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1 2 3 4	ROBIE & MATTHAI A Professional Corporation EDITH R. MATTHAI, SBN 66730 ematthai@romalaw.com DIANA K. RODGERS, SBN 174250 drodgers@romalaw.com 500 S. Grand Avenue, Suite 1500	FILED Superior Court of California County of Los Angeles NOV 2 1 2013
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14 15	Attorneys for Plaintiff,/Cross-Defendant THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN	
16	SUPERIOR COURT OF THE STATE OF CALIFORNIA	
17	FOR THE COUNTY OF LOS ANGELES	
18 19	THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN,	CASE NO. BC 468468 [Assigned to The Honorable William A. MacLaughlin, Department 89]
20	Plaintiff,	NOTICE OF MOTION AND MOTION FOR AN ORDER LIMITING DEFENDANT TO
21	vs.	HIS PRIOR RESPONSE TO PLAINTIFF'S SPECIAL INTERROGATORY, NO. 4 AND
22	RYAN O'NEAL,	PLAINTIFF'S MOTION IN LEMENT NO. 133 FOR AN ORDER EXCLUDING & WITNESS
23	Defendant,	NOT PREVIOUSLY DISCLOSED IN THE RESPONSE TO INTERPOPATORY NO. 4;
24		MEMORANDUM AND POINTS OF AUTHORITIES; DECLARATION OF
25 26	AND RELATED CROSS-ACTION.	AUTHORITIES; DECLARATION OF DIANA K. RODGERS AND EXERBITS; [PROPOSED] ORDER
20		1 01
27 28	NOTICE OF MOTION AND MOTION FOR AN ORI RESPONSE TO PLAINTIFF'S SPECIAL INTERROG LIMINE NO. 13 FOR AN ORDER EXCLUDING A W RESPONSE TO INTERROGATORY NO. 4; MEMOD DECLARATION OF DIANA K. RODGERS AND EX	GATORY NO. 4 AND PLAINTIEFS MOTION IN VITNESS NOT PREVIOUSILY DISCLOSED IN RANDUM AND POINTS OF AUTHORIFIES;
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DATE: TIME: DEPT.:	November, 2013 8:30 a.m. 89
Filing Date: Trial Date:	August 26, 2011 November 13, 2013

Motion C/O: October 11, 2013

October 11, 2013

TO THIS HONORABLE COURT, AND to DEFENDANT RYAN O'NEAL AND TO HIS ATTORNEYS OF RECORD:

Disc. C/O:

PLEASE TAKE NOTICE that on November ______, 2013 or as soon thereafter as the motion may be heard in Department 89 of the Los Angeles Superior Court located at 111 North Hill Street, Los Angeles, CA 90012, Plaintiff The Board of Regents of the University of Texas System on behalf of the University of Texas at Austin ("UT") will move for an order limiting Defendant Ryan O'Neal ("O'Neal") to his previous supplemental answers to Interrogatory No. 4 and (and No. 24, to the extent it supplements No. 4) served on or before October 25, 2013. This Motion is made pursuant to Code of Civil Procedure Section 2030.210(b) and (c), and is made on the grounds that (1) O'Neal's failure until the eve of trial to fully answer Interrogatory No. 4 by identifying all witnesses with knowledge of any facts that support his contention that he owns the Warhol Portrait has prejudiced UT, (2) O'Neal has not provided a substantial justification for that failure, and (3) the prejudice to UT cannot be cured by either a continuance to permit further discovery or using O'Neal's initial answer against him under Section 2030.410 of the California Code of Civil Procedure. (Cal. Code Civ. Proc. § 2030.310(c).)

Accordingly, UT also moves for an order precluding O'Neal from calling as a witness Maribel Avila, who was not identified until November 14, 2013, to testify as to any facts supporting O'Neal's contention that he owns the Warhol Portrait This Motion is made on the grounds that Ms. Avila was not properly disclosed during discovery.

On November 21, 2013, counsel for UT satisfied the meet and confer requirement

NOTICE OF MOTION AND MOTION FOR AN ORDER LIMITING DEFENDANT TO HIS PRIOR RESPONSE TO PLAINTIFF'S SPECIAL INTERROGATORY NO. 4 AND PLAINTIFF'S MOTION IN LIMINE NO. 13 FOR AN ORDER EXCLUDING A WITNESS NOT PREVIOUSLY DISCLOSED IN RESPONSE TO INTERROGATORY NO. 4; MEMORANDUM AND POINTS OF AUTHORITIES; DECLARATION OF DIANA K. RODGERS AND EXHIBITS; [PROPOSED] ORDER

by making attempts to confer with O'Neal's counsel by phone and email. (See Declaration 2 of Diana K. Rodgers ["Rodgers Decl."] at ¶ 2 filed concurrently herewith.) O'Neal's 3 counsel did not respond to Ms. Rodgers' email or telephone messages. These Motions are based on the accompanying Memorandum of Points and Authorities, the Declaration of Diana K. Rodgers, the complete files and records in this action, and on such oral and documentary evidence as may be presented at or before the 7 hearing of these Motions. DATED: November 21, 2013 8 **ROBIE & MATTHAI** A Professional Corporation 9 10 11 DIANA K. RODGERS 12 Attornevs for Plaintiff THE BOARD OF REGENTS FOR THE 13 UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF 14 TEXAS AT AUSTIN 15 DATED: November 21, 2013 BECK | REDDEN LLP 16 17 18 DAVID J. BECK, ESO. ERIC J.R. NICHOLS, ESO. 19 Attorneys for Plaintiff THE BOARD OF REGENTS FOR THE 20 UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF 21 TEXAS AT AUSTIN 22 23 24 25 26 27

