# UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA

UNITED STATES OF AMERICA, ex rel. MICHAEL LINDLEY	) ) RELATOR'S FIRST AMENDED
Plaintiff,	) COMPLAINT
v.	) )
THE GALLUP ORGANIZATION,	)
Defendant;	) )
MICHAEL LINDLEY,	) )
Plaintiff,	) Civil Action No.: 1:09-CV-01985 (ABJ)
v.	) )
THE GALLUP ORGANIZATION,	) JURY TRIAL DEMANDED
Defendant;	) )
UNITED STATES OF AMERICA,	) )
Plaintiff,	) )
V.	) )
TIMOTHY CANNON,	) )
Defendants.	) ) )

# RELATOR'S FIRST AMENDED COMPLAINT (False Claims Act, 31 U.S.C. §§ 3729 et seq., and Common Law)

### **Preliminary Statement**

Relator's First Amended Complaint alleges that The Gallup Organization -- the company that promotes itself as "the most trusted name in polling" -- and its management have defrauded

the United States Government by knowingly submitting false and inflated labor hours and cost estimates in connection with price negotiations for fixed-price contracts, and by obtaining Government contract work through corrupt practices. Michael Lindley, a Gallup employee, spent months trying unsuccessfully to get his superiors to stop the fraudulent conduct. Finally, he told management that if Gallup did not cease its fraudulent conduct, he would personally report the misconduct to the Department of Justice. The next day, Gallup's chief counsel fired Lindley and told him: "When you start talking about going to the Department of Justice, we don't trust you anymore." Relator Michael Lindley, by the undersigned counsel, brings the *qui tam* claims in this First Amended Complaint on behalf of and in the name of the United States of America, incorporating herein the False Claims Act allegations against Gallup in the United States' Complaint in Intervention, and brings the retaliatory discharge claims in this lawsuit on his own behalf, and alleges:

### **JURISDICTION AND VENUE**

- 1. Counts I and II are civil actions by Relator Michael Lindley, acting on behalf of and in the name of the United States, against Defendant The Gallup Organization ("Defendant" or "Gallup") under the federal False Claims Act, 31 U.S.C. §§ 3729-3733. This Court has jurisdiction pursuant to 28 U.S.C. §§ 1331 and 1345, and 31 U.S.C. § 3732(a).
- 2. Count III is a civil action by Relator Michael Lindley against Defendant under the "whistleblower protection" provision of the federal False Claims Act, 31 U.S.C. § 3730(h). This Court has jurisdiction pursuant to 28 U.S.C. § 1331, and 31 U.S.C. §§ 3730(h) and 3732(a).
- 3. Count IV is a civil action by Relator Michael Lindley against Defendant under the common law of the District of Columbia. This Court has supplemental jurisdiction over this claim pursuant to 28 U.S.C. § 1367.

- 4. This Court has personal jurisdiction over the Defendant pursuant to 31 U.S.C. § 3732(a), because the Defendant is located and transacts business in this judicial district.
- 5. Venue is proper in this judicial district pursuant to 31 U.S.C. § 3732(a) and 28 U.S.C. §§ 1391(b) and (c), because the Defendant is located and transacts business in this judicial district, and some of the alleged acts proscribed by 31 U.S.C. § 3729 and § 3730(h) occurred in this judicial district.
- 6. None of the allegations set forth in this First Amended Complaint is based on a public disclosure of allegations or transactions in a criminal, civil, or administrative hearing, in a congressional, administrative, or General Accounting Office report, hearing, audit, or investigation, or in the news media.
- 7. Relator Michael Lindley has direct and independent knowledge, within the meaning of 31 U.S.C. § 3730(e)(4)(B), derived through his employment with the defendant, of the information on which the allegations set forth in this First Amended Complaint are based, and he has voluntarily provided the information to the Government prior to the filing of this lawsuit and prior to any public disclosures of the allegations or transactions set forth herein.

### PARTIES AND OTHER RELATED PERSONS

8. Plaintiff Michael Lindley ("Relator") resides in Washington, D.C. From February 24, 2008, until July 24, 2009, the Defendant employed Relator as its Director of Client Services. During that time, Relator learned about the conduct set forth herein. Relator's performance record with Defendant was exemplary. Defendant terminated Relator's employment after he complained to Gallup management about Defendant's violations of the False Claims Act and stated that if Gallup did not stop its fraudulent conduct and disclose it to the Government, he would report these matters to the Department of Justice.

- 9. Defendant The Gallup Organization ("Gallup" or "Defendant") is a privately held company with its world headquarters located at 901 F Street, NW, Washington, D.C. 20004. For more than 70 years, Gallup has provided opinion polling and other consulting services. Gallup received more than \$300 million of annual revenues in the calendar year 2008, much of which was from contracts to perform services for various agencies of the United States Government. The Chairman of the Board, President, and Chief Executive Officer of Gallup is Jim Clifton. Gallup's Chief Counsel is Steve O'Brien.
- 10. Relator re-alleges and incorporates the allegations contained in paragraphs 1-14 of the United States' Complaint in Intervention as if fully set forth herein.

### GALLUP'S VIOLATIONS OF THE FALSE CLAIMS ACT

11. Relator re-alleges and incorporates the allegations against Gallup contained in paragraphs 15-152 of the United States' Complaint in Intervention as if fully set forth herein.

### GALLUP'S WRONGFUL DISCHARGE OF RELATOR

### Lindley Starts Work in Gallup's Government Division

- 12. On February 24, 2008, Relator Michael Lindley started work at Gallup as Director of Client Services in Gallop's Government Division in Washington, D.C. This was a new position at Gallup that required Lindley to assist in the development of new Government proposals and gave Lindley a perspective on Gallup's bidding and pricing activities involving Government contracts.
- 13. Lindley initially reported directly to F. Warren Wright, who was the managing partner in charge of Gallup's Government Division.
- 14. As Director of Client Services, Lindley assisted all Gallup Government Division partners, including Sameer Abraham and Timothy Blass, in preparing cost estimates for budgets

submitted to government agencies on proposals and contracts. Lindley worked on cost estimates and budgets for several Government projects, including projects with the U.S. Mint, the U.S. Department of State, and the Federal Emergency Management Agency ("FEMA").

- 15. After the award of a Government contract, Lindley also assisted Gallup's Government Division partners in preparing internal budgets that included Gallup's estimated labor hours and direct costs. These budgets were entered on Gallup's project management system, known as \$2000. During the performance of Government contracts, Lindley tracked whether the actual hours and direct costs expended by Gallup were in line with Gallup's internal budgets, and he reported his findings to the Gallup partner in charge of the project during monthly "Work in Progress" meetings.
- 16. Throughout the performance period of a Government contract, Lindley also tracked the "gross margin" on the project. At Gallup, the gross margin on a project was determined by taking the ratio of the project's total labor and other direct costs to its total revenues (*i.e.*, total costs/total revenues), and then subtracting that ratio from 1. For example, if Gallup's total costs for a project were \$420,000, and its total revenues were \$1,200,000, then the ratio of costs to revenues would be 420,000/1,200,000, or 0.35 (*i.e.*, 35%). The gross margin of the project would be 1.0-0.35, or 0.65 (*i.e.*, 65%).
- 17. Gallup paid incentive compensation to its partners, including Government Division partners, based on the gross margins on the projects they had won. Gallup typically paid the partner responsible for a project two percent of the project's gross margin, provided the gross margin was 50% or more. If a project's gross margin fell below 50%, the partner would not receive incentive compensation.

