



Wealth Management
Systems Inc.



Wealth Management Systems Inc. (WMSI) created **Rollover Central** to make IRA rollover services directly accessible to participants at the plan level – enhancing distribution services and rollover opportunities along the way.

Rollover Central is a rollover servicing platform that will revolutionize the way clients and prospects are serviced.

Leveraging multiple service channels – Web, Call Center and Advisor – **Rollover Central's** client-centric model makes it easier than ever to capture dollars and maximize rollover opportunities resulting from 401(k), 403(b), 457(b) and other qualified retirement plan rollovers.

What Rollover Central Can Do for You

Rollover Central connects the processing platforms of Third Party Administrators (TPAs) and the account opening systems of IRA providers, so that plan participants can open IRAs and effect distributions in a single, real-time IRA rollover process – quickly, easily and securely.

Our platform allows advisors to market IRA services to key rollover candidates on a timely basis as they make distribution decisions. Rollover Central also supports various business models so you can:

- **Expand Plan Level Service Offerings:** Improve the participant experience by providing access to rollover services at the plan level to preserve retirement savings and expand revenue opportunities
- **Provide Access to Educational Content:** Through the Rollover Central Learning Center and How It Works features, users can learn about all their distributions options through FAQs, Videos and articles. Users can also shop and compare multiple IRA options at once, to select the option that best meets their needs.
- **Streamline Processing:** Create a simple, single round-trip IRA account opening process to help facilitate the flow of revenue while reducing processing inefficiencies and time out of market
- **Enhance Asset Retention Strategies:** Use Rollover Central to promote proprietary products and branding to retain more assets in-house

- **Improve Asset Acquisition Efforts:** Promote IRA products and services via the WMSI IRA Marketplace, an online service that is connected to recordkeepers servicing 12 million+ participants – and growing

And, through Rollover Central, assets are transferred directly to IRAs, usually within 3 to 5 business days, so participants don't have to handle checks or risk spending time out of the market.

Offer Participants a Choice

To help participants meet their retirement savings goals, WMSI's IRA Marketplace provides participants with a wide range of IRA and annuity options to fit any life stage and risk tolerance including:

IRA Providers

- American Century Investments
- Charles Schwab
- DWS Investments
- E*TRADE Financial
- Fidelity Investments
- Genworth Financial Wealth Management
- Merrill Lynch
- Morgan Stanley Smith Barney
- Scottrade
- TD Ameritrade
- T. Rowe Price
- USAA

Immediate Fixed Annuities

- Income Solutions®, an annuity purchase program of Hueler Investment Services, Inc.

WMSI can customize IRA offerings at the plan level based on account balance and other segmentation criteria to address the needs of IRA Providers, Recordkeepers and Financial Advisors alike.

In recognition of the hosting role TPAs and Recordkeepers play in offering rollover services to their retirement plan clients, WMSI has created opportunities within all of our rollover programs to help defray service costs.

Learn More Today!

Contact us at **(973)241-5882** or **sales@wealthmsi.com**

Securities products and services are offered by American Century Services, LLC, Charles Schwab & Co., Inc., DWS Investments Distributors, Inc., E*TRADE Securities LLC, Fidelity Brokerage Services LLC, Genworth Financial Wealth Management, Merrill Lynch, Morgan Stanley Smith Barney LLC, Scottrade, Inc., TD Ameritrade, Inc., T. Rowe Price Investment Services Inc. and USAA Investment Management Company. Products available through Income Solutions® are immediate fixed annuities, which are regulated on a state-by-state basis. These providers are all separate and unaffiliated companies. Financial services to these firms are provided by WMSI Securities LLC, a wholly owned subsidiary of WMSI and member FINRA/SIPC. Neither Wealth Management Systems Inc. nor WMSI Securities LLC is affiliated with any of the providers listed above.

© Copyright 2012 Wealth Management Systems Inc.



**Wealth Management
Systems Inc.**