1/22/2013

RESPONSE TO PLAINTIFF'S SPECIAL INTERROGATORY NO. 4 AND PLAINTIFF'S MOTION IN LIMINE NO. 13 FOR AN ORDER EXCLUDING A WITNESS NOT PREVIOUSLY DISCLOSED IN RESPONSE TO INTERROGATORY NO. 4; MEMORANDUM AND POINTS OF AUTHORITIES; DECLARATION OF DIANA K. RODGERS AND EXHIBITS; [PROPOSED] ORDER

MEMORANDUM OF POINTS AND AUTHORITIES

I. <u>INTRODUCTION AND FACTUAL BACKGROUND</u>

This motion to limit O'Neal to his prior discovery responses and related motion in *limine* are necessary because O'Neal failed to identify a witness, Maribel Avila, until the eve of trial in response to an interrogatory served on him almost two years ago. That interrogatory requested O'Neal to identify all persons who have knowledge of facts concerning the ultimate issue in this case – the ownership of the Warhol Portrait. O'Neal's identification of Ms. Avila in his most recent supplemental response to that interrogatory – more than a year after discovery in this case ended – has prejudiced UT and O'Neal has not provided any substantial justification for his delay. Accordingly, UT moves for an order limiting O'Neal to his previous responses to that interrogatory and excluding O'Neal from offering Ms. Avila as a witness to testify concerning any facts related to the ownership of the Warhol Portrait.

II. BRIEF FACTUAL BACKGROUND

On January 27, 2012, UT served its First Set of Special Interrogatories to O'Neal, which included Interrogatory No. 4. Interrogatory No. 4 requested that O'Neal identify all persons who "have knowledge of any facts that support or refute [his] contention [that he owns the Warhol Portrait]." (Rodgers Decl. at Ex. A.) In March 2012, O'Neal responded to Interrogatory No. 4, identifying seven persons with such knowledge. (Rodgers Decl. at Ex. B.) Ms. Avila was not one of those persons identified. In September 2012, UT served its Third Set of Special Interrogatories to O'Neal, which included Interrogatory No. 24, requesting O'Neal to review and update his answers to all interrogatories previously served on him – including Interrogatory No. 4. (Rodgers Decl. at Ex. C.) On November 5, 2012, O'Neal provided a supplemental response to Interrogatory No. 4, which listed five other persons who purportedly have knowledge of facts concerning the ownership of the Warhol

NOTICE OF MOTION AND MOTION FOR AN ORDER LIMITING DEFENDANT TO HIS PRIOR RESPONSE TO PLAINTIFF'S SPECIAL INTERROGATORY NO. 4 AND PLAINTIFF'S MOTION IN LIMINE NO. 13 FOR AN ORDER EXCLUDING A WITNESS NOT PREVIOUSLY DISCLOSED IN RESPONSE TO INTERROGATORY NO. 4; MEMORANDUM AND POINTS OF AUTHORITIES; DECLARATION OF DIANA K. RODGERS AND EXHIBITS; [PROPOSED] ORDER

Portrait. (Rodgers Decl. at Ex. D.) Again, Ms. Avila was not one of those individuals listed. Almost a year later, on October 25, 2013, O'Neal served another supplement to his response to Interrogatory No. 4 – identifying five other witnesses. Yet again, Ms. Avila was not identified. (Ex. E.) It was not until November 14, 2013 (after this trial was already set to begin) that Ms. Avila was first identified in O'Neal's fourth amend witness list. (Rodgers Decl. at Ex. F.) O'Neal then waited another four days to amended his response to Interrogatory No. 4 to include Ms. Avila as a person who O'Neal claims has knowledge of facts in support of his ownership of the Warhol Portrait. (Rodgers Decl. at Ex. G.)

III. ARGUMENTS & AUTHORITIES

Pursuant to Cal. Code Civ. Proc. § 2030.310(c), O'Neal must be limited to and bound by his prior interrogatory responses, despite subsequent amendment to those responses, if (1) O'Neal's failure to fully and correctly answer Interrogatory No. 4 in his prior responses has "substantially prejudiced" UT, (2) O'Neal fails to show "substantial justification" for that failure, and (3) the prejudice suffered by UT cannot be cured by "a continuance to permit further discovery or by the use of" his previous answer under Section 2030.410 of the Code of Civil Procedure. (Cal. Code Civ. Proc. § 2030.310(c).) All of the above conditions are satisfied with regard to UT's late identification of Ms. Avila.

First, it cannot be seriously disputed that UT has been prejudiced by the identification of Ms. Avila *days before the trial*, of whom UT had no prior knowledge and who O'Neal now claims to have knowledge of facts relevant to the ultimate issue in this case. Indeed, "it is patently obvious [that] prolonged delay and incorrect answers to

¹ O'Neal also identified for the first time in his November 18 supplement to Interrogatory No. 4 another witness, Joseph Francaviglia, as a person with knowledge concerning ownership of the Warhol Portrait. Given the Court's order denying UT's previous motions in *limine* concerning other late identified

NOTICE OF MOTION AND MOTION FOR AN ORDER LIMITING DEFENDANT TO HIS PRIOR RESPONSE TO PLAINTIFF'S SPECIAL INTERROGATORY NO. 4 AND PLAINTIFF'S MOTION IN LIMINE NO. 13 FOR AN ORDER EXCLUDING A WITNESS NOT PREVIOUSLY DISCLOSED IN RESPONSE TO INTERROGATORY NO. 4; MEMORANDUM AND POINTS OF AUTHORITIES; DECLARATION OF DIANA K. RODGERS AND EXHIBITS; [PROPOSED] ORDER

interrogatories seriously inhibit the principal aim of discovery procedures in general [which] is to assist counsel to prepare for trial" and "[w]here answers are erroneous, or misleading, they should be corrected long before the pretrial conference." (Guzman v. General Motors Corp. (1984) 154 Cal. App. 3d 438, 442-443 [internal quotations omitted].) Indeed, the only information UT has concerning Ms. Avila came from a declaration made by O'Neal's counsel – provided just two days ago – in which he states that Ms. Avila was Ms. Fawcett's nurse and she will testify concerning thus far undisclosed "statements made by Ms. Fawcett to her concerning the Warhol Portrait." (Rodgers Decl. at Ex. G.) Permitting O'Neal to spring this new witness on UT just days before the trial begins will result in surprise, an inability to prepare, and undue prejudice to UT – all of which is precisely what the Discovery Code was designed to prevent. (West Hills Hospital v. Superior Court (1979) 98 Cal. App. 3d 656, 659) ["the discovery statutes are intended to safeguard against surprise"].)