### Lindley Discovers and Internally Reports Gallup's Fraudulent Pricing Practices

- 18. In or about April 2008, Lindley first began to observe what he reasonably believed to be fraudulent pricing practices by Gallup partner Sameer Abraham in connection with Gallup's Government contracts.
- 19. Abraham was the partner in charge of Gallup's contract with the U.S. Mint. Under this contract, whenever the U.S. Mint needed market research, it would forward to Gallup a task order request. In response, Abraham would submit a detailed budget to the U.S. Mint. The budget would include a breakdown of the project on a task-by-task basis, setting forth the labor categories required to complete the work, the number of hours needed per labor category, and other direct costs. The U.S. Mint relied on the budgets Gallup submitted to set prices for the individual task orders issued.
- 20. Instead of providing the U.S. Mint with Gallup's true estimated costs, Abraham prepared, and directed Lindley to prepare, budgets with cost estimates that Abraham knew were inflated. In these budgets, Abraham would inflate the number of hours required to complete the task orders, usually by a multiple of two or three times the number that would be justified by Gallup's historical experience. Abraham similarly inflated other direct costs in the budgets he submitted to the U.S. Mint.
- 21. After the U.S. Mint approved the task orders based on the amounts in the inflated budgets, Abraham prepared internal budgets that reflected Gallup's true estimate of labor hours and other direct costs needed to perform the work called for in the task orders. To create these internal budgets, Abraham simply crossed out the inflated numbers of estimated hours in the budgets that Gallup had submitted to the Government, and, in their place, wrote in the accurate numbers of estimated hours. Examples of these internal budgets for the Mint project, with the

cross-outs and replacement numbers written in Abraham's own hand, are attached hereto as Exhibit 1.

- 22. At Abraham's direction, Lindley entered the labor hours from Abraham's internal budgets for Mint task orders into Gallup's project management system. In monitoring Gallup's subsequent work performance, Lindley observed that the actual hours worked were usually significantly lower than the hours Gallup had included in the inflated cost estimates it had submitted to the Government, and that the actual hours generally matched, or were less than, the level that Abraham had estimated for Gallup's internal purposes.
- 23. Lindley also tracked the gross margins on Mint task orders throughout the contract performance period. Lindley observed that the gross margins on these task orders typically exceeded 70% and were often in excess of 80%. Lindley knew that the gross margins on the Mint task orders were far higher than the gross margins on the majority of Gallup's commercial contracts.
- 24. Lindley observed that Abraham engaged in the same type of misconduct in connection with Gallup contracts to perform passport-related market research for the U.S. Department of State.
- 25. Specifically, Abraham knowingly prepared, and directed Lindley to prepare, inflated cost estimates which were used for setting contract prices with the Department of State For internal budgeting and compensation purposes, however, Abraham prepared internal budgets for the Passport project with realistic -- and far lower -- estimates of the hours and costs required to complete the tasks. As he did on the Mint project, Abraham created these internal budgets by simply crossing out the inflated numbers of estimated hours in the budgets underlying the cost estimates Gallup submitted to the Government, and, in their place, writing in the accurate

numbers of estimated hours. An example of a Passport project budget, with the cross outs and replacement numbers written in Abraham's own hand, is attached hereto as Exhibit 2.

- 26. As he did on the Mint project, Lindley tracked the actual hours worked and the gross margin earned on the Passport project. Lindley observed that the actual hours worked on the Passport project were usually significantly lower than the hours Gallup had included in the inflated cost estimates it had submitted to the Government, and that the actual hours generally matched, or were less than, the level that Abraham had estimated for Gallup's internal purposes.
- 27. Lindley also tracked the gross margins on the Passport project throughout the contract performance period. Lindley observed that the Passport project gross margins were often in excess of 80% and were far higher than the gross margins on the majority of Gallup's commercial contracts.
- 28. When Lindley first became aware of Abraham's fraudulent pricing practices, he promptly reported these practices and expressed his concerns about the same to F. Warren Wright, the Managing Partner for the Government Contracts Division. Wright dismissed Lindley's concerns, telling him that he (Lindley) did not understand Government contracting.
- 29. Because Gallup paid Abraham incentive compensation based on the gross margin of his projects, and Gallup paid Wright incentive compensation based on the gross margin of all Government Division projects, Wright and Abraham benefited financially from Abraham's practice of maximizing gross margins by providing the Government with inflated cost estimates. Both Wright and Abraham frequently boasted that the profit margins earned on Government Division contracts were among the highest company-wide.

### Gallup Recognizes Both Lindley and Abraham for Outstanding Performance

- 30. In January 2009, Gallup chose Sameer Abraham as the Government Division's "Rainmaker of the Year." This award entitled Abraham to an additional \$50,000 in compensation.
- 31. In January 2009, Gallup also selected Lindley as the Government Division "Rookie of the Year," from a field of nine nominees. This award did not entitle Lindley to receive any additional compensation.
- 32. In March 2009, Lindley was awarded the maximum pay raise of the employees who were in his "entering class," based on his outstanding performance during his first year at Gallup. Gallup also selected Lindley to attend "partnership boot camp" in late March 2009, based on Lindley's demonstrated partnership potential. At partnership boot camp, Lindley won the "Innovation Award" by a vote of his peers.

### **Gallup and Lindley Learn of New Government Self-Disclosure Rules**

- 33. In late 2008, the Government published a final rule that would amend the Federal Acquisition Regulations ("FARs"), effective December 12, 2008. A new provision of the FAR, codified at 48 C.F.R. § 3.1003(a)(2), required that when a Government contractor discovered "credible evidence" of certain kinds of wrongdoing, the contractor had to make a timely disclosure of the allegations to the Government, under penalty of suspension or debarment from further Government contract work. Under the new FAR provision, the types of wrongdoing that contractors were required to timely self-disclose included violations of various criminal fraud statutes, violations of conflict of interest provisions, and violations of the civil False Claims Act.
- 34. In late 2008, Gallup's Government Division leadership and employees, including Lindley, learned about and discussed the new self-disclosure obligations set forth in the FAR.

### Lindley Continues to Report Gallup's Ongoing Pricing Fraud to Management

- 35. In 2009, Lindley continued to observe Abraham and other Gallup partners inflating cost estimates provided to government agencies and engaging in other misconduct. Lindley also continued to report this misconduct to Gallup's management in an effort to stop the company from continuing to defraud the government.
- 36. In early 2009, Lindley met with Elaine Cardenas, Gallup's Business Development Manager, and told her about the improper pricing practices he had observed in the Government Division. Cardenas told Lindley that she had brought up billing problems in the past but had always lost that battle to the partners. Cardenas suggested that Lindley bring his concerns to the attention of Julie Curd, who had just been appointed the Executive Director of Gallup's Government Division.
- 37. Shortly afterwards, Lindley informed Julie Curd of the fraudulent activities he had observed and who had been involved. Lindley also showed her some examples where Abraham had used one set of hours when submitting a Government bid, and a more realistic, lower set of hours for purposes of Gallup's internal tracking system. Curd told Lindley she had been suspicious of this kind of activity for some time. She asked Lindley to gather more documentation related to his claims, and scheduled a follow-up meeting with Lindley and other Gallup officials.
- 38. In or about February 2009, after a meeting with high-level Gallup officials,
  Lindley was instructed that he should not confront any partner about suspected wrongdoing, and
  that he should continue to follow the partners' instructions even if he were asked to prepare
  documentation that he considered to be inaccurate. Lindley was told that if he were asked to
  assist anyone with something he believed was wrong, he was to comply with the request and

report the matter either to Curd or to company legal counsel. Lindley also was directed not to discuss his concerns in writing, including in emails to Curd or company legal counsel.

