

SURROGATE'S COURT OF THE STATE OF NEW YORK  
COUNTY OF NEW YORK

PROBATE PROCEEDING,  
WILL OF JAMES GANDOLFINI

a/k/a JAMES JOHN GANDOLFINI and JIM GANDOLFINI

Deceased.

(Note: Attach a copy of the Will/Codicil to this Affidavit of Comparison executed by any two persons; if a photocopy of the Will is used, only one person need make the affidavit.)

AFFIDAVIT OF COMPARISON

File No. 13-2546

STATE OF NEW YORK  
COUNTY OF NEW YORK

}  
} ss.:  
}

I/We ALEXCIA WHITE (and) MAYRA VILCHE being duly sworn, say(s), that (he/she has) (we have) carefully compared the copy of decedent's Will propounded herein to which this affidavit is annexed with the original Will dated the 19th day of December 2012, (and the original NA Codicil dated the NA day of \_\_\_\_\_), about to be filed for probate, and that the same is in all respects a true and correct copy of said original Will/Codicil and of the whole thereof.

Sworn to before me this 1st

day of JULY, 2013

Barry N Kaplan  
Notary Public  
Commission Expires:  
(Affix Notary Stamp or Seal)

**BARRY N KAPLAN**  
NOTARY PUBLIC-STATE OF NEW YORK  
No. 02KA7156570  
Qualified in Westchester County  
My Commission Expires July 31, 2014

Alexcia White  
Signature

ALEXCIA WHITE  
Print Name

Mayra Vilche  
Signature

MAYRA VILCHE  
Print Name

Name of Attorney Barry N. Kaplan Tel. No.: [REDACTED]

Address of Attorney [REDACTED]

STATE OF NEW YORK  
SURROGATE'S COURT: COUNTY OF NEW YORK

PROBATE PROCEEDING,  
WILL OF JAMES GANDOLFINI  
a/k/a JAMES JOHN GANDOLFINI and JIM GANDOLFINI

Deceased.

X

X

Filing Fee Paid \$ \_\_\_\_\_  
Certs \$ \_\_\_\_\_  
Certs \$ \_\_\_\_\_  
Bond, Fee: \$ \_\_\_\_\_  
Receipt No: \_\_\_\_\_ No: \_\_\_\_\_

PETITION FOR PROBATE AND:

- Letters Testamentary
- Letters of Trusteeship
- Letters of Administration c.t.a.

File No. 13-2546

To the Surrogate's Court, County of New York

It is respectfully alleged:

1.(a) The name, citizenship, domicile (or, in the case of a bank or trust company, its principal office) and interest in this proceeding of the petitioners are as follows:

Name: Deborah Lin  
 Domicile or Principal Office: [Redacted] (Street and Number)  
[Redacted] (City, Village or Town) [Redacted] (State) [Redacted] (Zip Code)  
 Mailing Address: \_\_\_\_\_ (if different from domicile)  
 Citizen of: USA

Name: Leta Gandolfini  
 Domicile or Principal Office: [Redacted] (Street and Number)  
[Redacted] (City, Village or Town) [Redacted] (State) [Redacted] (Zip Code)  
 Mailing Address: \_\_\_\_\_ (if different from domicile)  
 Citizen of: USA

SEE ATTACHMENT FOR ADDITIONAL PETITIONER INFORMATION

Interest (s) of Petitioner (s): [Check one]  Executors named in decedent's Will  
 Other (Specify) \_\_\_\_\_

1.(b) One of the proposed Executors  is an attorney.  
[NOTE: A sole Executor-Attorney must comply with 22 NYCRR 207.16(e)]

1.(c) One of the proposed Executors  is the attorney-draftsperson, a then-affiliated attorney or employee (hereof).  
[NOTE: An attorney-draftsperson, a then-affiliated attorney or employee thereof must comply with SCPA 2307-a]

2. The name, domicile, date and place of death, and national citizenship of the above-named decedent as follows:

- (a) Name: James John Gandolfini a/k/a JAMES Gandolfini and Jim Gandolfini
- (b) Date of death June 19, 2013
- (c) Place of death Policlinico Umberto I, Rome, Italy
- (d) Domicile: Street [Redacted]  
City, Town, Village [Redacted]  
County New York State New York
- (e) Citizen of the United States of America [Redacted]

3. The Last Will, herewith presented, relates to both real and personal property and consists of an instrument or instruments dated as shown below and signed at the and thereof by the decedent and the following attesting witnesses:

December 19, 2012 ✓  
(Date of Will)

Valerie Baugh, Mayra Vliche and Janeth Leon  
(Names of All Witnesses to Will)

NONE  
(Date of Codicil)  
(Date of Codicil)

\_\_\_\_\_  
(Names of All Witnesses to Codicil)  
(Names of All Witnesses to Codicil)

SURROGATE'S COURT: COUNTY OF NEW YORK

X

PROBATE PROCEEDING.  
WILL OF JAMES GANDOLFINI  
a/k/a JAMES JOHN GANDOLFINI and JIM GANDOLFINI

Deceased.