Second, O'Neal cannot show a "substantial justification" for his eleventh hour addition of Ms. Avila to his responses. O'Neal's only excuse for his extreme tardiness appears to be that Ms. Avila "first approached [his counsel's] office with information about" these purported statements by Ms. Fawcett earlier this month. (Rodgers Decl. at Ex. G.) But according to O'Neal's counsel, Ms. Avila was Ms. Fawcett's nurse (presumably during Ms. Fawcett's cancer treatments) – a time during which Mr. O'Neal has claimed in his book and to the media that he and Ms. Fawcett had reconciled, were in a committed romantic relationship, and were living together. That O'Neal apparently

persons whose existence was known to UT prior to their identification in O'Neal's supplemental response to Interrogatory No. 4, UT does not move to exclude Mr. Francaviglia's testimony based on his late identification.

² Assuming these statements are being offered for the truth of the matter asserted, they are clearly inadmissible hearsay and, in the event the Court permits Ms. Avila to testify as a witness, UT reserves its right to raise that and any other applicable objection during trial.

NOTICE OF MOTION AND MOTION FOR AN ORDER LIMITING DEFENDANT TO HIS PRIOR RESPONSE TO PLAINTIFF'S SPECIAL INTERROGATORY NO. 4 AND PLAINTIFF'S MOTION IN LIMINE NO. 13 FOR AN ORDER EXCLUDING A WITNESS NOT PREVIOUSLY DISCLOSED IN RESPONSE TO INTERROGATORY NO. 4; MEMORANDUM AND POINTS OF AUTHORITIES; DECLARATION OF DIANA K. RODGERS AND EXHIBITS; [PROPOSED] ORDER

2 pending of those persons he must have known interacted regularly with Ms. Fawcett 3 during that time is not a justification for his late identification of Ms. Avila. (See Devo v. 4 Kilbourne (1978) 84 Cal. App. 3d 771, 782, 149 [one "cannot plead ignorance to 5 information which can be obtained from sources under [one's] control"].) Finally, the prejudice UT will suffer if Ms. Avila is permitted to testify as to the 6 7 undisclosed "statements made by Ms. Fawcett" cannot be cured through further discovery. 8 This case has been pending since August 2011. It was first set for trial a year ago. 9 Granting yet another continuance to conduct further discovery because O'Neal was not diligent in his investigation during the already extensive discovery period would serve only 10 11 to further unfairly prejudice UT. At some point, discovery must come to an end. (See Cal. 12 Code Civ. Proc. § 2024.020.) UT has waited long enough to have its claims heard and adjudicated.3 13 /// 14 /// 15 16 l/// 17 I*/ / /* 18 19 111 20 /// 111 21 111 22 23 ³ Similarly, the prejudice to UT cannot be lessened by allowing UT to use O'Neal's prior answer to 24 Interrogatory No. 4 against him pursuant to Cal. Civ. Proc. Code § 2030.410 because O'Neal's prior responses simply identify other witnesses. Thus, O'Neal's failure to identify Ms. Avila in previous 25 interrogatory responses will provide UT no relief from the prejudice resulting from permitting her late identification and subsequent testimony. 26 27 NOTICE OF MOTION AND MOTION FOR AN ORDER LIMITING DEFENDANT TO HIS PRIOR

RESPONSE TO PLAINTIFF'S SPECIAL INTERROGATORY NO. 4 AND PLAINTIFF'S MOTION IN

LIMINE NO. 13 FOR AN ORDER EXCLUDING A WITNESS NOT PREVIOUSLY DISCLOSED IN RESPONSE TO INTERROGATORY NO. 4; MEMORANDUM AND POINTS OF AUTHORITIES;

DECLARATION OF DIANA K. RODGERS AND EXHIBITS; [PROPOSED] ORDER

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decided not to make basic inquiries during the two and a half years this litigation has been

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IV. CONCLUSION

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For the foregoing reasons, UT respectfully requests that the Court grant its Motion and issue an order limiting and binding O'Neal to his responses to Interrogatory No. 4 made on or before October 25, 2013 and precluding O'Neal from calling Ms. Avila as a witness to testify as to any facts supporting O'Neal's contention that he owns the Warhol Portrait.

DATED: November 21, 2013

ROBIE & MATTHAI A Professional Corporation

 $\mathbf{R}\mathbf{v}$

EDITH R. MATTHAI
DIANA K. RODGERS
Attorneys for Plaintiff
THE BOARD OF REGENTS FOR THE
UNIVERSITY OF TEXAS SYSTEM ON
BEHALF OF THE UNIVERSITY OF
TEXAS AT AUSTIN

DATED: November 21, 2013

BECK | REDDEN LLP

D...

DAVID J. BECK, ESQ. ERIC J.R. NICHOLS, ESQ.

