StockTrack Updates

July 25, 2013
Version 15.3

STOCK**T**RACK ™
Shareholder Management Software

Among the many significant enhancements to this version of StockTrack are native email support; support for seasonal addresses; and Import Wizard support for current versions of Excel.

Email Support Included in StockTrack

Many reports can be emailed directly from StockTrack. The following forms can now be emailed without the need for any third party product:

- Shareholder Statement
- Shareholder Confirmation
- Corporate Investment Statement
- Cash Disbursement Confirmation
- S-Corp -- Schedule K-1
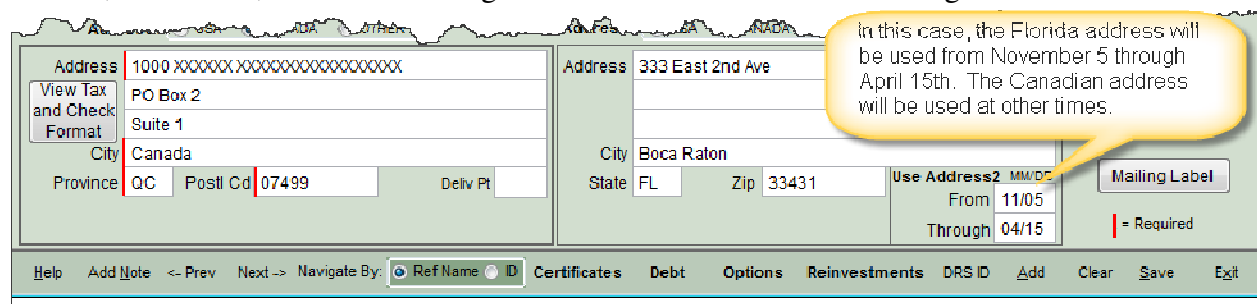- DRP -- Plan Transaction Statement
- Debt -- Interest Confirmation

Autolog Mode – (Avoid Pipeline)

A new setting enables you to automatically log transactions when you select to Transfer shares, enter a New Issuance, print certificates, etc. without using the Pipeline Log or Pending Transactions screens.

We recommend that you continue using logging mode if your company is a Commercial Transfer Agency; if you have more than one agent entering transactions at a time; or if you want control over information entered on *the Pipeline | Log* screen.

Seasonal Address

You can set up a seasonal address and the appropriate date range on the shareholder screen. Checks, statements, and other mailings honor this seasonal address setting..

In this case, the Florida address will be used from November 5 through April 15th. The Canadian address will be used at other times.

Transfer of Reinvestment Data

You can transfer reinvestment information between registrations for the same individual. You might do this, for instance, if a shareholder switched registrations to use a new custodian.

Pipeline- Refresh Button

A "Refresh" button has been added to the Log/Pending Transactions screen, This enables you to view changes in status when multiple agents are adding and changing transactions.

Name and Address on Checks

The name and address is now stored with the Check so that you will know exactly how the check was issued. The name and address shown on the **Proof Register** and the

Dividend/Split/Interest Proof Register

The total amount to be issued via check and ACH is displayed on the **Proof Register**.

Dividend Proof Register – Proof format is as it appeared or will appear on the check.

Correction -- Void Correct Transaction

Voided shares are now excluded when recalculating Affiliate status.

Change Restriction; Change Certificate Flag; Change Stops

You can change the certificate status including restriction and free trading date; flag status; and stop codes for multiple certificates at once. This will simplify setting the same Free Trading date for multiple certificates.

Labels; Letters and Forms; and Export Merge

These screens were reorganized to make them simpler to use. Seasonal addresses are used when they are appropriate.

Void Check Register

Information is grouped by bank account.

Transaction Journal – View in Excel

A "**View in Excel**" button was added to the **Transaction Journal**, **Transaction Journal for One Shareholde**r, and the **Insider Transaction Journal**.

Audit Log | Proxy

A new menu item enables you to view an audit trail of changes to proxy votes.

Shareholder Statements

Added the ability to display total ownership of shares held in and outside of DRP; the FMV; the extended FMV; and the total extended FMV..

Cost Basis Statements

The statements were modified to conform to current use in the DTCC Cost Basis Reporting System (CBRS.)

File of Shareholders Requiring OFAC check

This file now includes alternate address (address2) info along with address1 info in the file being prepared.

Lost Shareholder Search and Address Verification List

Now includes alternate address (address 2) info along with main address info in the file being prepared for Generic and Keane Organization formats.

Print Distribution checks

Checks are printed with the name and address information that was available at the time that the Distribution was generated.

Customized Transaction Confirmation and Invoice Formats

These reports can now be customized. Customizing these reports requires either a license for SAP Crystal Reports and expertise in that report writer; or support from Figtree.

Plan Transactions Report Modifications

The format of this report has been modified to include more information about the Shareholder

ADVANCED License

Attachments

You have an option to save Linked Files within the StockTrack file system (the default) or leave the files in the location in which they are found.

Show Logo on reports

You can add a licensee logo to the Company Information and Shareholders with Certificate Detail reports

Proof Register

You have the option of including the shareholder's address on the proof register.

Shareholder edit –Multi-user functionality

Multiple agents can select the same shareholder for viewing and editing.

Check Register

All the registration names are now displayed on the check register. You also have the option of printing the address that the check was sent to, on the check register.

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Import Wizard Enhancements

The Import Wizard enables you to import spreadsheets created in Excel versions 97 and above as well as Excel 5.0/95.

The **Import Wizard** is also available to licensees of the **Special Import Wizard License** which allows import of spreadsheets, etc. during New Issuances and Transfers.