Question 1 (a) Continued

1.(a) The name, citizenship, domicile (or, in the case of a bank or trust company, its principal office) and interest in this proceeding of the petitioners are as follows:

Name: \_\_\_\_\_ Roger S. Haber \_\_\_\_\_  
 Domicile or Principal Office: \_\_\_\_\_ (Street and Number) \_\_\_\_\_  
 \_\_\_\_\_ California \_\_\_\_\_ (Zip Code) \_\_\_\_\_  
 (City, Village or Town) (State)  
 Mailing Address: \_\_\_\_\_ (If different from domicile)  
 Citizen of: \_\_\_\_\_ USA \_\_\_\_\_

4. No other will or codicil of the decedent is on file in this Surrogate's Court, and upon information and belief, after a diligent search and inquiry, including a search of any safe deposit box, there exists no will, codicil or other testamentary instrument of the decedent later in date to any of the instruments mentioned in Paragraph 3 except as follows:

[Enter "NONE" or specify] NONE

5. The decedent was survived by distributees classified as follows: (Information is required only as to those classes of surviving relatives who would take the property of decedent pursuant to EPTL 4-1.1 and 4-1.2. State the number of survivors in each class. Insert "NO" in all prior classes. Insert "X" in all subsequent classes).

- a. 1 [ ] Spouse (wife).
- b. 2 [ ] Child or children and/or issue of predeceased child or children. (Must include marital, nonmarital, adopted, or adopted-out of child under DRL Section 117)
- c. X [ ] Mother/Father.
- d. X [ ] Sisters and/or brothers, either of the whole or half blood, and issue of predeceased sisters and/or brothers (nieces/nephews, etc.)
- e. X [ ] Grandparents. (Include maternal and paternal)
- f. X [ ] Aunts and/or uncles, and children of predeceased aunts and/or uncles (first cousins). (include maternal and paternal)
- g. X [ ] First cousins once removed (children of predeceased first cousins). (Include maternal and paternal)

6. The names, relationships, domicile and addresses of all distributees (under EPTL 4-1.1 and 4-1.2), of each person designated in the Will herewith presented as primary executor, of all persons adversely affected by the purported exercise by such Will of any power of appointment, of all persons adversely affected by any codicil and of all persons having an interest under any other will of the decedent on file in the Surrogate's Court, are hereinafter set forth in subdivisions (a) and (b).

[If the propounded will purports to revoke or modify an inter vivos trust or any other testamentary substitute, list the names, relationships, domicile and addresses of the trustee and beneficiaries affected by the will in subparagraphs (a) and (b) below. Submit trust agreement]

(a) All persons and parties so interested who are of full age and sound mind or which are corporations or associations, are as follows:

Name and Relationship	Domicile Address and Mailing Address	Description of Legacy, Devise or Other Interest, or Nature of Fiduciary Status
Deborah Lin Wife	[REDACTED]	(1) Other Tangible Personal Property of Decedent per Paragraph Third B of Will (2) Twenty Percent (20%) of Residuary Estate per Paragraph Eighth A iii of Will (3) Co-Executor & Co-Trustee per Para. Fourteenth A & B of Will
_____	_____	_____
_____	_____	_____
_____	_____	_____

or the amount she would be entitled to pursuant to a Prenuptial Agreement between the decedent and the beneficiary if the same be greater than the Will provisions

*Meta Gandolfini*

*Roger S. Haber*

*Co-Executor + Co-Trustee  
Para. 14 A + B of Will  
Co-Executor + Co-Trustee  
Para 14 A + B of Will*

(b) All persons so interested who are persons under disability, are as follows:  
[Furnish all information specified in NOTE following 7b]

Name and Relationship	Domicile Address and Mailing Address	Description of Legacy, Devise or Other Interest, or Nature of Fiduciary Status
✓ Michael Gandolfini Son Born 05/10/1999 No court appointed guardian	lives with mother and natural guardian Marcella Wudarski Gandolfini at [REDACTED]	None Intestate Distributee
✓ Liliana Ruth Gandolfini Daughter Born 10/10/2012 No court appointed guardian	lives with mother and natural guardian Debra Lin at [REDACTED]	Twenty Percent of Residuary Per Para. Eighth A. iv of Will Intestate Distributee