39. After Lindley received these instructions, he observed over the next several months that Gallup's practice of falsely inflating prices was getting worse rather than better, and he continued to bring these matters to the attention of Curd. Curd told Lindley that she had been proposing reforms to Gallup's Executive Committee but had been meeting intense resistance. She said the committee considered her to be an "extremist."

### **Lindley Discovers and Reports Gallup's Conflict of Interest Violations**

- 40. In early 2009, Lindley received information that caused him to be concerned that Gallup had violated conflict-of-interest rules and had engaged in corrupt practices in connection with its successful effort to win a subcontract with FEMA and the Office of Personnel Management ("OPM") and to secure funding for work performed under the FEMA/OPM subcontract.
- 41. During several conversations with Lindley in January and February 2009, Managing Partner Warren Wright told him that Gallup had interviewed and offered a partnership position to a FEMA employee named Tim Cannon. Wright remarked that he was particularly pleased because it had been so easy to negotiate an employment contract with Cannon.
- 42. Thereafter, Lindley, along with all the other Gallup employees participating in the "partnership boot camp," was assigned to interview a Gallup partner on how the partner had secured a "signature win," *i.e.*, a contract award of at least \$1 million. Each participant was to write a paper on these "winning tactics," and the papers were to be compiled into a "playbook" that would be distributed to all the participants in the boot camp. For this assignment, Lindley

chose to interview Government Division partner Timothy Blass, whom Lindley understood to have recently won a large contract to do work for FEMA.

- 43. Blass told Lindley that he had secured the FEMA/OPM subcontract and agency funding by cultivating a relationship with a FEMA Client Director named Tim Cannon. Blass described Cannon as Gallup's "internal advocate" -- a government insider who lobbied other FEMA officials to award and fund work with Gallup. Blass told Lindley that he had "trained Cannon to pitch our products." Blass described Cannon as the "purchasing agent" and a "main influencer" at FEMA in the agency's Human Capital Division. Blass considered Cannon a "decision maker" within FEMA who "controlled the purse strings." Blass specifically directed Lindley not to include Cannon's name in Lindley's "playbook" report.
- 44. Because of his earlier discussions with Wright, Lindley immediately realized that this was the same "Tim Cannon" to whom Gallup had offered a partnership position. Lindley also realized that Gallup had violated conflict of interest rules by discussing employment with a FEMA employee who was a key player in FEMA's decision to award and fund a Gallup project. Lindley promptly reported his concerns about the conflict of interest posed by hiring Cannon to Julie Curd and another Gallup employee, Kirk Cox. Curd informed Lindley that while she had already been concerned about Gallup's decision to hire Cannon, Lindley's disclosure that Cannon had been the "main influencer" in securing the award from FEMA heightened her concerns. No one at Gallup reported the conflict to the Government.

### Lindley Internally Reports Other Misconduct By Gallup's Government Division

45. During the course of his employment, Lindley also reported to Curd and other corporate managers additional misconduct in which Gallup Government Division partners had engaged. This misconduct included:

- a. Abraham shifted recorded labor charges for work performed on Government fixedprice contracts to a Gallup cost-plus contract with the Substance Abuse and Mental Health Services Administration ("SAMHSA"), a component of the Department of Health and Human Services, so that Gallup would not be "leaving money on the table";
- Abraham improperly upgraded labor categories and rates for individuals working on the SAMHSA contract;
- c. In 2009, Abraham fabricated cost estimates for a new SAMHSA contract proposal;
- d. Gallup Government Division partner Timothy Blass prepared and submitted inflated cost estimates in connection with contracts with U.S. government agencies, including a Gallup prime contract with the Air Force Materials Command and a Gallup subcontract with Battelle National Labs (under a prime contract with the Department of Energy).
- e. Gallup exercised undue influence over the 2009 award process for a \$45 million contract it won with the U.S. Army's Joint Contracting Command in Baghdad, Iraq, to provide polling and focus group services within Iraq. Gallup drafted the Request for Proposal ("RFP") that the Army's contracting officer issued. In a weekly meeting at Gallup involving Managing Partner Warren Wright, Chris Stewart, and others, Wright and Stewart joked that they had performed a word-count comparison between the RFP written by Gallup and the one released by the Army and had found that the two documents were virtually identical. Stewart also indicated that someone within the Government (whom Stewart referred to as "a little birdie") had given Gallup inside information that enabled Gallup to adjust its bid to win the award. They

specifically asked Lindley not to say anything about these matters to Gallup's legal counsel.

### Lindley Demands that Gallup Stop the Fraud Immediately, and He Is Fired

- 46. In or about May or June 2009, Julie Curd replaced Managing Partner F. Warren Wright as head of the Government Division. Curd took over this position after Wright had been accused of racial and sexual harassment of a female employee; Wright was then transferred to a position where he would not be managing women.
- 47. On or about April 6-10, 2009, Lindley attended a training course on Contract Pricing at The George Washington University. The five-day course provided a comprehensive overview of the pricing of U.S. Government contracts, as well as recent developments in the FAR. Among the items discussed during training was the late-2008 amendment to the FAR described above, 48 C.F.R. § 3.1003(a)(2), requiring that federal contractors promptly self-report fraud, conflict of interest, and certain other misconduct in connection with government contracts under penalty of debarment.
- 48. Following this training course in April 2009, Lindley had several conversations with Curd and other Gallup employees about Gallup's ongoing misconduct in connection with government contracts and its duty under the FAR to self-report its misconduct. Nonetheless, Gallup failed to self-report any misconduct to the Government.
- 49. In or about June 2009, Abraham assigned Lindley to prepare cost estimates for a newly-proposed five-year contract with the State Department for passport-related market research. The proposed work was almost identical to the work Gallup had previously performed on a 2008 State Department contract, except that the market surveys were to be performed on a monthly rather than quarterly basis.

