# SOLUTIONS FOR COMPLIANCE & RISK MANAGEMENT

### WHAT WE DO

Neota Logic builds software with which the knowledge of experts can be delivered in an operationally useful form—as applications embedded in business systems or consulted interactively in a browser.

Neota Logic transforms expertise into answers and action in law, compliance, risk management, accounting, human resources, environmental regulation, medicine and other fields.

Neota Logic applications improve the quality, consistency and speed of decision-making, reduce risks and reduce costs.

#### How We Do It

Our technology, the Neota Logic System, solves problems in many fields just as Microsoft Excel solves financial and numerical problems—without programmers, quickly and efficiently.

The Neota Logic System combines individually powerful reasoning methods—decision trees, decision tables, if/then rules, calculations, weighted factors, case-based reasoning and others—into a single expert engine that can manage problems of great complexity and subtlety for thousands of users and millions of transactions.

Our consultants build expert applications with your domain experts. We host your applications, and integrate them with your systems.

### NEOTA LOGIC SOLUTIONS

Neota Logic currently serves these core communities:

**General Counsel** – Reduce corporate risks and costs, focus resources on the most critical matters, deliver guidance to business people across the company cost-effectively, and embed legal rules in operational systems.



Law Firms — Deliver advice in a form more useful to clients, leverage lawyers' expertise more effectively, and prosper in a world of Alternative Fee Arrangements.

**Compliance & Risk Management** — Reduce corporate risk, deliver policy guidance before problems arise, and audit business activity for compliance with laws, regulations and company policy.

**Human Resources** – Improve service to employees, reduce corporate risks, and deliver just-in-time guidance to managers on policy and practical questions.

## QUESTIONS

Neota Logic applications answer questions like these:

What is the problem? The solution?

Is this permitted?

Regulatory and policy compliance

What is our risk exposure?

Transaction & activity audits

What do we need to do?

Intelligent checklists

Do we have all the facts?

Guided interviews

Have we considered all the issues?

Decision support

What's the answer?

Virtual advisors

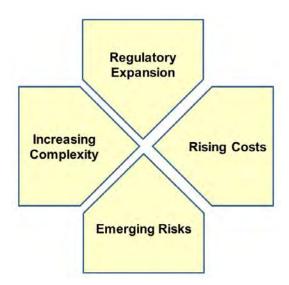
Neota Logic applications can provide situation-specific, precise, detailed answers to questions on a wide range of topics.

## SOLUTIONS FOR COMPLIANCE & RISK MANAGEMENT

Compliance and risk management work has never been more challenging.

With increased outsourcing comes increased risk. Compliance officers are expected to use their finite resources to implement effective compliance policies that both manage risk and promote a more "risk intelligent" culture. They are expected to interpret and implement increasingly complex and costly regulatory requirements while facing a rising time/cost burden from generating and reporting data to federal and state regulatory agencies.





Companies can streamline some of these processes and reduce risk exposure by exploiting advanced technology to systematize aspects of compliance programs and packaging such systems for use by internal support teams and clients. Neota Logic's technology enables a systematic approach to remarkably complex areas of expertise, far beyond what can be done with business rules, collaboration, project management and workflow tools alone.

With Neota Logic applications, company compliance and risk management departments will:

*Get advice from compliance experts* in an operationally useful form — Using outsourced compliance experts is time-consuming and costly. Rather than receive a flock of memos from outside regulatory counsel, organizations can ask their outside counsel to build expert applications that deliver advice in a useful, practical form.

*Improve implementation of compliance programs* — Effective enforcement of a compliance program is an increasingly difficult mandate. Companies can increase adoption and reduce potential risk by delivering situation-specific advice through interactive applications on the company intranet. Managers and other business people can get consistent and swift guidance around the clock and across the globe.

**Reduce non-compliance risks** — Neota Logic applications can provide accurate, consistent and swift guidance to business people *before* problems arise, at a time when mistakes are easier to prevent and corrective actions can be taken economically.

**Reduce implementation costs** — Compliance programs must be communicated, applied, monitored and audited. Applications can gather and convey relevant information, organize the information in a report, and e-mail the inquiry and supporting information to compliance officers or counsel for review and action.



*Leverage in-house compliance officers' expertise* more effectively, without adding staff — Neota Logic applications can document and deliver the best thinking of the company's best experts, anywhere, anytime.

#### ABOUT NEOTA LOGIC

Neota Logic is a privately held company based in New York City. The company's principals are lawyers with broad experience in both law practice and technology. Our software development and professional services teams are highly experienced. Neota Logic Server is the newest version of proven technology in daily use in mission-critical applications. We build software and, with our software, build applications for clients. Applications are hosted in a world-class cloud data center.

#### **CONTACT**

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