7. (a) The names and domiciliary of all substitute or successor executors and of all trustees, guardians, legatees, devisees, and other beneficiaries named in the Will and/or trustees and beneficiaries of any inter vivos trust designated in the propounded Will other than those named in Paragraph 6 herewith are as follows:

Name	Domicile Address and Mailing Address	Description of Legacy, Devise or Other Interest, or Nature of Fiduciary Status
SEE ATTACHED LIST		

(b) All such legatees, devisees and other beneficiaries who are persons under disability are as follows:  
[Furnish all information specified in NOTE below]

Name	Domicile Address and Mailing Address	Description of Legacy, Devise or Other Interest, or Nature of Fiduciary Status
NONE		

[NOTE: In the case of each infant, state (a) name, birth date, relationship to decedent, domicile and residence address, and the person with whom he/she resides, (b) whether or not he/she has a court-appointed guardian (if not, so state), and whether or not his/her father and/or mother is living, and (c) the name and residence address of any court-appointed guardian and the information regarding such appointment. In the case of each other person under a disability, state (a) name, relationship to decedent, and residence address, (b) facts regarding his disability including whether or not a committee, conservator, guardian, or any other fiduciary has been appointed and whether or not he/she has been committed to any institution, and (c) the names and addresses of any committee, person or institution having care and custody of him/her, conservator, guardian, and any relative or friend having an interest in his/her welfare. In the case of a person confined as a prisoner, state place of incarceration and list any person having an interest in his/her welfare. In the case of unknowns, describe such person in the same language as will be used in the process.]

8. (a) No beneficiary under the propounded will, listed in Paragraph 6 or 7 above, had a confidential relationship to the decedent, such as attorney, accountant, doctor, or clergy person, except: [Enter "NONE" or indicate the nature of the confidential relationship]. NONE

SURROGATE'S COURT: COUNTY OF NEW YORK

X

PROBATE PROCEEDING,  
WILL OF JAMES GANDOLFINI  
a/k/a JAMES JOHN GANDOLFINI and JIM GANDOLFINI

Deceased.

Question 7 (a) Continued

7. (a) The names and domiciliary of all substitute or successor executors and of all trustees, guardians, legatees, devisees, and other beneficiaries named in the Will and/or trustees and beneficiaries of any inter vivos trust designated in the propounded Will other than those named in Paragraph 6 herewith are as follows:

*WCA*

Name  
Johanna Antonacci

Domicile Address and  
Mailing Address

[Redacted]

Description of Legacy, Devise  
or Other Interest, or Nature  
of Fiduciary Status.

Substitute Trustee Paragraph  
Fourteenth B of Will and  
Substitute Guardian Paragraph  
Fifteen of Will and Thirty  
Percent (30%) of Residuary  
Paragraph Eighth A i of Will

*GA*

Leta Gandolfini

[Redacted]

Thirty Percent (30%) of  
Residuary Paragraph Eighth A  
ii of Will & Co-Executor &  
Co-Trustee Para Fourteenth  
A & B of Will Guardian Para.  
Fifteen of Will

*MEV*

Paulette Flynn Bourne a/k/a Trixie Bourne

[Redacted]

Bequest of \$ 200,000 Para.  
Fourth A of Will

*WCA*

Fatima Bae

[Redacted]

Bequest of \$ 50,000 Para.  
Fourth B of Will

*WCA*

Laura Antonacci

[Redacted]

Bequest of \$ 500,000 Para.  
Fourth C of Will

*WCA*

Jenna Antonacci

[Redacted]

Bequest of \$ 500,000 Para.  
Fourth D of Will

*WCA*

Robert Joseph Parish

[Redacted]

Bequest of \$ 100,000 Para.  
Fourth E of Will

*WCA*

Thomas A. Richardson

[Redacted]

Bequest of \$ 200,000 Para.  
Fourth F of Will

*WCA*

Doug Katz

[Redacted]

Bequest of \$ 50,000 Para.  
Fourth G of Will

Trust f/b/o Michael Gandolfini dtd 12/20/2002  
Attention: Leta Gandolfini, Trustee

[Redacted]

Option to Purchase Condo  
Para. Fifth

(b) No persons, corporations or associations are interested in this proceeding other than those mentioned above.

9. (a) To the best of the knowledge of the undersigned, the approximate total value of all property constituting the decedent's gross testamentary estate is greater than \$ 1,000,000 but less than \$ 10,000,000.

Personal Property \$ 2,000,000 Improved real property in New York State \$ 4,000,000  
Unimproved real property in New York State \$ -0-

Estimated gross rents for a period of 18 months \$ -0-

(b) No other testamentary assets exist in New York State, nor does any cause of action exist on behalf of the estate, except as follows: [Enter "NONE" or specify]  
NONE

10. Upon information and belief, no other petition for the probate of any will of the decedent or for letters of administration of the decedent's estate has heretofore been filed in any court.