- 50. Relying on historical data, including the hours spent and costs incurred on the 2008 contract, Lindley prepared a budget in which he estimated that Gallup's labor and other direct costs for the new proposed contract would be approximately \$8 million. Lindley knew that this estimate was at the high end of likely costs because it did not take into account the cost savings Gallup would likely achieve as a result of economies of scale and its prior experience performing the same work.
- 51. Lindley forwarded his proposed budget to two other Gallup employees for feedback. Both individuals concurred that the cost estimates in Lindley's proposed budget erred on the high side, *i.e.*, the costs were somewhat greater than the costs that Gallup might expect to incur.
- 52. Lindley then sent the \$8 million budget to Abraham. Although Lindley's budget reached the \$8 million figure by erring on the high side of estimated labor hours and other estimated costs, Abraham further increased the estimated labor hours and other costs in the budget to arrive at the grossly inflated budget of approximately \$20 million. Abraham later became convinced that he could get even more money out of the Department of State, so he created a second and then a third budget in which he further inflated the costs for the project, ultimately arriving at a proposed budget of approximately \$25 to \$30 million.
- 53. On or about July 23, 2009, Abraham informed Lindley that he would present a budget of \$25 to \$30 million to the Department of State within the next few days.
- 54. Lindley immediately requested a meeting with Curd. On July 23, 2009, Lindley met with Curd and informed her that Abraham intended to submit a \$25 to \$30 million budget to the Department of State for a contract, despite the fact that, in reality, Gallup would incur hours and other direct costs that would support a budget of less than half that amount. Lindley

reminded Curd that Gallup management had assured him that they would stop the submission of fraudulent budgets to the Government, and that, in Lindley's opinion, the \$25 million State Department budget was perhaps the most extreme example of fraud that he had seen during his time with the company. Lindley further told Curd that if Gallup did not stop its fraudulent conduct, he would report these matters to the Department of Justice himself.

- 55. Curd stated that she also was frustrated with the company's inaction on the improper billing practices. She described Gallup's Executive Committee as "hypocrites." However, Curd encouraged Lindley not to report the matters externally, but instead, to keep working with Gallup to help bring about change internally. Curd further stated that she would contact Gallup's general counsel that day.
- 56. When Lindley arrived at work on the afternoon of the following day, July 24, 2009, an administrative assistant told him to report to Curd's office. In her office, Curd told Lindley that Gallup was terminating his employment effective immediately.
- 57. Curd explained to Lindley that the decision to terminate him was not her decision, but instead was the decision of Gallup's Chief Counsel, Steve O'Brien. Lindley was shocked and distressed, both at the fact that Gallup had fired him and at the fact that this personnel decision had been made by O'Brien rather than Lindley's own direct supervisor, Curd. Lindley requested a meeting with O'Brien.
- 58. An hour after his termination, Lindley met with O'Brien and asked O'Brien why he was being fired. O'Brien first claimed it was for performance reasons, which Lindley responded was unlikely given that Gallup had lauded him for his outstanding performance during his first year. O'Brien next claimed Gallup was firing Lindley because he had supposedly refused a request to retrieve some documentation from Gallup's computer system to assist

Gallup in responding to a procurement ethics survey. Lindley pointed out that he had in fact done everything he could to find such documentation, but that he found that the documentation had been deleted from Gallup's computer system, and he had immediately informed his superiors of that fact. Finally, O'Brien admitted unambiguously the real reason that Gallup had fired Lindley, stating: "When you start talking about going to the Department of Justice, we don't trust you anymore."

59. Shortly after Gallup fired Lindley, the Department of State awarded Gallup a large contract to perform passport-related services. This was the same contract for which Abraham was inflating Gallup's cost estimates, which Lindley had complained about to Gallup management.

# **COUNT I: Knowingly Presenting False Claims** (31 U.S.C. § 3729(a)(1) (2008), § 3729(a)(1)(A) (2009))

- 60. Relator re-alleges and incorporates the allegations contained in paragraphs 1 through 59 above, and the allegations set forth in paragraphs 153-157 of the United States' Complaint in Intervention, as if fully set forth herein. This Count is a civil action against Defendant for violating 31 U.S.C. § 3729(a)(1) (2008) or, alternatively, 31 U.S.C. § 3729(a)(1)(A) (2009), to the extent that this provision may apply to conduct that preceded its enactment on May 20, 2009.
- 61. In connection with its contracts and subcontracts with the U.S. Mint, the State Department, FEMA, and OPM, Defendant has knowingly presented, or caused to be presented, false claims for payment to officials or employees of the United States Government.

# **COUNT II: False Statements or Records** (31 U.S.C. § 3729(a)(2) (2008), § 3729(a)(1)(B) (2009)

- 62. Relator re-alleges and incorporates the allegations contained in paragraphs 1 through 61 above, and the allegations set forth in paragraphs 158-162 of the United States' Complaint in Intervention, as if fully set forth herein. This Count is a civil action against Defendant for violating 31 U.S.C. § 3729(a)(2)(2008) or, alternatively, 31 U.S.C. § 3729(a)(1)(B)(2009), to the extent that this provision may apply to conduct that preceded its enactment on May 20, 2009.
- 63. In connection with its contracts and subcontracts with the U.S. Mint, the State Department, FEMA, and OPM, Defendant has knowingly made or used, or caused to be made or used, false statements for the purpose of getting false or fraudulent claims paid or approved by the Government. Defendant has made or used these false statements, or caused them to be made or used, with the specific intent to get paid by the United States Government.

# COUNT III: Violation of False Claims Act Anti-Retaliation Provision (31 U.S.C. § 3730(h) (2009)

- 64. Relator re-alleges and incorporates the allegations contained in paragraphs 1 through 63 above, as if fully set forth herein.
- 65. During the course of his employment, Relator investigated numerous instances where he reasonably believed that Defendant was violating the False Claims Act. Relator made numerous reports to his supervisors and other Gallup officials regarding Defendant's fraudulent conduct and violations of the False Claims Act, and he repeatedly attempted to stop Defendants' violations of the False Claims Act. Finally, Relator told his direct supervisor, Julie Curd, that he

intended to report Defendant's violations to the Department of Justice. Curd relayed this information to Gallup's Chief Counsel, Steve O'Brien.

- 66. Defendant was aware that Relator had engaged in activities in furtherance of a potential action under the *qui tam* provisions of the False Claims Act. Defendant was also aware of Relator's efforts to stop violations of the False Claims Act.
- 67. Because Relator was engaging in activities that are protected under the False Claims Act's anti-retaliation provision, 31 U.S.C. § 3730(h), Defendant terminated Relator's employment. Immediately after firing Relator, O'Brien explained the reason for Relator's termination: "When you start talking about going to the Department of Justice, we don't trust you anymore."
- 68. As a direct and proximate result of the foregoing, Relator has lost the benefits and privileges of employment and has suffered additional economic and non-economic damages, including severe emotional anguish and irreparable, continuing harm to his career. Relator is entitled to all relief necessary to make him whole.

### **COUNT IV: Wrongful Termination in Violation of Public Policy**

- 69. Relator re-alleges and incorporates the allegations contained in paragraphs 1 through 68 above, as if fully set forth herein.
- 70. Relator reported to his supervisors and other Gallup officials that Gallup was engaging in fraudulent billing and other corrupt practices. These practices, in which Gallup was in fact engaging, were in violation of the District of Columbia's criminal anti-fraud statute, D.C. Code §§ 22-3221-3224. The District of Columbia's anti-fraud statute demonstrates a clear policy against companies and individuals who defraud their customers through a "scheme or

systematic course of conduct" and who seek to "obtain property of another by means of a false or fraudulent pretense, representation or promise." D.C. Code §22-3221.

