United States of America United States Patent and Trademark Office

IRONRIDGE

Reg. No. 4,036,435

Registered Oct. 4, 2011

Int. Cl.: 36

SERVICE MARK

PRINCIPAL REGISTER

IRONRIDGE GLOBAL PARTNERS, LLC (DELAWARE LIMITED LIABILITY COMPANY) SUITE $305\,$

881 ALMA REAL DRIVE

LOS ANGELES, CA 902723731

FOR: FINANCIAL INVESTMENT IN THE FIELD OF SECURITIES, IN CLASS 36 (U.S. CLS. 100, 101 AND 102).

FIRST USE 2-1-2011; IN COMMERCE 2-1-2011.

THE MARK CONSISTS OF STYLIZED MOUNTAIN RIDGE IN GREY, ABOVE THE WORD "IRONRIDGE" WITH THE TOP OF THE LETTERS IN BLACK AND THE BOTTOM OF THE LETTERS IN RED.

THE COLOR(S) GREY, BLACK AND RED IS/ARE CLAIMED AS A FEATURE OF THE MARK.

SN 85-251,055, FILED 2-24-2011.

JOHN DWYER, EXAMINING ATTORNEY



Vand J. Kappas

Director of the United States Patent and Trademark Office

Anited States of America United States Patent and Trademark Office

IRONRIDGE GLOBAL

Reg. No. 4,353,225

IRONRIDGE GLOBAL PARTNERS, LLC (DELAWARE LIMITED LIABILITY COMPANY)

SUITE 305

Registered June 18, 2013 881 ALMA REAL DRIVE

LOS ANGELES, CA 902723731

Int. Cl.: 36

FOR: EOUITY CAPITAL INVESTMENT, IN CLASS 36 (U.S. CLS. 100, 101 AND 102).

SERVICE MARK

FIRST USE 2-1-2011: IN COMMERCE 2-1-2011.

PRINCIPAL REGISTER

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PAR-

TICULAR FONT, STYLE, SIZE, OR COLOR.

OWNER OF U.S. REG. NOS. 4,036,435 AND 4,043,446.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "GLOBAL", APART FROM THE

MARK AS SHOWN.

SER. NO. 85-743,251, FILED 10-1-2012.

KEVEN MITTLER, EXAMINING ATTORNEY

