



International Compliance Association
Excellence through Education



ICA Professional Postgraduate Diploma in Governance, Risk and Compliance

Certified Professional - Masterclass Series

Introduction

The ICA Professional Postgraduate Diploma in Governance, Risk and Compliance has been specifically designed as an advanced programme for senior industry practitioners in the UK. You will be (or aspiring to be) operating at a leadership level within your organisation, with strategic responsibility for the management of key elements in the areas of anti money laundering, compliance, counter terrorist financing or financial crime prevention. This programme has been created to build on your extensive existing experience by providing you with enhanced skills, knowledge and expertise. Completion of this course will enable you to operate at the highest level within your organisation. It will ensure you expand your horizons, excel in your role and push your career to the next level.

The programme is a fusion of academic excellence, experiential learning and working towards a realisation of your professional goals. It will give you more than just a thorough understanding of the latest issues. It will help transform your thinking.

With the demand for exceptional specialists working in the risk and compliance environment on the increase, there has never been a better time to consolidate your experience to date and invest in a recognised professional qualification.



The Masterclass series was the ideal way for a busy Head of AML to keep up to date, become certificated, find out about best practice in other organisations and to network extensively. Highly recommended.



Who the ICA Professional Postgraduate Programme Will Benefit

- Heads of Compliance, AML and Financial Crime Prevention
- Money Laundering Reporting Officers
- Money Laundering Prevention Officers
- Compliance, AML and Financial Crime Managers and senior staff
- Senior Risk staff
- Senior Internal and External Audit Staff
- Company Secretaries
- Accountants
- Legal Practitioners
- Regulatory Representatives
- Anyone working in the above areas who aspires to be in a leadership role within an organisation

Course Format

The programme is intensive and fast paced. It is a blend of self-study, research and high level interaction with other like-minded, senior practitioners.

The industry practitioners who deliver the Masterclasses are leaders in their respective fields who can bring you fresh insights and a valuable, exclusive network.

The Programme at a Glance

- Professional Postgraduate Diploma resulting in both an academic and a professional qualification awarded in association with University of Manchester Business School
- Addresses and assesses high level competencies targeted specifically at senior industry practitioners
- Nine month part-time programme
- Nine half-day 'Masterclasses' covering a variety of topics, hosted by highly experienced industry figures and thought leaders
- For each Masterclass, delegates will be provided with a customised portfolio of readings, case studies or white papers
- Face-to-face final assessment, mapping applicant skills against the competencies for the programme
- Successful completion grants automatic eligibility for Fellowship of the ICA (FICA)
- Delegates will also opt to receive copies of the ICA Diploma course manuals in AML, Compliance and Financial Crime Prevention for background knowledge and will be able to access webinars on relevant topics

Assessment

1. Following completion of the Masterclasses, you will attend a 2-3 hour assessed interview. This is an oral evaluation which determines your competence by mapping your knowledge, skills and experience against the National Occupational Standards of Competence. The interview is conducted by a senior member of the ICA Assessment Board.

Grading of the assessment is on a competent/non competent basis.

Throughout the duration of the programme it is recommended that you build a portfolio that demonstrates work-based competence. This can consist of policies, reports, guides, plans, evaluations, articles, blogs, conference papers, etc.

2. You will also be required to write a 2-3 page summary of four of the Masterclasses, which:

- Summarise the key issues and identifies relevant risks to your organisation
- Evaluates the benefits of suggested solutions for your organisation

These will be produced at the assessment interview as evidence of competency but will not be specifically graded.

National Occupational Standards of Competence

Your performance on the programme will be measured, against the National Occupational Standards of Competence (2011 version) produced originally by the Financial Services Skills Council (FSSC) and the ICA and revised recently by the Financial Skills Partnership.

The Standards are statements of competence which articulate the requirements for effective performance in the workplace. Competence is defined as a combination of skills as well as knowledge and understanding.



Why choose the ICA Professional Postgraduate Diploma?

- Demonstrate the highest level of specialist knowledge and skills in your field
- Drive your personal leadership ambitions
- Gain access to experienced practitioners and thought leaders and learn from their unique insights
- Develop new ideas, explore cutting-edge best practice and gain a global perspective
- Build professional networks
- Achieve 'Certified Professional' status
- Become automatically eligible for Fellowship of the International Compliance Association (the highest grade of membership)

Masterclass Titles

Once you have been accepted onto the programme, you will attend a series of Masterclasses. The Masterclass syllabus covers a variety of key issues pertinent at a senior level and is designed to provide an insight into risks and potential hurdles faced by your organisation. By addressing topics across this spectrum, you will gain insight into areas you may not have previously encountered and will share best practice in managing risk and providing meaningful structures.

In advance of each Masterclass, delegates will receive a portfolio of readings and materials to review in order to gain the maximum benefit from each session. Masterclasses are taught by leading practitioners predominantly by way of utilising case studies or practical examples to embed or contextualise any theoretical content.

1. Introduction and overview of the course
2. Ethics, corporate governance and responsibility - the role, responsibilities and liabilities of authorised individuals
3. Board of directors and senior management: culture building "How to change the culture of the organisation."
4. Risk management: reputational risk et al
5. Building, managing and leading a compliance/AML team
6. Sanctions: perspective from the regulators
7. Cyber security: threats to the organisation
8. IT and compliance - the interface and practical solutions
9. Assessment preparation:
 - The interview/assessment
 - National Occupational Standards of Competence
 - Masterclass write-ups

Each Masterclass lasts 3 hours and will be followed by a networking lunch to provide you with the opportunity to exchange ideas and best practice in an informal environment.

Certification

Practitioners who successfully complete the programme will be certificated as follows:

- ICA Certified Professional designation awarded by the ICA
- Professional Postgraduate Diploma in Governance, Risk and Compliance awarded by ICA in association with University of Manchester Business School
- Fellowship of the ICA (upon application)

Designation

- Certified Professional
- ICA Professional Postgraduate Diploma in Governance, Risk and Compliance: PG Dip (G.R.C.)
- Fellowship of ICA:FICA

[E.g. Angela Watts PG Dip (G.R.C.), Certified Professional; FICA]

Eligibility Criteria

In order to be eligible for the programme you should:

- Be a senior practitioner, e.g. hold a senior management role in AML / Compliance / Audit / Risk / Fraud / Operations or similar division with at least three years relevant experience; **or**
- Possess an ICA Diploma and five years experience in a financial services or similar discipline and currently work within a job role that requires senior level responsibilities or, where currently not in such a role, you have the potential and experience to do so

Fees

The cost of the course is £4500 (+VAT).

How To Apply

Please complete the enrolment form on our website www.int-comp.org and send to ict@int-comp.com with the following documents:

- Job profile
- CV
- Organisational chart

For more information or an informal discussion about this course please call **0121 362 7501**.

The ICA professional programme is ideal for working professionals. The modular structure is job specific and can be directly applied to our daily work. I have reaped immediate benefits as the programme contributed to me landing a lead position in a major bank. In short it has achieved all the key features of the competency framework.