- 71. Beginning in or about the Spring of 2009, Relator raised with Gallup management the fact that Gallup was failing to fulfill its obligations under the December 2008 amendments to the Federal Acquisition Regulations. Specifically, Relator challenged Gallup's failure to make any disclosure to the Government, despite the fact that Gallup management had credible evidence that the company had violated federal criminal fraud and conflict-of-interest laws in connection with contracts with U.S. Government agencies, including the U.S. Mint, the State Department, FEMA, and OPM.
- 72. Ultimately, faced with Gallup's refusal either to cease engaging in fraudulent conduct or to self-report that misconduct to the Government, Relator told Gallup management that he personally was going to report that misconduct to the Department of Justice.
- 73. The FAR mandatory disclosure rule, which was enacted in December 2008 and codified at 48 C.F.R. § 3.1003(a)(2), evinces a clear policy in favor of prompt self-disclosure by Government contractors who learn of "credible evidence" that, in connection with Government contracts, they may have violating criminal fraud statutes, the civil False Claims Act, or criminal conflict of interest rules proscribed in Title 18 of the United States code.
- 74. The District of Columbia recognizes an exception to the at-will employment doctrine whereby an employer may not terminate an employee in retaliation for reporting misconduct that violates federal or state law, or in retaliation for engaging in conduct that is protected by a clear public policy as set forth in a statute or regulation.
- 75. By terminating Relator because he told Gallup's senior executives of his intention to report Gallup's misconduct which included violations of the District of Columbia's criminal

fraud laws, Gallup acted contrary to the public policy that the District of Columbia seeks to vindicate through those laws.

- 76. Moreover, by terminating Relator because he said he personally intended to report credible evidence of Gallup's misconduct, including its violation of federal fraud and conflict of interest laws, Gallup acted contrary to the public policy that the federal Government seeks to vindicate through the mandatory disclosure requirement of the FARs, which is codified, *inter alia*, at 48 C.F.R. § 3.1003(a)(2).
- 77. As a direct and proximate result of the foregoing, Relator has lost the benefits and privileges of employment and has suffered additional economic and non-economic damages, including severe emotional anguish, and irreparable, continuing harm to his career.
- 78. In terminating Relator's employment, Defendant acted willfully and outrageously, with evil motive and with actual malice, and with reckless indifference to Relator's legal rights.

### PRAYER FOR RELIEF

Relator demands judgment against the Defendant as follows:

- a. That by reason of the violations of the False Claims Act, this Court enter judgment in favor of the United States and against the Defendant in an amount equal to three times the amount of damages the United States Government has sustained because of Defendant's actions, plus a civil penalty of not less than Five Thousand Five Hundred Dollars (\$5,500.00) and not more than Eleven Thousand Dollars (\$11,000.00) for each violation of 31 U.S.C. § 3729;
- b. That the Relator, as a *qui tam* Plaintiff, be awarded the maximum amount allowed pursuant to Section 3730(d) of the False Claims Act or any other applicable provision of law;

- c. That, by reason of Defendant's violation of the Employee Protection Provision of the False Claims Act, 31 U.S.C. § 3730(h), judgment be entered in favor of Relator Lindley and against Defendant;
- d. That, by reason of Defendant's wrongful discharge of Relator in violation of public policy, judgment be entered in favor of Relator Lindley and against Defendant;
- e. That Relator Lindley be awarded double his back-pay losses under the Employee Protection Provision of the False Claims Act, 31 U.S.C. § 3730(h), plus front pay, interest, costs, attorneys' fees, special damages for emotional distress and harm to his reputation, and any other relief to which he is entitled under that provision;
- f. That Relator Lindley be re-instated to his former position at Gallup, with all applicable raises;
- g. That Relator Lindley be awarded compensatory damages, in an amount to be proven at trial, based on Defendant's wrongful discharge of Relator in violation of public policy;
- h. That Defendant Gallup be ordered to pay punitive damages to Relator Lindley, based on Defendant's wrongful discharge of Relator in violation of public policy;
- i. That Relator Lindley be awarded all costs of this action, including reasonable attorney's fees and court costs; and
  - j. That Relator Lindley have such other relief as the Court deems just and proper.

### **JURY TRIAL DEMANDED**

Relator demands that this matter be tried before a jury.

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\_\_\_\_/S/\_\_\_\_

David J. Marshall, Bar No. 469949 Katz, Marshall & Banks, LLP 1718 Connecticut Avenue, N.W., 6<sup>th</sup> Floor Washington, D.C. 20009 Tel. 202-299-1140/ Fax 202-299-1148

E-mail: marshall@kmblegal.com

Attorneys for Relator Michael Lindley

Dated: November 27, 2012

## EXHIBIT 1

# Case 1:09-cv-01985-ABJ 450centrept 28 Per Tiled 11/27/12 Page 2 of 7

TM-HQ-07-C-0036
Presidential \$1 Coin Market Research Services

TASK ORDER No.24

The Gallup Organization
August 6, 2008

20/00/8

\$10,146 **fb 40** \$14,845 2**x** \$357,906 \$62,094 7 \$ \$42,605 34 \$50,101 90 22 \$29,908 \$22,043 \$26,719 \$47,330 \$14,887 \$54,600 \$40,300 \$31,800 \$3,884 \$11,940 \$67,287 \$798,903 TOTAL 4 2 8 5 5 8 <del>2</del> 8 8 118 208 9 \$10,146 \$6,196 Total \$3,626 \$22,209 \$3,626 \$2,719 \$38,525 \$1,220 \$22,342 \$5,599 \$28,700 971 \$140,264 \$29,780 \$175,643 Draft & Final Repor Highlights Video Exec Briefings Task 3 35,000 25,000 2000 £ 88 245 00.01 98 8 9 9 2 5 92 \$6,478 803 \$155,523 \$3.864 \$3.864 \$11,940 26 Focus Groups & \$10,374 \$29,918 \$360,381 Administer Task 2 **&** & 40000 \$169.990 V Hours Total
14 \$3,173
48 \$10,878
4 \$906
38 \$8,612
44 \$9,971 351 \$82,149 \$1,208 \$22,043 \$3,356 \$262,879 Planning & Logistics \$168,990 \$31,770 Lists & Guides Task 1 8 145 22 18.80% Option 1 Hourly Rate \$226.62 \$226.62 \$226.62 \$226.62 \$226.62 \$178.48 \$152.02 \$152.02 \$152.02 \$152.02 \$152.02 \$152.02 \$152.02 \$152.02 \$70.41 \$45.44 \$85.98 Special: CAC Research; FG Videotapes; Highlights Video CONTRACT TERMS : FIRM FIXED PRICE Target 2 Focus Groups Per Site; Recruit 12 Per Session SCOPE: 26 In-Person Focus Groups for Brand Identity Sltes = San Francisco, Denver, Philadelphia, Chicago, Focus Group Videotaping; Video Compilation & Editing Cleveland, Atlanta, Boston, Los Angeles, Houston Denise Delahanty, Associate Project Director/ SC Personnel Dr. Sameer Abraham, IDIQ Project Manager Dr. Alson Simon, Task Order Project Manager Dr. Manas Chattopadhyay, Senior Statistician Dr. Cheoleon Lee, Statistical Analyst Focus Group Facilities, Refreshments, Misc. Note: No G&A applied to travel & per dlem Andy Zukerberg, Assoc. Project Director General & Administrative on ODCs Programmers/Systems Analyst List Purchases & Usage Fees Other Direct Costs (ODCs) Subcontractor: CAC Group Focus Group Recruitment Focus Group Coordinator Administratrive Assistant SUBTOTAL Per Diem, Lodging, Misc. Focus Group incentives Analysis & Reports Database Administrator Project Administrator Travel (Air & Ground) SUBTOTAL Research Assistant ranscription interviewers