Attorneys for Plaintiff

THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF

TEXAS AT AUSTIN

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NOTICE OF MOTION AND MOTION FOR AN ORDER LIMITING DEFENDANT TO HIS PRIOR RESPONSE TO PLAINTIFF'S SPECIAL INTERROGATORY NO. 4 AND PLAINTIFF'S MOTION IN LIMINE NO. 13 FOR AN ORDER EXCLUDING A WITNESS NOT PREVIOUSLY DISCLOSED IN RESPONSE TO INTERROGATORY NO. 4; MEMORANDUM AND POINTS OF AUTHORITIES; DECLARATION OF DIANA K. RODGERS AND EXHIBITS; [PROPOSED] ORDER

DECLARATION OF DIANA K. RODGERS

- I, Diana K. Rodgers, declare and state as follows:
- 1. I am an attorney at law duly licensed to practice before all courts of the State of California. I am an associate with the law firm of Robie & Matthai, counsel of record for the Board of Regents for the University of Texas System on behalf of the University of Texas at Austin ("UT"). I make this Declaration in support of UT;s motion for an order limiting defendant to his prior response to plaintiff's special interrogatory no. 4 and plaintiff's motion in limine no. 13 for an order excluding a witness not previously disclosed in response to interrogatory no. 4. I have personal knowledge of the following facts, and if called upon to testify, I could and would competently testify thereto.
- 2. On November 21, 2013, at 8:44 a.m., I telephoned counsel for Mr. O'Neal, Todd Eagan, to meet and confer with him regarding this motion. Mr. Eagan did not answer his telephone, so I left a lengthy message explaining the bases for this motion and the relief sought. At 9:06 a.m., I telephoned Mr. O'Neal's other counsel, Martin Singer, to meet and confer with him. He did not answer his telephone so I left a message for him explaining the bases for this motion and the relief sought. At 9:29 a.m., I sent a lengthy email to Mr. Eagan and Mr. Singer explaining the bases for this motion and requesting Mr. O'Neal's assent to the motion. Attached as **Exhibit H** is a true and correct copy of the email I sent to Mr. Eagan and Mr. Singer.
- 3. On January 27, 2012, UT served its First Set of Special Interrogatories to O'Neal, which included Interrogatory No. 4. Interrogatory No. 4 requested that O'Neal identify all persons who "have knowledge of any facts that support or refute [his] contention [that he owns the Warhol Portrait]." Attached as **Exhibit A** is a true and correct copy of UT's First Set of Special Interrogatories to Mr. O'Neal (without exhibits).
- 4. In March 2012, O'Neal responded to Interrogatory No. 4, identifying seven persons with such knowledge. Attached as **Exhibit B** is a true and correct copy of Mr.

NOTICE OF MOTION AND MOTION FOR AN ORDER LIMITING DEFENDANT TO HIS PRIOR RESPONSE TO PLAINTIFF'S SPECIAL INTERROGATORY NO. 4 AND PLAINTIFF'S MOTION IN LIMINE NO. 13 FOR AN ORDER EXCLUDING A WITNESS NOT PREVIOUSLY DISCLOSED IN RESPONSE TO INTERROGATORY NO. 4; MEMORANDUM AND POINTS OF AUTHORITIES; DECLARATION OF DIANA K. RODGERS AND EXHIBITS; [PROPOSED] ORDER

O'Neal's Responses to Special Interrogatories, Set One.

- 5. Ms. Avila was not one of those seven persons identified. In September 2012, UT served its Third Set of Special Interrogatories to O'Neal, which included Interrogatory No. 24, requesting O'Neal to review and update his answers to all interrogatories previously served on him including Interrogatory No. 4. Attached as **Exhibit C** is a true and correct copy of UT's Special Interrogatories, Set Three to Mr. O'Neal.
- 6. On November 5, 2012, O'Neal provided a supplemental response to Interrogatory No. 4, which listed five other persons who purportedly have knowledge of facts concerning the ownership of the Warhol Portrait. Attached as **Exhibit D** is a true and correct copy of Mr. O'Neal's Supplemental Responses. Again, Ms. Avila was not one of those individuals listed. Almost a year later, on October 25, 2013, O'Neal served another supplement to his response to Interrogatory No. 4 identifying five other witnesses. Yet again, Ms. Avila was not identified. Attached as **Exhibit E** is a true and correct copy of Mr. O'Neal's Further Supplemental Responses to Special Interrogatories.
- 7. It was not until November 14, 2013 (after this trial was already set to begin) that Ms. Avila was first identified in O'Neal's fourth amended witness list. Attached as **Exhibit F** is a true and correct copy of Mr. O'Neal's Fourth Amended Witness List. O'Neal then waited another four days to amend his response to Interrogatory No. 4 to include Ms. Avila as a person who O'Neal claims has knowledge of facts in support of his ownership of the Warhol Portrait. (Attached as **Exhibit G** is a true and correct copy of Mr. O'Neal's Further (Second) Supplemental Responses to Special Interrogatories, Set Three.)

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NOTICE OF MOTION AND MOTION FOR AN ORDER LIMITING DEFENDANT TO HIS PRIOR RESPONSE TO PLAINTIFF'S SPECIAL INTERROGATORY NO. 4 AND PLAINTIFF'S MOTION *IN LIMINE* NO. 13 FOR AN ORDER EXCLUDING A WITNESS NOT PREVIOUSLY DISCLOSED IN

RESPONSE TO INTERROGATORY NO. 4; MEMORANDUM AND POINTS OF AUTHORITIES; DECLARATION OF DIANA K. RODGERS AND EXHIBITS; [PROPOSED] ORDER

8. Attached as **Exhibit I** is a true and correct copy of the Declaration of Martin Singer dated November 19, 2013 (without exhibits) (see ¶ 4 of Mr. Singer's Declaration).

I declare under penalty of perjury under the laws of the state of California that the foregoing is true and correct.

Executed this 21st day of November, 2013, at Los Angeles, California.

