

tandem® Audit Management



Although sometimes difficult to endure, audits and exams can provide a wealth of insight into the health of your financial institution. How do you respond to and manage those reports?

What is **tandem**® Audit Management?

CoNetrix developed **tandem** Audit Management to help financial institutions manage, track, respond to, report, and conduct any type of audit or exam*. Use **tandem** Audit Pro to conduct audits and organize and store custom work programs.



*Access to **tandem** Audit Lite is provided with CoNetrix audit and penetration test engagements.

What can I manage?

Use **tandem** Audit Management for any audit, exam, review, etc. where a documented response would be valuable or is required, such as:

- ▶ Regulatory Compliance Audits
- ▶ Information Technology (IT) Audits
- ▶ Safety and Soundness Audits
- ▶ Internal lending reviews
- ▶ BSA Audits
- ▶ Internal and External Audits
- ▶ Regulatory Examinations



How it works

Receive results from your audit, exam, or other test.

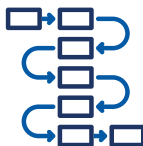
Import findings into **tandem**.

Assign responsibilities and due dates.

Setup email reminders to get things done.

Track the status of responses and check for gaps.

Analyze trends and download reports.



tandem® Audit Management

LITE

Track findings and responses from audit and penetration tests performed by CoNetrix.

STANDARD

Manage audit and exam responses on any topic by any examiner or auditor.

PRO

Conduct audits and manage work programs, reporting, and responses **enterprise-wide**.

Enter and track the status of responses

Bulk import findings from audits or exams

Multi-user access

Set access roles for audits and/or findings, including read-only access



Assign target dates and log completion dates

Create multiple notes for individual audit findings

Interactive dashboards and reports show trends in audits and audit findings



Download documents in Microsoft Word, Excel, and/or Adobe PDF formats

Setup email reminders



Integrated with other CoNetrix online software

No software installation or equipment costs

Manage audit and exam responses on any topic regardless of who conducted it (internal, external, regulatory, etc.) ***Standard & Pro only**

Enterprise-wide audit management of work programs, reporting, and responses ***Pro only**

Design custom work programs of any size ***Pro only**



Conduct audits using custom work programs ***Pro only**

Manage and store work papers ***Pro only**

Create and distribute audit questionnaires ***Pro only**

Automate the generation of audit reports and supporting documents ***Pro only**

Access previous audit reports for trend reporting and follow-up verification ***Pro only**



Support



■ Ask questions and get support from our responsive support team, which is backed by an entire department of IT security auditors.

■ Jump in with Getting Started pages, help text, recorded demos, and workshop webinars.

■ Attend our annual User Group to learn more about **tandem** and see how other institutions are using it.



tandem® is safe to use online!

Access the web-based application anywhere you have an Internet connection using a secure login to our SSAE 16 examined data center. Set up password expiration and multi-factor login authentication for additional security measures.



Request a quote

conetrix.com/tandemAM

Additional **tandem** modules include:

- Vendor Management
- Risk Assessment
- Policies
- Phishing
- Social Media Management
- Business Continuity Planning
- ID Theft Prevention Program
- Cybersecurity Assessment