TM-HQ-07-C-0036
Presidential \$1 Coin Market
National Brand Tracking
TASK ORDER No. 287
The Gallup Organization
July 29, 2008

2008

8COPE: 1 National Brand Survey (2008)
Followup Survey/CATI 12-Min. Avg 5x5 Design
National = 1,000 + 6 Metro Areas + 2 Control Groups
Metro Oversamples 6 x 400 = 2,400; Control Groups 2 x 400 = 8

Metro Oversamples o X 400 = 2,400, Control Groups 2 X 400 = q	2002	oman Design			Cuex riesemigs	28.5		200			35.00			
Total Sample = 1,000 + 3,200 = 4,200 Completed Interviews	Option 1	Quex Developmen	elopment	Sample	Sample Design	Data Pr	Data Processing	Cleaning	Cleaning & Sample	Final Reports	sports			
CONTRACT TERMS: FIRM FIXED PRICE	Hourty	& CATI Pro	Programming	- 80 ≈ 80	& Selection	<b>≈</b>	& Coding	Wei	Weighting	Exec Briefings	riefings			
Personnel	Rate	Hours	Total	Hours	Total	Hours	Total	Hours	Total	Hours	Total	Hours	Total	
Dr. Sameer Abraham, IDIQ Project Director	\$226.62	4	\$908	2	\$453	2	\$453	2	\$453	2	\$453	24	\$2,719	
Dr. Alison Simon, TO Project Director	\$226.62	22	\$4,986	48	\$4,079	78	\$6,345	8	\$4,532	<b>4</b>	\$10,878	136	\$30,820 45	
Assoc. Project Director/Strategic Consultants	\$226.62	5	\$2,266							<b>∞</b>	\$1,813	4	\$4,079	×
Darby Miller-Steiger, Focus Group Coordinator/Quex Mgr.	\$226.62	4	\$300	12	\$2,719			9	\$1,360			8	\$4,986	
Dr. Manas Chattopadhyay, Senior Statistician	\$178.48	8	\$357	8	\$3,213	18	\$3,213	24	\$4,284	16	\$2,856	82	\$13,921	Ø
Statistical Analyst	\$152.02							9	\$2,432	4	\$1,824	88	\$4,257 8	
Analysis & Reports	\$151.05									102	\$15,407	102	\$15,407 60	
Database Administrator	\$152.02							16	\$2,432	16	\$2,432	33	\$4,865 %	
Project Administrator	\$152.56	50	\$3,051	82	\$4,272	46	\$7,018	∞	\$1,220			102	\$15,561 30	
Programmers/Systems Analyst	\$91.19	<b>58</b>	\$2,553	24	\$2,189	42	\$3,830	24	\$2,189			118	\$10,760 24	
Research Assistant	\$70.41												70	י תמיי
Consumer Interviewers	\$45.44			84	\$2,181	5507	\$250,238					5555	\$252,419 27	<b>S</b>
Coders/Transcription	\$85.98					124	\$10,662					124	\$10,662 20	
Administratrive Assistant/Clerical/Proofing	\$70.41	4	\$282	60	\$563	æ	\$5,914	<b>∞</b>	\$563	16	\$1,127	120	\$8,449 20	
SUBTOTAL		2	\$15,308	158	\$19,669	5,851	\$287,673	124	\$19,466	220	\$36,790	6,447	\$378,906	

Other Direct Costs (ODCs)			X	
Interviewing Telephone Interviewing Computer Sample Purchase & Usage Fees Travel		\$235	20,855 23,855 23,855 23,855	\$27,220 \$3,889 \$13,465
SUBTOTAL		\$269	\$44,304	\$44,573
General & Administrative on ODCs	18.80%	\$51	\$8,329	\$8,380
TOTAL	\$15,308	\$19,988	\$340,306 \$19,466	\$36,790 \$431,858

U.S. Department of Treasury
U.S. Mint
TM-HQ-07-C-0036
Presidential \$1 Coin Market Research Services
TASK ORDER No. 21
The Gallup Organization
July 14, 2008

Total = 2.500 Interviewe: Callin Danel				Ī	HAD Dre-I sunich Servey Gallen Panel	unch Bern	ww. Galler	Panal			Γ			
Screening + Intention I In to 7x7 Call Design		Task	14.4	186	Tack 2	Tank 9	F 3	Tag	Task 4	Tank 5		TOTAL	AL	
CATI: 12-Minute/Average	2008	Study Design	Design	Quex Pretesting	etesting	Data Collection	lleotion	Date	Data File	Draft Reports	orts			
Special Considerations: Terget Respondent High Income \$100,000+ CONTRACT TERMS: FIRM FIXED PRICE	Option 1 Hourty	& CATI Pre	Quex Development	Sample Design & Selection	Design	Data Processing	cessing	Cleaning & Sample Weighting	& Sample httno	Final Reports Exec Brieffings	orts Finas			
Personnel	Rate	Hours	Total	Hours	Total	Hours	Total	Hours	Total	Hours	Total	Hours	Total	
Dr. Sameer Abraham, IDIO Protect Director	\$226.62	4	\$908	4	\$906	8	\$1,813	4	\$908	4	906\$	1	\$5,439	0
Dr. Allson Simon. TO Project Director	\$226.62	88	\$8,612	8	\$6,572	88	\$19,843	22	\$12,484	63 \$12,011	2,011	388	\$59,601	4
Assoc. Prolect Director/Strategic Consultants	\$226.62	4	\$3,173							10	\$2,268	t.	\$5,439	·
Associate Project Director/Quex Mgr.	\$226.62	16	\$3,826	12	\$2,719							¥	\$8,345	00
Dr. Manas Chattopadhyay, Senior Stattstician	\$178.48	4	\$1,785	8	\$5,178	19	\$3,391	8	\$6,068	4	2,489	100	\$18,919 2.0	20
Statistical Analyst	\$152.02					83	\$3,496	*	\$6,233	10	\$1,520	4	\$11,249	ų.
Analysis & Reports	\$151.05										2,508	7.0	\$22,508 /00	8
Spatabase Administrator	\$162.02							22	\$3,344	24	\$3,648	\$	\$6,993 70	0
Project Administrator	\$152.58	4	\$6,255	11	\$2,594	60	\$1,220	19	\$2,889	<del>4</del>	\$1,528	8	\$14,493 25	4:
Programmers/Systems Analyst	\$91.19	19	\$6,110	38	\$3,465	83	\$2,845	88	\$3,465			74	\$15,685 40	40
YResearch Assistant	\$70.41													0
Consumer Interviewers	\$45.44			98	\$3,908	3210	\$145,862					3286	\$149,770	
Coders/Transcription	\$85.98					<u>7</u>	\$13,241						\$13,241	2
Administratrive Assistant/Clerical/Proofing	\$70.41	24	\$1,890	22	\$1,549	88	\$6,196	8	\$1,408	22	\$1,549	1,000	\$12,392	35
SUBTOTAL		214	\$32,158	237	\$26,889	3,627	\$197,807	233	\$36,788	296 \$4	\$48,432	4807	\$342,073	
Other Direct Costs (ODCs)											1			
Interviewing Telephone					\$421	84	\$15,729						\$18,150	\$18,460 43.50
Interviewing Computer					\$80		\$2,247						\$2,807 600.	,009
Sample Purchase & Usage Fees							\$26,800						428,880 /c, 450	5245
Per Diem & Lodging							\$2,880						\$2,880	o
O I DYCY A					9100		040						007	
Window Williams					2040		47,000						448,138	
General & Administrative on ODCs	18.80%	æ			\$81		\$8,418						\$8,508	XX
TOTAL			\$32,156		\$27,462		\$253,881		\$36,788	3	\$48,432		\$398,719	F.
		1000											-	