DIANA K. RODGERS

NOTICE OF MOTION AND MOTION FOR AN ORDER LIMITING DEFENDANT TO HIS PRIOR RESPONSE TO PLAINTIFF'S SPECIAL INTERROGATORY NO. 4 AND PLAINTIFF'S MOTION IN LIMINE NO. 13 FOR AN ORDER EXCLUDING A WITNESS NOT PREVIOUSLY DISCLOSED IN RESPONSE TO INTERROGATORY NO. 4; MEMORANDUM AND POINTS OF AUTHORITIES; DECLARATION OF DIANA K. RODGERS AND EXHIBITS; [PROPOSED] ORDER

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SUPERIOR COURT OF THE STATE OF CALIFORNIA

FOR THE COUNTY OF LOS ANGELES

THE BOARD OF REGENTS FOR THE CASE NO. BC 468468 UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF [Assigned to The Honorable Ernest TEXAS AT AUSTIN, Hiroshige, Department 54] Plaintiff, **IPROPOSEDI ORDER GRANTING** PLAINTIFF'S MOTION FOR AN ORDER LIMITING DEFENDANT TO VS. HIS PRIOR RESPONSE TO RYAN O'NEAL, PLAINTIFF'S SPECIAL INTERROGATORY NO. 4 AND Defendant, PLAINTIFF'S MOTION *IN LIMINE* NO. 13 FOR AN ORDER EXCLUDING A WITNESS NOT PREVIOUSLY AND RELATED CROSS-ACTION. DISCLOSED IN RESPONSE TO INTERROGATORY NO. 4 Filing Date: August 26, 2011 November 13, 2013 Trial Date:

Plaintiff The Board of Regents of the University of Texas System on Behalf of the University of Texas at Austin's Motion to limit and bind Defendant Ryan O'Neal to his prior response to Interrogatory No. 4 and Motion *in Limine* No. 13 for an order excluding a witness not previously disclosed in response to Interrogatory No. 4 came for hearing before this Court on November 2013.

Disc. C/O:

October 11, 2013

Motion C/O: October 11, 2013

NOTICE OF MOTION AND MOTION FOR AN ORDER LIMITING DEFENDANT TO HIS PRIOR RESPONSE TO PLAINTIFF'S SPECIAL INTERROGATORY NO. 4 AND PLAINTIFF'S MOTION IN LIMINE NO. 13 FOR AN ORDER EXCLUDING A WITNESS NOT PREVIOUSLY DISCLOSED IN RESPONSE TO INTERROGATORY NO. 4; MEMORANDUM AND POINTS OF AUTHORITIES; DECLARATION OF DIANA K. RODGERS AND EXHIBITS; [PROPOSED] ORDER

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1	The Court, having reviewed and considered the Motions, all papers and pleadings	
2	on file herein, and any argument of counsel, is of the opinion that the Motions are	
3	meritorious and should be GRANTED. Accordingly, it is ORDERED:	
4	Defendant Ryan O'Neal is limited to and bound by his responses to Interrogatory	
5	No. 4 made on or before October 25, 2013, and is precluded from calling Ms. Maribel	
6	Avila as a witness to testify as to any facts supporting Mr. O'Neal's contention that he	
7	owns the Warhol Portrait.	
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9	DATED: November, 2013	
10	HON. WILLIAM A. MACLAUGHLIN, JUDGE PRESIDING	
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RESPONSE TO INTERROGATORY NO. 4; MEMORANDUM AND POINTS OF AUTHORITIES;

DECLARATION OF DIANA K. RODGERS AND EXHIBITS; [PROPOSED] ORDER

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PROOF OF SERVICE

I am employed in the county of Los Angeles, State of California. I am over the age of 18 and not a party to the within action; my business address is 500 South Grand Avenue, Suite 1500, Los Angeles, California 90071.

On November 21, 2013 I served the foregoing document described AS NOTICE OF MOTION AND MOTION FOR AN ORDER LIMITING DEFENDANT TO HIS PRIOR RESPONSE TO PLAINTIFF'S SPECIAL INTERROGATORY NO. 4 AND PLAINTIFF'S MOTION IN LIMINE NO. 13 FOR AN ORDER EXCLUDING A WITNESS NOT PREVIOUSLY DISCLOSED IN RESPONSE TO INTERROGATORY NO. 4; MEMORANDUM AND POINTS OF AUTHORITIES; DECLARATION OF DIANA K. RODGERS AND EXHIBITS; [PROPOSED] ORDER on the interested parties in this action by placing a true copy thereof enclosed in sealed envelopes addressed as follows:

SEE ATTACHED SERVICE LIST

- () VIA MAIL: As follows: I am "readily familiar" with the firm's practice of collection and processing correspondence for mailing. Under that practice, it would be deposited with U.S. postal service on that same day with postage thereon fully prepaid at Los Angeles, California in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one day after date of deposit for mailing in affidavit.
- (XX) BY PERSONAL SERVICE: I delivered such envelope by hand to the above addressee(s).
- () BY FACSIMILE TRANSMISSION: I caused the above-referenced document(s) to be transmitted to the above-named person(s) at the following telecopy number:

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on November 21, 2013, at Los Angeles, California.

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NOTICE OF MOTION AND MOTION FOR AN ORDER LIMITING DEFENDANT TO HIS PRIOR RESPONSE TO PLAINTIFF'S SPECIAL INTERROGATORY NO. 4 AND PLAINTIFF'S MOTION IN LIMINE NO. 13 FOR AN ORDER EXCLUDING A WITNESS NOT PREVIOUSLY DISCLOSED IN RESPONSE TO INTERROGATORY NO. 4; MEMORANDUM AND POINTS OF AUTHORITIES; DECLARATION OF DIANA K. RODGERS AND EXHIBITS; [PROPOSED] ORDER

SERVICE LIST 1 2 ATTORNEYS FOR DEFENDANT/CROSS-3 **COMPLAINANT RYAN O'NEAL** Martin D. Singer 4 Todd Eagan Lavely & Singer Professional Corporation 2049 Century Park East, Suite 2400 Los Angeles, CA 90067-2906 Tel: (310) 556-3501 Fax: (310) 556-3615 mdsinger@lavelysinger.com teagan@lavelysinger.com 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 RESPONSE TO PLAINTIFF'S SPECIAL INTERROGATORY NO. 4 AND PLAINTIFF'S MOTION IN