WHEREFORE your petitioner (s) pray (s) that process be issued to all necessary parties to show cause why the Will and the Codicil (s) set forth in Paragraph 3 and presented herewith should not be admitted to probate; (b) that an order be granted directing the service of process, pursuant to the provisions of Article 3 of the S.C.P.A., upon the persons named in Paragraph (6) hereof whose names or whereabouts are unknown and cannot be ascertained, or who may be persons on whom service by personal delivery cannot be made; and (c) that such Will and Codicil (s) be admitted to probate as a Will of real and personal property and that letters issue thereon as follows: [Check and complete all relief requested.]

[ X ] Letters Testamentary to Deborah Lin, Leta Gandolfini and Roger S Haber

[ X ] Letters of Trusteeship to Deborah Lin, Leta Gandolfini and Roger S. Haber *libro Liliana Ruth Gandolfini and Michael Gandolfini*

\_\_\_\_\_ /s/bo  
\_\_\_\_\_ /s/bo

[ ] Letters of Administration c.t.a to \_\_\_\_\_

and that petitioner (s) have such other relief as may be proper.

Dated: June 28, 2013

1. Deborah Lin  
(Signature of Petitioner)

DEBORAH LIN  
(Print Name)

2. Leta Gandolfini  
(Signature of Petitioner)

LETA GANDOLFINI  
(Print Name)

3. Roger S. Haber  
(Signature of Petitioner)

ROGER S. HABER  
(Print Name)

COMBINED VERIFICATION, OATH AND DESIGNATION
[For use when petitioner is an individual]

STATE OF NEW YORK
COUNTY OF NEW YORK ss.:

The undersigned, the petitioner named in the foregoing petition, being duly sworn, says:

1. VERIFICATION: I have read the foregoing petition subscribed by me and know the contents thereof, and the same is true of my own knowledge, except as to the matters therein stated to be alleged upon information and belief, and as to those matters I believe it to be true.

2. OATH OF [X] EXECUTOR and [X] TRUSTEE as indicated above: I am over eighteen (18) years of age and a citizen of the United States and I will well, faithfully and honestly discharge the duties of Fiduciary of the goods, chattels and credits of said decedent according to law. I am not ineligible to receive letters and will duly account for all moneys and other property that will come into my hands.

3. DESIGNATION OF CLERK FOR SERVICE OF PROCESS: I hereby designate the Clerk of the Surrogate's Court of NEW YORK County, and his/her successor in office, as a person on whom service of any process, issuing from such Court may be made in like manner and with like effect as if it were served personally upon me, whenever I cannot be found and served within the State of New York after due diligence used.

My domicile is: [Redacted] (Street Address) [Redacted] (City/Town/Village) [Redacted] (State) [Redacted] (Zip)

[Signature]
(Signature of Petitioner)
ROGER S. HABER
(Print Name)

On June 28, 2013, before me personally came

ROGER S. HABER
to me known to be the person described in and who executed the foregoing instrument. Such person duly swore to such instrument before me and duly acknowledged that he/she executed the same.

[Signature]
Notary Public
Commission Expires:
(Affix Notary Stamp or Seal)
VALERIE A. BAUGH
Notary Public, State Of New York
No. 02BA606696
Qualified in Suffolk County
Commission Expires 11/19/13

Signature of Attorney: [Signature]
Print Name: BARRY N. KAPLAN
Firm Name: KRADITOR & HABER, LLP Tel No. [Redacted]
Address of Attorney: [Redacted]



COMBINED VERIFICATION, OATH AND DESIGNATION  
(For use when petitioner is an individual)

STATE OF NEW YORK )  
COUNTY OF NEW YORK ) ss.:

The undersigned, the petitioner named in the foregoing petition, being duly sworn, says:

1. VERIFICATION: I have read the foregoing petition subscribed by me and know the contents thereof, and the same is true of my own knowledge, except as to the matters therein stated to be alleged upon information and belief, and as to those matters I believe it to be true.

2. OATH OF [X] EXECUTOR and [X] TRUSTEE as indicated above: I am over eighteen (18) years of age and a citizen of the United States and I will well, faithfully and honestly discharge the duties of Fiduciary of the goods, chattels and credits of said decedent according to law. I am not ineligible to receive letters and will duly account for all moneys and other property that will come into my hands.