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2 Hablain

U.S. Mint
TM-HQ-07-C-0036
2 National Surveys and Analysis of Transaction Database
TASK ORDER No. 17
The Gallup Organization
June 2, 2008

SCOPE: 2 National Surveys & Analysis of Transaction Data		Task 1	1.1	Task 2	k 2	Tas	Task 3	Tas	Task 4	Tas	Task 5	TO	TOTAL
(n = 2,000 completed Interviews)	2008	Study Design	eslgn	Quex Pretestings	stestings	Data Co	Data Collections	Data	Data Files	Draft R	Draft Reports		
	Option 1	Quex & CATI	CATI	Sample Design	Design	Data Pro	Data Processing	Cleaning	Cleaning & Sample	Final B	Final Reports		
CONTRACT TERMS; FIRM FIXED PRICE	Hourly	Database Review	Review	& Selection	ction	ಶ	& Coding	Welc	Welghting	Exec B	Exec Briefings		
Personnel	Rate	Hours	Total	Hours	Total	Hours	Total	Hours	Total	Hours	Total	Hours	Total
Dr. Sameer Abraham, IDIQ Project Director	\$226.62	8	\$1,813	9	\$1,360	9	\$1,360	9	\$1,360	9	\$1,360	38	\$7,252
Dr. Allson Simon, TO Project Director	\$226.62	29	\$13,371	24	\$5,439	8	\$12,464	98	\$8,158	78	\$17,676	Sec. 10	\$57,108
Sessoc. Project Director/Strategic Consultants	\$226.62	28	\$13,144	14	\$3,173					4	\$9,085	10 Th	\$25,381
Focus Group Coordinator/Quex Mgr.	\$226.62												
Spr. Manas Chattopadhyay, Senior Statistician	\$178.48	16	\$2,856	12	\$2,142	9	\$1,785	<del>_</del>	\$2,499	18	\$3,213	B	\$12,494
Statistical Analyst ( Troub C	\$152.02	8	\$7,297					ଷ	\$3,040	40	\$6,081	60 100	\$16,418
XAnalysis & Reports	8151.05		-	24	\$3,625			80	\$12.084	102	\$15.407	10 20B	\$31,116
X Database Administrator	\$152.02	₽	\$7,297							15	\$2,280	20 88	\$9,577
Project Administrator Becharity	\$152.56	4	\$6,408	12	\$1,831	24	\$3,661					25 H	\$11,900
Programmers/Systems Analyst	\$91.19			30	\$2,736	36	\$3,283	24	\$2,189	<b>5</b>	\$2,189 34	37 17	\$10,396
Research Assistant	\$70.41												
Ronsumer Interviewers	\$45.44			48	\$2,181	1640	\$74,522				Òo	CC 1668	\$76,703
Soders/Transcription	\$85.98					82	\$7,050				q	4	\$7,050
*Administratrive Assistant/Clerical/Proofing	\$70.41	88	\$1,971	24	\$1,690	108	\$7,604	24	\$1,690	35	\$2,253 44	1 2 to	\$15,209
SUBTOTAL		307	\$54,156	194	\$24,176	1,961	\$111,729	204	\$31,020	355	\$59,523	3,021	\$280,604

Other Direct Costs (ODCs)					
Intervlewing Telephone		\$235	\$8,036		\$8,271
interviewing Computer		\$34	\$1,148		\$1,182
Address & Phone Lookups & Updates of Sample			\$6,455		\$6,455
Subcontractor: CAC			1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		\$34,900
Printing & 1st Class Postage; Express Priority Mail Travel			\$5,690		\$5,690
SUBTOTAL		\$269	\$56,229		\$56,498
General & Administrative on ODCs	18.80%	\$51	\$10,571		\$10,622
TOTAL	\$54,156	\$24,495	\$178,529 \$31,020	\$59,523	\$347,723

2008

2008

TM-HQ-07-C-0036
Presidential \$1 Coin Market Research Services
TASK ORDER No. 16
The Gallup Organization

BZSARH

May 5, 2008

SCOPE: [4] Pre-test Site Evaluations

\$291,816 3400 \$22,689 64 \$19,574 78 \$596,818 \$77,957 18°0 \$9,065 2°0 \$9,065 2°0 \$31,412 28 \$18,546 \$37,460 (0.5 \$11,554 \$26,263 (2.5 \$26,263 (2.5 \$9,065 多超级 なない \$19,943 \$3,626 \$2,535 \$4,284 \$2,432 \$37,460 \$6,081 \$2,441 Final Reports

Exec Briefings

ours

Total 8 29 **88** 45 ¥ 5 8 5 5 \$1,690 Cleaning & Sample \$9,995 \$10,337 \$5,473 \$4,882 \$5,836 Weighting × 88 Hours 88 83 888 \$1,813 \$10,878 \$285,272 \$22,699 \$10,139 5 Data Collections \$5,711 \$5,777 \$10,374 \$4,377 Data Processing & Coding & **&** 88 8 \$4,272 \$5,836 \$6,543 \$10,878 \$2,535 \$44,070 \$3,626 \$8,567 5 Quex Pretesting Sample Design & Selection 382 8 **≅** \$ <del>1</del> \$10,374 \$10,213 \$2,676 5 Quex Development & CATI Programming \$1,813 \$15,410 \$5,439 \$5,439 \$2,856 Study Design 88 **8** 5 8 2 2 5 Option 1 Hourty \$152.02 \$152.56 \$91.19 \$70.41 \$226.62 \$226.62 \$226.62 \$178.48 \$151.05 \$226.62 \$45.44 \$85.98 Total = 4,000 Pliot @ 1,000 per site
Sites = Austin, TX; Portland OR; Grand Rapids, MI; Charlotte, NC
Total sample = 4,000 completed interviews @ 12-Min. Avg. CAT Assoc. Project Director/Strategic Consultants. (Darby Miller Steiger, Associate Project Director/Quex Mgr. XDr. Manes Chattopadhyay, Senior Statistician vStatistical Analyst \*Database Administrator
\*Project Administrator Jeff Bed\_fol+ CONTRACT TERMS: FIRM FIXED PRICE Personnel
Dr. Sameer Abraham, IDKO Project Director Administrative Assistant/Clerical/Proofing SUBTOTAL \*Analysis & Reports Tem Grantelle Dr. Alison Simon, TO Project Director Programmers/Systems Analyst (Consumer Interviewers Xoders/Transcription XResearch Assistant