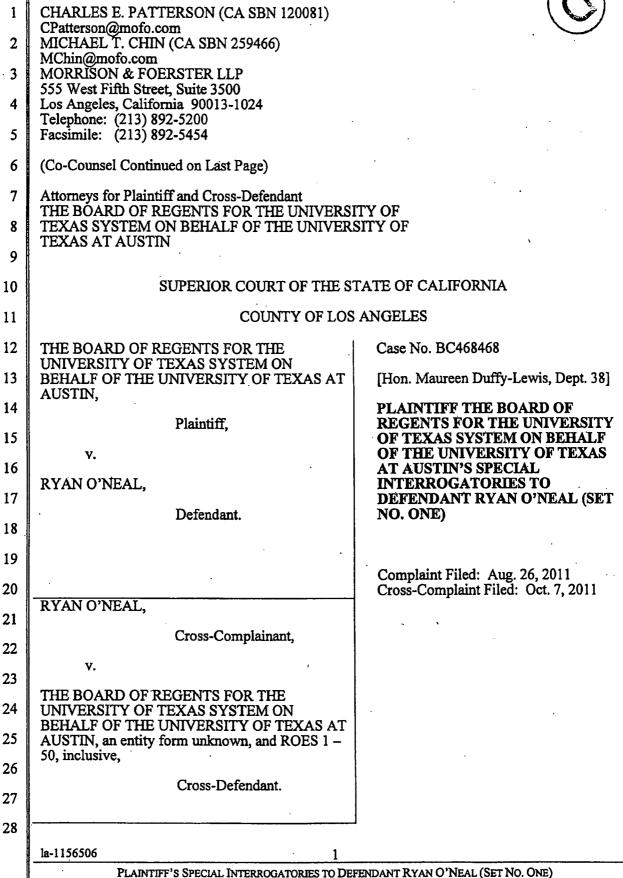
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LIMINE NO. 13 FOR AN ORDER EXCLUDING A WITNESS NOT PREVIOUSLY DISCLOSED IN RESPONSE TO INTERROGATORY NO. 4; MEMORANDUM AND POINTS OF AUTHORITIES: DECLARATION OF DIANA K. RODGERS AND EXHIBITS; [PROPOSED] ORDER

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la-1156506

PROPOUNDING PARTY:

Plaintiff The Board of Regents for the University of Texas System

on Behalf of the University of Texas at Austin

RESPONDING PARTY:

Defendant Ryan O'Neal

SET NUMBER:

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TO: DEFENDANT RYAN O'NEAL AND HIS COUNSEL OF RECORD:

PLEASE TAKE NOTICE THAT Plaintiff The Board of Regents for the University of Texas System on Behalf of the University of Texas at Austin, pursuant to California Code of Civil Procedure § 2030.010, hereby requests that Defendant Ryan O'Neal answer the following interrogatories within thirty (30) days of service hereof, in accordance with the instructions below.

INSTRUCTIONS

The following instructions shall apply when responding to these interrogatories:

- 1. This discovery seeks all responsive information that is within the possession, custody, or control of, or is known or available to DEFENDANT, his attorneys, investigators, agents, employees or other representatives, or any entity controlled by DEFENDANT.
- 2. If YOU encounter any ambiguity in construing an interrogatory, definition, or instruction herein, YOU shall make YOUR best efforts to interpret the interrogatory, definition, or instruction within the context of the above-captioned litigation and shall set forth the matter deemed ambiguous, and the construction or interpretation chosen or used in responding.
- 3. In the event that YOUR answer to an interrogatory is "not applicable" or any similar phrase or answer, explain in detail why the interrogatory is not applicable.
- 4. If, after reasonable and thorough investigation, using due diligence, YOU are unable to answer any interrogatory or any part thereof, on the grounds of lack of information available to YOU, please state what has been done to locate such information. In addition, specify what knowledge or belief YOU do have concerning the unanswered portion of any interrogatory and set forth the facts upon which such knowledge or belief is based.
- 5. When an interrogatory asks for specific information (e.g., a date) and the precise specific information is unknown, the answer shall give the best approximation of the information

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requested, provided that the answer also indicates the information being given is an approximation.

- 6. The interrogatories set forth herein shall be construed so as to make responses inclusive rather than exclusive.
- 7. Whenever an interrogatory requests that you identify a person, state his or her full name, present or last known residence and business address(es) and telephone number(s).
- 8. Whenever an interrogatory requests that you identify a DOCUMENT, state (i) the type; (ii) date; (iii) title, if any; (iv) recipient(s); and (v) author(s) of the DOCUMENT.
- 9. If you assert a privilege as to any information, DOCUMENT or other matter, for each such assertion: (a) identify the information, DOCUMENT or other matter withheld on the basis of privilege in a manner sufficient to allow the Court to rule upon the asserted privilege; (b) state the date of the DOCUMENT, statement, conversation or other communication withheld on the basis of privilege; (c) state the nature of the privilege claimed and the facts upon which the claim is based; and (d) identify all PERSONS who were provided with a copy, or otherwise have knowledge, of the information, DOCUMENT or other matter withheld on the basis of privilege.
- 10. Each of these interrogatories is intended to be a continuing interrogatory. If, at a later date, YOU obtain any additional facts or reach any conclusions or opinions that are different from, or in addition to, those set forth in YOUR answers to these interrogatories, YOU should amend YOUR answer promptly so as to fully set forth the new or different information.

INTERROGATORIES

SPECIAL INTERROGATORY NO. 1:

Do YOU contend that YOU own the WARHOL PORTRAIT?

