**Form LM-10**

**EMPLOYER REPORT**

This report is mandatory under P.L. 60-237, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 441.

**READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT**

**Part A**

1. File Number E-105942

<table>
<thead>
<tr>
<th>2. Fiscal Year Covered From:</th>
<th>Through:</th>
</tr>
</thead>
<tbody>
<tr>
<td>01/01/2013</td>
<td>12/31/2013</td>
</tr>
</tbody>
</table>

3. Name and address of Reporting Employer (inc. trade name, if any).

- **Employer:** ARIA Resort & Casino, LLC
- **Trade Name:** ARIA Resort & Casino
- **Attention To:** Michael R. Pelty
- **Title:** Vice President of Human Resources

4. Name and address of President or corresponding principal officer, if different from address in Item 3.

- **Name:** Robert H. Baldwin

5. Any other address where records necessary to verify this report will be available for examination.

- **Name:** N/A
- **Title:**
- **Organization:**

6. Indicate by checking the appropriate box or boxes where records necessary to verify this report will be available for examination.

- [ ] Address in Item 3
- [ ] Address in Item 4
- [x] Address in Item 5

7. Type of organization.

- [ ] Corporation
- [ ] Partnership
- [ ] Individual
- [ ] Limited Liability Company
- [ ] Other (specify): Limited Liability Company

**Signatures**

Each of the undersigned, duly authorized officers of the above employer declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigner's knowledge and belief, true, correct, and complete. (See Section VIII on penalties in the instructions.)

13. Signed: (Signature)

- **Title:** President/Chief Executive Officer
- **Date:** 1/1/2013
- **Telephone Number:** (702) 590-7111

14. Signed: (Signature)

- **Title:** Treasurer
- **Date:** 1/1/2013
- **Telephone Number:** (702) 590-7111

8. Type of Reportable Activity Engaged In By Employer

Read the following questions and the accompanying instructions carefully, taking into consideration the exclusions listed in the instructions for these items, and check either "Yes" or "No" for each item. For each item that is answered "Yes", you must attach a Part B which appears on Page 3. Complete a separate Part B for each "Yes" answer to any of Items 8.a. through 8.f. Also, if the answer is "Yes" for more than one person or organization, complete a separate Part B for each person or organization. If you answer "Yes", enter the number of Part Bs that are submitted for that item in the line indicated.

DURING THE FISCAL YEAR COVERED BY THIS REPORT:

8.a. Did you make or promise or agree to make, directly or indirectly, any payment or loan of money or other thing of value (including reimbursed expenses) to any labor organization or to any officer, agent, shop steward, or other representative or employee of any labor organization?

<table>
<thead>
<tr>
<th>YES</th>
<th>NO</th>
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<tr>
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</tbody>
</table>

8.b. Did you make, directly or indirectly, any payment (including reimbursed expenses) to any of your employees, or to any group or committee of your employees, for the purpose of causing them to persuade other employees to exercise or not to exercise, or as to the manner of exercising, the right to organize and bargain collectively through representatives of their own choosing without previously or at the same time disclosing such payment to all such other employees?

<table>
<thead>
<tr>
<th>YES</th>
<th>NO</th>
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</table>

8.c. Did you make any expenditure where an object thereof, directly or indirectly, was to interfere with, restrain, or coerce employees in the right to organize and bargain collectively through representatives of their own choosing?

<table>
<thead>
<tr>
<th>YES</th>
<th>NO</th>
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8.d. Did you make any expenditure where an object thereof, directly or indirectly, was to obtain information concerning the activities of employees or of a labor organization in connection with a labor dispute in which you were involved?

<table>
<thead>
<tr>
<th>YES</th>
<th>NO</th>
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8.e. Did you make any agreement or arrangement with a labor relations consultant or other independent contractor or organization pursuant to which such person undertook activities where an object thereof, directly or indirectly, was to persuade employees to exercise or not to exercise, or as to the manner of exercising, the right to organize and bargain collectively through representatives of their own choosing; or did you make any payment (including reimbursed expenses) pursuant to such an agreement or arrangement?

<table>
<thead>
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<th>YES</th>
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8.f. Did you make any agreement or arrangement with a labor relations consultant or other independent contractor or organization pursuant to which such person undertook activities where an object thereof, directly or indirectly, was to furnish you with information concerning activities of employees or of a labor organization in connection with a labor dispute in which you were involved; or did you make any payment pursuant to such agreement or arrangement?

<table>
<thead>
<tr>
<th>YES</th>
<th>NO</th>
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TOTAL NUMBER OF PART Bs FOR THIS REPORT IS 1
Part B

Name of Reporting Employer: ____________________________

File Number: ____________________________

Check Item Number (from Page 2) to which this Part B applies

<table>
<thead>
<tr>
<th>ITEM 8.a</th>
<th>ITEM 8.b</th>
<th>ITEM 8.c</th>
<th>ITEM 8.d</th>
<th>ITEM 8.e</th>
<th>ITEM 8.f</th>
</tr>
</thead>
<tbody>
<tr>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
</tr>
</tbody>
</table>

9.a. □ Agreement □ Payment ☒ Both

9.b. Name and address of person with whom or through whom a separate agreement was made or to whom payments were made.

Name: Mark Garland

P.O. Box, Building and Room Number, if any: ____________________________

Street: 1022 Nevada Highway, Suite 422

City: Boulder City

State: Nevada

ZIP Code: 89005

9.c. Position in labor organization or with employer (if an independent labor consultant, so state).

Independent Labor Consultant: ____________________________

9.d. Name and address of firm or labor organization with whom employed or affiliated.

Organization: Balance Incorporated

P.O. Box, Building and Room Number, if any: ____________________________

Street: 1022 Nevada Highway, Suite 422

City: Boulder City

State: Nevada

ZIP Code: 89005

10.a. Date of the promise, agreement, or arrangement pursuant to which payments or expenditures were agreed to or made.

On or about 1/28/2013

10.b. The promise, agreement, or arrangement was:

☒ Oral □ Written* □ Both

*(Written agreements entered into during the fiscal year must be attached.)

11.a. Date of each payment or expenditure (mm/dd/yyyy).

On or about 2/22/2013

11.b. Amount of each payment or expenditure

195,000

11.c. Kind of each payment or expenditure (Specify whether payment or loan, and whether in cash or property)

Payment - Check

12. Explain fully the circumstances of all payments, including the terms of any oral agreement or understanding pursuant to which they were made.

Balance Incorporated provided research, conducted group meetings, and provided employees with information about the election/representation and collective bargaining processes under the NLRA, and it provided other services to assist ARFA in lawfully conveying its position during a union organizing campaign conducted by Teamsters Local 995.