3. DESIGNATION OF CLERK FOR SERVICE OF PROCESS: I hereby designate the Clerk of the Surrogate's Court of NEW YORK County, and his/her successor in office, as a person on whom service of any process, issuing from such Court may be made in like manner and with like effect as if it were served personally upon me, whenever I cannot be found and served within the State of New York after due diligence used.

My domicile is [Redacted] (Street Address) [Redacted] (City/Town/Village) [Redacted] (State) [Redacted] (ZIP)

Deborah Lin  
(Signature of Petitioner)

DEBORAH LIN  
(Print Name)

on June 28, 2013, before me personally came

DEBORAH LIN  
to me known to be the person described in and who executed the foregoing instrument. Such person duly swore to such instrument before me and duly acknowledged that he/she executed the same.

[Signature]  
Notary Public

Commission Expires:  
(Affix Notary Stamp or Seal)

VALERIE A. BAUGH  
Notary Public, State Of New York  
No. 02BA6066596  
Qualified in Suffolk County  
Commission Expires 11/19/13

Signature of Attorney: Barry N. Kaplan

Print Name: BARRY N. KAPLAN

Firm Name: KRADITOR & HABER, LLP Tel No. [Redacted]

Address of Attorney: [Redacted]

COMBINED VERIFICATION, OATH AND DESIGNATION  
(For use when petitioner is an individual)

STATE OF NEW YORK )  
COUNTY OF NEW YORK ) ss.:

The undersigned, the petitioner named in the foregoing petition, being duly sworn, says:

1. VERIFICATION: I have read the foregoing petition subscribed by me and know the contents thereof, and the same is true of my own knowledge, except as to the matters therein stated to be alleged upon information and belief, and as to those matters I believe it to be true.

2. OATH OF [X] EXECUTOR and [X] TRUSTEE as indicated above: I am over eighteen (18) years of age and a citizen of the United States and I will well, faithfully and honestly discharge the duties of Fiduciary of the goods, chattels and credits of said decedent according to law. I am not ineligible to receive letters and will duly account for all moneys and other property that will come into my hands.

3. DESIGNATION OF CLERK FOR SERVICE OF PROCESS: I hereby designate the Clerk of the Surrogate's Court of NEW YORK County, and his/her successor in office, as a person on whom service of any process, issuing from such Court may be made in like manner and with like effect as if it were served personally upon me, whenever I cannot be found and served within the State of New York after due diligence used.

My domicile is: [Redacted] (Street Address) [Redacted] (City/Town/Village) [Redacted] (State) [Redacted] (Zip)

[Signature]  
(Signature of Petitioner)

LETA GANDOLFINI  
(Print Name)

On June 28, 2013, before me personally came

LETA GANDOLFINI  
to me known to be the person described in and who executed the foregoing instrument. Such person duly swore to such instrument before me and duly acknowledged that he/she executed the same.

[Signature]  
Notary Public  
Commission Expires: \_\_\_\_\_  
(Affix Notary Stamp or Seal)  
VALERIE A. BAUGH  
Notary Public, State Of New York  
No. 028A6086596  
Qualified in Suffolk County  
Commission Expires 11/19/13

Signature of Attorney: [Signature]

Print Name: BARRY N. KAPLAN

Firm Name: KRADITOR & HABER, LLP Tel No. [Redacted]

Address of Attorney [Redacted]

SURROGATE'S COURT STATE OF NEW YORK  
COUNTY OF NEW YORK

PROBATE PROCEEDING \_\_\_\_\_ X  
WILL OF JAMES GANDOLFINI  
a/k/a JAMES JOHN GANDOLFINI and JIM  
GANDOLFINI

Deceased, \_\_\_\_\_ X

AFFIDAVIT OF ATTESTING WITNESS  
(After Death)

Pursuant to SCPA 1406  
File No. 13-2546

STATE OF NEW YORK )  
COUNTY OF NEW YORK ) ss.:

The undersigned witness, being duly sworn, deposes and says:

(1) I have been shown (check one)  
(  ) the original instrument dated December 19, 2012  
( ) a court-certified photographic reproduction of the original instrument dated \_\_\_\_\_  
purporting to be the last Will and Testament/Codicil of the above-named decedent.

(2) On the date indicated in such instrument (under the supervision of an attorney), I saw the decedent subscribe the same at the place where decedent's signature appears, and I heard the decedent declare such instrument to be his/her last Will and Testament/Codicil.

(3) I (hereafter signed my name to such instrument as a witness thereto at the request of the decedent, and I saw the other witnesses) Mayra Vilche and Janeth Leon sign their names (s) at the end of such instrument as a witness thereto.

(4) At the time the decedent subscribed and executed such instrument, the decedent was to the best of my knowledge and belief upwards of 18 years of age, and in all respects appeared to be of sound and disposing mind, memory and understanding, competent to make a will, and not under any restraint.