Other Direct Costs (ODCs)						
Interviewing Telephone		\$706	\$30,762			<b>\$31,468</b>
Interviewing Computer		\$101	\$4,395			\$4,495
Sample Purchase & Usage Fees		\$278	\$8,275			\$8,553
Travel		\$1.084	\$43,432			\$44,516
						000
General & Administrative on ODCs	18.80%	\$204				895,368
TOTAL	\$54,220	20 \$45,358	\$408,637	\$60,875	\$80,614	\$649,704

# Case 1:09-cv-01985-ABJ Uscockament 28eds un Filed 11/27/12 Page 7 of 7

U.S. Mint
TM-HQ-07-C-0036
Presidential \$1 Coin Market Research Servloes
TASK ORDER No. 19
The Gallup Organization
July 8, 2008

SCOPE: 8 In-person Focus Groups for UHR										
2 Focus Groups per Site; Reruit 12 per Session				1000					The second second	
Sites = Chicago, IL; DC/Bethesda, MD; New York, NY;		Task 1	ik 1	Tag	Task 2	Ta	Task 3	TO	TOTAL	
Los Angeles, CA	2008	Planni	Planning and	8 17	8 In-Person	Focus	Focus Group			
Target High Income Participants: \$100K - \$150K; \$150K	Option 1	Coord	Coordination;	Focus	Focus Groups	Draft	Draft & Final		12	
CONTRACT TERMS: FIRM FIXED PRICE	Hourly	Prepare Lis	Prepare Lists & Guided	Adminis	Administrations	\$	Reports			
Personnel	Rate	Hours	Total	Hours	Total	Hours	Total	Hours	Total	
YDr. Sameer Abraham, IDIQ Project Manager	\$226.62	9	\$1,360	12	\$2,719	∞	\$1,813	92	\$5,892	0
Or. Alson Simon, TO Project Manager	\$226.62	22	\$11,784	\$	\$23,568	2	\$15,863	226	\$51.216 /	00
YDawn Royal, Associate Project Director/ SC	\$226.62	4	\$906	4	906\$	4	906\$	4	\$2,719	-6
Focus Group Coordinator	\$226.62	28	\$6,345	88	\$19,943	25	\$11,784	<del>8</del>	\$38,072 /	38.07210-U- SC
Assoc. Project Director	\$226.62	4	906\$		•			4	\$906	0
Dr. Manas Chattopadhyay, Senior Statistician	\$178.48									3
Dr. Cheoleon Lee, Statistical Analyst	\$152.02									
Analysis & Reports	\$151.05									
Database Administrator	\$152.02									
Project Administrator	\$152.56	9	\$1,526	<b>5</b> 8	\$3,967			98	\$5,492	ھ
*Programmers/Systems Analyst	\$91.19			16	\$1,459			9	\$1,459	à
Research Assistant	\$70.41				•					
interviewers	\$45.44									`
Y ranscription	\$85.98					8	\$2,579	99	\$2,579 15	7
Administratrive Assistant	\$70.41	16	\$1,127	20	\$1,408	22	\$1,549	28	\$4.084 16	و
SUBTOTAL		120	\$23,954	275	\$54,559	138	\$35,451	591	\$113,964	

,	Other Direct Costs (ODCs)						
0	Interviewing Telephone Interviewing Computer						
	Sample/List Purchase & Usage Fees			\$4.387		54.387	10
	Focus Group Recruitment			ST0-000 T	7,700	2.6.1800	
	Focus Group Facilities & Refreshments			816.030 8, ACO	007	\$18.030	
	Focus Group Incentives @ \$250/Participant			\$24,000 424	2003	\$24 000	
	Per Diem & Lodging # 1200			\$3.364		\$3.364	0
	Travel			18 A		100	As incurred
	SUBTOTAL			\$69,413		\$69,413	
	General & Administrative on ODCs Note: No G&A anolled to travel & ner diem	18.80%		\$11,509		\$11,509	
	TOTAL		\$23,954	\$135,481	\$35.451	\$194.886	18
						3,196	
				7700	10	186.640	1
				んその	7		

1,200 1 1,000=8,200

## EXHIBIT 2

Passport Office 2008-09 CMFS The Gallup Organization September 8, 2008 BOLD 841 "Passport Research"

3

\$189,117 98 \$221,535 24 \$141,412 \$268,207 \$805,279 \$31,595 \$55,951 \$2,288,643 Total 664 2132 888 278 11280 308 \$16,410 \$821 \$672 \$26,640 \$8,737 Focus Groups Hours Total **Task 5** 2 8 **ω** ω For LBC: 7x7 Call Design 8 \$41,025 \$37,483 \$48,557 \$28,538 \$8,737 \$112,796 Jon-Response Survey \$10,081 落 × 3 × 3 38 120,476 答章 100,228 \$22,208 \$29,595 \$49,230 \$15,973 \$321,255 \$25,645 \$8,233 \$59,632 \$20,871 Task 3 rossere-Survey 3 2 2 5200 \$371,228 22 50 \$5,129 25 65 \$5,129 \$132,893 20,286 \$139,708 \$44,393 555,138 \$49,230 \$18,741 **Total** Gallup Panel Waves 1-3 Task 2 40 88 400 1000 \$212,970 200 BEA 8 168 10-60 \$10,922 \$69,215 450 \$166,473 \$65,640 \$15,754 50 350 \$29,404 and Consulting Total Management \$212.97 \$182.03 \$108.82 \$71.39 \$369.94 \$328.20 \$212.97 Hourly Rate 2008 GSA \$180.22 \$84.01 CONTRACT TERMS: FIRM FIXED PRICE Administratrive Assistants/Clerical Programmers/Systems Analysts Database Administrators Consumer Interviewers Project Administrators Consulting Specialists Coders/Transcription Senior Statisticians Statistical Analysts GSA SIN C R 422 Research Director Corporate Officer Project Directors SUBTOTAL

\$2,573,181	\$39,858	\$339,846	, \$657,500	\$876,096	\$659,881		TOTAL
\$41,392	\$2,092	\$3,938	\$16,594	\$8,241	\$10,528	18.80%	Note: No Ged on travel. General & Administrative on ODCs
\$243,146	\$11,126	\$20,945	\$88,265	\$43,834	\$78,976	A STATE OF THE STATE OF	SUBIOIAL
\$37,143	\$696	\$6,097	\$7,085	\$289	\$22,976		ravel & Misc.
90,000	000,2¢	90,000					Consultante
\$7,550	\$7,550	000 8\$					Focus Groups (Honoraria: \$60 x 10 persons x 32 groups)
\$27,505			\$13,080	\$14,425			Sample Purchase & Usage Fees
\$7,896		\$1,106	\$3,150	\$3,640			Interviewing Computer
\$55,272		\$7,742	\$22,050	\$25,480			Interviewing Telephone

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