("YOU" or "YOUR" or "DEFENDANT" means, includes, and refers to defendant Ryan O'Neal, O'Neal's present and former agents, and all other such persons acting on O'Neal's behalf, including attorneys and investigators. "WARHOL PORTRAIT" means, includes, and refers to that certain portrait of Farrah Fawcett, painted by Andy Warhol, that is the subject of the above-captioned litigation.)

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SPECIAL INTERROGATORY NO. 2:

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If YOUR answer to Interrogatory No. 1 is anything but an unqualified denial, state all facts that support or refute YOUR contention.

SPECIAL INTERROGATORY NO. 3:

If YOUR answer to Interrogatory No. 1 is anything but an unqualified denial, identify all DOCUMENTS that support or refute YOUR contention.

("DOCUMENT" or "DOCUMENTS" includes, without limitation, any writings, drawings, graphs, charts, photographs, sound recordings, images, electronic records, e-mail, and other data or data compilations stored in any medium from which information can be obtained, within the possession, custody and/or control of DEFENDANT, or his employees, agents, attorneys, and/or any other persons who may act on his behalf, excepting only those DOCUMENTS which are privileged or otherwise protected from discovery, as to which the claim of privilege or protection is specifically stated by written notice to PLAINTIFF. "PLAINTIFF" means plaintiff The Board of Regents for the University of Texas System on Behalf of the University of Texas at Austin.)

SPECIAL INTERROGATORY NO. 4:

If YOUR answer to Interrogatory No. 1 is anything but an unqualified denial, identify all PERSONS who have knowledge of any facts that support or refute YOUR contention.

("PERSON" or "PERSONS" means any natural person, corporation, limited or general partnership, joint venture, firm, association, proprietorship, agency, board authority, governmental entity, or any other entity.)

SPECIAL INTERROGATORY NO. 5:

Do YOU contend that YOU own the WARHOL NAPKIN?

("WARHOL NAPKIN" means, includes, and refers to that certain drawing by Andy Warhol referred to as the Warhol Napkin in Paragraph 7 of DEFENDANT'S Cross-Complaint, a picture of which is attached as Exhibit A to DEFENDANT'S Cross-Complaint. A true and correct copy of DEFENDANT'S Cross-Complaint is attached to these interrogatories as Exhibit 1.)

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SPECIAL	INTERROGATORY NO.	6:
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If YOUR answer to Interrogatory No. 5 is anything but an unqualified denial, state all facts that support or refute YOUR contention.

SPECIAL INTERROGATORY NO. 7:

If YOUR answer to Interrogatory No. 5 is anything but an unqualified denial, identify all DOCUMENTS that support or refute YOUR contention.

SPECIAL INTERROGATORY NO. 8:

If YOUR answer to Interrogatory No. 5 is anything but an unqualified denial, identify all PERSONS who have knowledge of any facts that support or refute YOUR contention.

SPECIAL INTERROGATORY NO. 9:

Do YOU contend that YOU own the R.O. LIPS DRAWING?

("R.O. LIPS DRAWING" means, includes, and refers to that certain drawing by Andy Warhol, ostensibly of DEFENDANT'S lips, pictured in the background of photographs featuring DEFENDANT in the September 2009 Vanity Fair article entitled, "Beautiful People, Ugly Choices," a true and correct copy of which is attached to these interrogatories as Exhibit 2.)

SPECIAL INTERROGATORY NO. 10:

If YOUR answer to Interrogatory No. 9 is anything but an unqualified denial, state all facts that support or refute YOUR contention.

SPECIAL INTERROGATORY NO. 11:

If YOUR answer to Interrogatory No. 9 is anything but an unqualified denial, identify all DOCUMENTS that support or refute YOUR contention.

SPECIAL INTERROGATORY NO. 12:

If YOUR answer to Interrogatory No. 9 is anything but an unqualified denial, identify all PERSONS who have knowledge of any facts that support or refute YOUR contention.

la-1156506

1	Additional Counsel: DAVID J. BECK (TX SBN 00000070)			
2	dbeck@brsfirm.com BECK, REDDEN & SECREST, L.L.P.			
3	1221 McKinney Street, Suite 4500 Houston, TX 77010-2010			
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5	ERIC J.R. NICHOLS (TX SBN 14994500) enichols@brsfirm.com TIMOTHY CLEVELAND (TX SBN 24055318) tcleveland@brsfirm.com			
6				
7				
8	BECK, REDDEN & SECREST, L.L.P. 515 Congress Avenue, Suite 1750 Austin, TX 78701 Telephone: (512) 708-1000			
9				
10	Facsimile: (512) 708-1002			
11	Dated: January 27, 2012	CHARLES E. PATTERSON MICHAEL T. CHIN		
12		MORRISON & FOERSTER LLP		
13	·			
14		By: Michael T. Chin		
15		Attorneys for Plaintiff and Cross-Defendant		
16	·	THE BOARD OF REGENTS FOR THE INIVERSITY OF TEXAS SYSTEM ON		
17		BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN		
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	la-1156506 PLAINTIFF'S SPECIAL INTERROC	6 GATORIES TO DEFENDANT RYAN O'NEAL (SET NO. ONE)		
	II.			