(5) The decedent could read, write and converse in the English language, and was not suffering from defects of sight, hearing or speech, or any other physical or mental impairment, which would affect his/her capacity to make a valid will. The purported instrument was the only copy of said Will/Codicil executed on that occasion, and was not executed in counterparts.

(6) I am making this affidavit at the request of Barry N. Kaplan, Esq.


  
\_\_\_\_\_  
(Witness Signature)

Valerie Baugh  
\_\_\_\_\_  
(Print Name)

\_\_\_\_\_  
(Street Address)

\_\_\_\_\_  
(Town/State/Zip)

Sworn before me this 25th  
day of June, 2013

  
\_\_\_\_\_  
Notary Public  
Commission Expires:  
(Affix Notary Stamp or Seal)

BARRY N. KAPLAN  
NOTARY PUBLIC-STATE OF NEW YORK  
No. 02KA7156570  
Qualified in Westchester County  
My Commission Expires July 31, 2014

[Note: Each witness must be shown either the Original Will or a Court-Certified Reproduction thereof. The Notary Public subscribing to this affidavit may Not be a party or witness to the Will.]

SURROGATE'S COURT STATE OF NEW YORK  
COUNTY OF NEW YORK

PROBATE PROCEEDING  
WILL OF JAMES GANDOLFINI  
a/k/a JAMES JOHN GANDOLFINI and JIM  
GANDOLFINI

Deceased.

AFFIDAVIT OF ATTESTING WITNESS

(After Death)

Pursuant to SCPA 1406

File No. 13-25-0

STATE OF NEW YORK )  
COUNTY OF NEW YORK ) ss.:

The undersigned witness, being duly sworn, deposes and says:

(1) I have been shown [check one]  
(  ) the original instrument dated December 19, 2012  
(  ) a court-certified photographic reproduction of the original instrument dated \_\_\_\_\_  
purporting to be the last Will and Testament/Codicil of the above-named decedent.

(2) On the date indicated in such instrument (under the supervision of an attorney), I saw the decedent subscribe the same at the place where decedent's signature appears, and I heard the decedent declare such instrument to be his/her last Will and Testament/Codicil.

(3) I thereafter signed my name to such instrument as a witness thereto at the request of the decedent, and I saw the other witnesses) Valerie Baugh and Mayra Vilche sign their names (s) at the end of such instrument as a witness thereto.

(4) At the time the decedent subscribed and executed such instrument the decedent was to the best of my knowledge and belief upwards of 18 years of age, and in all respects appeared to be of sound and disposing mind, memory and understanding, competent to make a will, and not under any restraint.

(5) The decedent could read, write and converse in the English language, and was not suffering from defects of sight, hearing or speech, or any other physical or mental impairment, which would affect his/her capacity to make a valid will. The purported instrument was the only copy of said Will/Codicil executed on that occasion, and was not executed in counterparts.

(6) I am making this affidavit at the request of Barry N. Kaplan, Esq.

*Janeth Leon*  
\_\_\_\_\_  
(Witness Signature)

Janeth Leon  
\_\_\_\_\_  
(Print Name)

\_\_\_\_\_  
(Street Address)

\_\_\_\_\_  
(Town/State/Zip)

Sworn before me this 25th  
day of June, 2013

*Barry N Kaplan*  
\_\_\_\_\_  
Notary Public  
Commission Expires:  
(Affix Notary Stamp or Seal)

BARRY N KAPLAN  
NOTARY PUBLIC-STATE OF NEW YORK  
No. 02KA7156570  
Qualified in Westchester County  
My Commission Expires July 31, 2019

[Note: Each witness must be shown either the Original Will or a Court-Certified Reproduction thereof. The Notary Public subscribing to this affidavit may Not be a party or witness to the Will.]

SURROGATE'S COURT STATE OF NEW YORK  
COUNTY OF NEW YORK

AFFIDAVIT OF ATTESTING WITNESS  
(After Death)

\_\_\_\_\_  
PROBATE PROCEEDING  
WILL OF JAMES GANDOLFINI  
a/k/a JAMES JOHN GANDOLFINI and JIM  
GANDOLFINI  
Deceased. \_\_\_\_\_

Pursuant to SCPA 1406  
File No. 13-2546

STATE OF NEW YORK )  
COUNTY OF NEW YORK ) ss.:

The undersigned witness, being duly sworn, deposes and says:

(1) I have been shown [check one]  
(  ) the original instrument dated December 19, 2012, \_\_\_\_\_  
( ) a court-certified photographic reproduction of the original instrument dated \_\_\_\_\_  
purporting to be the last Will and Testament/Codicil of the above-named decedent.

