured Review Period

10+2 and TRG Direct

TRG Direct is committed to making the transition into Importer Security Filing as seamless and simple as possible for importers. Handling your normal entries and ISF entries in-house is the solution to adhering to U.S. Customs compliance.

Pricing:
Consumption Entry: $20/entry + $1,200 for high volume filers
                          $30/entry for low volume filers
                          Start Up Fee: $2,500/Onsite Training

ISF Entry: $3/entry if filing consumption entries with TRG Direct
           $5/entry if only filing ISF entry with TRG Direct
                 *$250 minimum billing if not filing consumption entries
                 *No Start Up Fee

TRG Direct System Features

- Completely Web-based,

- Templates are Available for Repetitive Entries,

- Ability to Engage in an ISF Only Application,

- ISF & Consumption Entry Filing Packages Available,

- Allow Access to Multiple Users and Control their User Rights,

- Provide Access to your Vendors for their Data Entry,

- Edit an ISF at no Additional Charge,

- Receive Automatic Status Updates on your ISF via Email,

- Monthly User Group Webinars at no Additional Charge,

- XML Data Schema Available at no Charge.

Online Demo
www.trgdirect.com

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Vendor Compliance Program

In partnership with our ISF self-filers, TRG Direct is implementing a series of webinars for overseas vendors at no cost to our customers,

The webinars will cover

- ◉ US Vendors moving toward contractual relationships for compliance,

- ◉ Vendor roles in the Importer Security Filing,

- ◉ A background on ISF,

- ◉ In depth look at each data element required in the ISF,

- ◉ Supplier Processes Needed to help a US Importer comply,

- ◉ Questions & Answers.

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User Group

- Monthly webinars are hosted by TRG Direct for you to meet with your direct filing peers to gain knowledge of other user's experiences,

- You can download the audio from past user group meetings online,

- Provide us with ideas on how we can make our system better for you.

Download Past
User Group
Audio Recordings

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Getting Started

Documents Required

1. Power of Attorney (POA)
2. Account Billing Information
   • ACH or Credit Card

Paperwork available online start filing today!

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