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(3) I thereafter signed my name to such Instrument as a witness thereto at the request of the decedent, and I saw the other witness(es) Valerie Baugh and Janeth Leon sign their names (s) at the end of such instrument as a witness thereto.

(4) At the time the decedent subscribed and executed such instrument, the decedent was to the best of my knowledge and belief upwards of 18 years of age, and in all respects appeared to be of sound and disposing mind, memory and understanding, competent to make a will, and not under any restraint.

(5) The decedent could read, write and converse in the English language, and was not suffering from defects of sight, hearing or speech, or any other physical or mental impairment, which would affect his/her capacity to make a valid will. The purported instrument was the only copy of said Will/Codicil executed on that occasion, and was not executed in counterparts.

(6) I am making this affidavit at the request of Barry N. Kaplan, Esq.

Mayra Vilche  
(Witness Signature)

Mayra Vilche  
(Print Name)

\_\_\_\_\_  
(Street Address)

\_\_\_\_\_  
(Town/State/Zip)

Sworn before me this 25th  
day of June, 2013  
Barry N. Kaplan  
Notary Public  
Commission Expires:  
(Affix Notary Stamp or Seal)

BARRY N KAPLAN  
NOTARY PUBLIC-STATE OF NEW YORK  
No. 02KA7156670  
Qualified in Westchester County  
My Commission Expires July 31, 2014

[Note: Each witness must be shown either the Original Will or a Court-Certified Reproduction thereof. The Notary Public subscribing to this affidavit may Not be a party or witness to the Will.]

STATE OF NEW YORK  
SURROGATE'S COURT: COUNTY OF NEW YORK

PROBATE PROCEEDING

AFFIDAVIT RE NO NEED  
FOR GUARDIAN AD LITEM  
File No. 2013-2546

WILL OF JAMES GANDOLFINI  
a/k/a JAMES JOHN GANDOLFINI and JIM GANDOLFINI

Valerie A. Baugh, being duly sworn, deposes and says:

That I am attorney admitted to practice before the Courts of the State of New York and have knowledge of the following facts because I have acted as the decedent's business manager for approximately the past fifteen years.

That I make this affidavit to advise the Surrogate's Court of New York County that there is no need to appoint a guardian ad litem for Michael Gandolfini the minor son of the decedent.

Michael Gandolfini was born on May 10, 1999 to James Gandolfini and Marcella Wudarski Gandolfini who were then married.


That when the decedent and his first wife, Marcella Wudarski Gandolfini, were divorced as a part of the divorce settlement an insurance trust was created for the benefit of their son, Michael Gandolfini

That insurance trust dated December 20, 2002 owns a life insurance policy upon the life of the decedent in the sum of \$7 million.

That the Trustee of that insurance trust is Leta Gandolfini the sister of the decedent and aunt of Michael Gandolfini.

Therefore, the decedent, knowing he had made provision for his son outside of his Will, made no provision for him in his Will. This is stated in Paragraph Ninth of his Will submitted for probate to this Court.

That I make this affidavit under penalties of perjury knowing that the Surrogate's Court of New York County will rely upon the same.

  
Valerie A. Baugh

Sworn to me this 2<sup>nd</sup>  
day of July 2013

  
Notary Public

BARRY N KAPLAN  
NOTARY PUBLIC-STATE OF NEW YORK  
No. 02KA7156570  
Qualified in Westchester County  
My Commission Expires July 31, 2019

New York  
PR  
JUL - 9 2013  
E  
Clerk

\_\_\_\_\_  
PROBATE PROCEEDING,  
WILL OF JAMES GANDOLFINI

a/k/a JAMES JOHN GANDOLFINI and JIM GANDOLFINI

Deceased.

NOTICE OF PROBATE  
(SCPA 1409)

File No. 13-2546

Notice is hereby given that:

1. The Will dated December 19, 2012 (and Codicil dated NA)

(and Codicil dated NA) of the above named decedent, domiciled at \_\_\_\_\_

\_\_\_\_\_ has been/will be offered for probate in the Surrogate's Court for the County of New York \_\_\_\_\_.

2. The name (s) of proponent (s) of said Will are Debra Lin, Leta Gandolfini and Roger S. Haber

whose address(es) are \_\_\_\_\_

\_\_\_\_\_ respectively

3. The name and post office address of each person named or referred to in the petition who has not been served or has not appeared, or waived service of process, with a statement whether such person is named or referred to in the will as legatee, devisee, trustee, guardian or substitute or successor executor, trustee or guardian, and as to any such person who is an infant or an incompetent, the name and post office address of a person upon whom service of process may be made on behalf of such infant or incompetent, is as follows:

NAME	MAILING ADDRESS	NATURE OF INTEREST OR STATUS
SEE ATTACHED LIST		

(USE ADDITIONAL SHEETS IF NECESSARY)

Date July 1, 2013

[Note: Complete Affidavit of Mailing. If serving infant 14 years of age or older, list and mail to infant as well as parent or guardian.]

Name of Attorney: Barry N. Kaplan Tel. No. \_\_\_\_\_

Address of Attorney: \_\_\_\_\_

P-6 (10/96)

STATE OF NEW YORK  
SURROGATE'S COURT: COUNTY OF NEW YORK

PROBATE PROCEEDING,  
WILL OF JAMES GANDOLFINI

a/k/a JAMES JOHN GANDOLFINI and JIM GANDOLFINI

Deceased.

LIST OF PERSONS GIVEN NOTICE OF PROBATE

Name	Domicile Address and Mailing Address	Nature of Interest or Status
Johanna Antonacci	[REDACTED]	Substitute Trustee Paragraph Fourteenth B of Will and Substitute Guardian Paragraph Fifteen of Will and Thirty Percent (30%) of Residuary Paragraph Eighth A i of Will
Paulette Flynn Bourne a/k/a Trixie Bourne	[REDACTED]	Bequest of \$ 200,000 Para. Fourth A of Will
Fatima Bae	[REDACTED]	Bequest of \$ 50,000 Para. Fourth B of Will
Laura Antonacci	[REDACTED]	Bequest of \$ 500,000 Para. Fourth C of Will
Jenna Antonacci	[REDACTED]	Bequest of \$ 500,000 Para. Fourth D of Will
Robert Joseph Parish	[REDACTED]	Bequest of \$ 100,000 Para. Fourth E of Will
Thomas A. Richardson	[REDACTED]	Bequest of \$ 200,000 Para. Fourth F of Will
Doug Katz	[REDACTED]	Bequest of \$ 50,000 Para. Fourth G of Will



AFFIDAVIT OF MAILING NOTICE OF PROBATE

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NEW YORK.....)

ALEXCIA WHITE, residing at \_\_\_\_\_

being duly sworn, says that he/she is over the age of 18 years, that on the \_\_\_\_\_ 1st \_\_\_\_\_ day of \_\_\_\_\_ July, 2013, he/she deposited in the post office box regularly maintained by the government of the United States in the \_\_\_\_\_ City and County \_\_\_\_\_ of \_\_\_\_\_ New York \_\_\_\_\_, State of New York, a copy of the foregoing Notice of Probate contained in a securely closed postpaid wrapper directed to each of the persons named in said notice at the places set opposite their respective names.

Sworn to before me this \_\_\_\_\_ 1st \_\_\_\_\_

Alexcia White  
Signature

day of July, 2013

Alexcia White  
Print Name

Barry N. Kaplan  
Notary Public  
Commission Expires:  
(Affix Notary Stamp or Seal)

BARRY N KAPLAN  
NOTARY PUBLIC-STATE OF NEW YORK  
No. 02KA7156870  
Qualified in Westchester County  
My Commission Expires July 31, 2017

Name of Attorney Barry N. Kaplan Tel. No.: \_\_\_\_\_

Address of Attorney \_\_\_\_\_

STATE OF NEW YORK  
SURROGATE'S COURT: COUNTY OF NEW YORK

PROBATE PROCEEDING

AFFIDAVIT RE REMOVED  
STAPLES  
File No. 2013-2546

WILL OF JAMES GANDOLFINI  
a/k/a JAMES JOHN GANDOLFINI and JIM GANDOLFINI

Barry N. Kaplan, being duly sworn, deposes and says:

That the original Will of James Gandolfini bears staple holes indicating that staples had been inserted and removed from the Will.

That the staple holes resulted from the staples being removed after the death of the decedent in order to make photocopies of the original Will. After the original Will was photocopied new staples were placed into the Will to hold it together.

That no pages of the original Will were replaced or changed.

That the original Will submitted to the Surrogate's Court for probate contains all of the original pages as written and approved by the decedent. That I know the same because I was the attorney draftsman of said Will.

That I make this affidavit under penalties of perjury knowing that the Surrogate's Court of New York County will rely upon the same.

*Barry N. Kaplan*  
Barry N. Kaplan

Sworn to me this 2<sup>nd</sup>  
day of July 2013

*[Signature]*  
Notary Public

VALERIE A. BAUGH  
Notary Public, State Of New York  
No. 02BA8086598  
Qualified in Suffolk County  
Commission Expires 11/19/13

New York County Surrogate's Court  
PROBATE DEPT  
JUL - 2 2013  
FILED  
Clerk