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MARTIN D. SINGER (BAR NO. 78166) 1 TODD S. EAGAN (BAR NO. 207426) LAVELY & SINGER PROFESSIONAL CORPORATION 2049 Century Park East, Suite 2400 Los Angeles, California 90067-2906 Telephone: (310) 556-3501 MAR 07 2012 Facsimile: (310) 556-3615 BECK, REDDEN & SECREST, LLP Attorneys for Defendant and Cross-Complainant Ryan O'Neal 6 7 SUPERIOR COURT OF THE STATE OF CALIFORNIA 8 FOR THE COUNTY OF LOS ANGELES - CENTRAL DISTRICT 9 10 THE BOARD OF REGENTS FOR THE CASE NO. BC468468 11 UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF **DEFENDANT AND CROSS-**COMPLAINANT RYAN O'NEAL'S TEXAS AT AUSTIN, RESPONSES TO PLAINTIFF'S SPECIAL INTERROGATORIES Plaintiff, 13 [SET NO. ONE] 14 15 RYAN O'NEAL, Defendant. 16 17 18 THE BOARD OF REGENTS FOR THE UNIVERSITY PROPOUNDING PARTY: 19 OF TEXAS SYSTEM ON BEHALF OF THE 20 UNIVERSITY OF TEXAS AT AUSTIN 21 22 **RESPONDING PARTY:** RYAN O'NEAL 23 SET NUMBER: ONE (1) 24 TO ALL PARTIES AND TO THEIR ATTORNEYS OF RECORD HEREIN: Defendant and Cross-Complainant Ryan O'Neal ("Defendant" or "Responding Party") 25 hereby responds and objects to the First Set of Special Interrogatories propounded by Plaintiff The 26 Board of Regents for The University of Texas System on Behalf of the University of Texas at

Austin ("Plaintiff" or "Propounding Party") as follows:



PRELIMINARY STATEMENT

Each of the following objections and responses are made solely for the purposes of this action. Each response is subject to all objections as to competence, relevance, materiality, propriety, admissibility and any and all objections on any ground that would require exclusion of any response herein, if it were introduced in Court, all of which objections and grounds are expressly reserved and may be interposed at time of trial.

Responding Party has not fully completed the investigation of the facts relating to this case, discovery in this action or preparation for trial. All of the responses contained herein are based only upon such information and documents which are presently available to, and specifically known to the Responding Party. Discovery is continuing and will continue as long as permitted by rule, statute or stipulation of the parties herein, and the investigation of Responding Party's attorneys and agents will continue to and through any hearing, judicial proceeding, or trial in this action. It is anticipated that further discovery, independent investigation, legal research and analysis will supply additional facts, which may, in turn, clarify and add meaning to known facts as well as establish entirely new factual matters, all of which will lead to substantial additions to, changes in, and variations from the contentions and responses herein set forth.

The following responses are given without prejudice to Responding Party's right to produce evidence of any subsequently discovered fact or facts, witnesses or documents which this Responding Party may later recall. Responding Party accordingly reserves the right to change any and all responses herein as additional facts are ascertained, analyses are made, legal research is completed and contentions are formulated. Responding Party, however, does not assume the obligation to revise, correct, augment, add to and/or clarify any responses stated herein based upon information, documentation, facts and/or contentions he may subsequently ascertain and/or develop.

Responding Party reserves the right, prior to or at the time of any hearing, judicial proceeding or trial to introduce any evidence from any source that hereafter may be discovered and testimony of witnesses whose identities may hereafter be discovered. If any information has been omitted from these responses, Responding Party reserves the right to apply for relief so as

to permit insertion of responsive information omitted herefrom.

No incidental or implied admissions are intended by the objections and responses herein. The fact that Responding Party may respond to the subject discovery request should not be taken as an admission that such responses or objections constitute admissible evidence. The fact that Responding Party may respond or object to any particular request is not intended to and should not be construed to be a waiver by Responding Party of any part of any objection to any portion of said request or any particular request. Each response is subject to all objections as to admissibility and any other objection which would result in the exclusion of any document at trial.

The responses are also given without prejudice to Responding Party's right to produce any inadvertently omitted evidence and introduce such evidence at trial. Thus, to the extent consistent with the Code of Civil Procedure, the following responses and objections are provided without prejudice to Responding Party's right to produce evidence, documentary or otherwise, of any subsequently discovered facts and/or documents. This preliminary statement is incorporated into each and every response set forth below.

GENERAL OBJECTIONS

- 1. Responding Party objects to the Interrogatories to the extent that they are not limited to the subject matter of this action and thus are irrelevant, immaterial, and not reasonably calculated to lead to the discovery of admissible evidence.
- 2. Responding Party objects to the Interrogatories to the extent that they are unduly burdensome, oppressive, unreasonably cumulative, duplicative and overbroad.
- 3. Responding Party objects to the Interrogatories to the extent that they seek information protected from disclosure under the attorney-client privilege, work product doctrine or any other applicable protection or privilege. To the extent any Interrogatories seek information that is privileged under law, whether under attorney-client privilege, or work product doctrine, or otherwise, Responding Party objects thereto and asserts the privilege protection provided by such doctrines to the fullest extent permitted by law.

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- 4. Responding Party objects to the Interrogatories to the extent that they seek disclosure of trade secret and/or other confidential and/or proprietary information.
- 5. Responding Party objects to the scope of the Interrogatories to the extent that they fail to specify a properly limited period of time relevant to each Request, making each Request overly broad, unduly burdensome, harassing, oppressive, vague, irrelevant and not reasonably calculated to lead to the discovery of admissible evidence.
- 6. Responding Party objects to the Interrogatories to the extent that they seek information protected from disclosure by rights of privacy under the Constitutions of the United States or the State of California.
- 7. Responding Party objects to the Interrogatories to the extent that they seek information to which the Propounding Party has equal access.
- 8. Responding Party objects generally to the Interrogatories to the extent that they assume facts not in evidence, mischaracterize or misstate facts and/or allegations appearing in the pleadings in this action.
- 9. Responding Party objects generally to the Interrogatories on the grounds and to the extent that they are vague and ambiguous in that the manner in which specific Interrogatories are phrased creates confusion.

The Preliminary Statement and General Objections are incorporated into each response below, regardless of whether specifically mentioned. The specific objections set forth below are not a waiver, in whole or in part, of any of these general objections.

RESPONSES TO SPECIAL INTERROGATORIES (SET ONE)

SPECIAL INTERROGATORY NO. 1:

Do YOU contend that YOU own the WARHOL PORTRAIT?

("YOU" or "YOUR" or "DEPENDANT" means, includes, and refers to defendant Ryan.
O'Neal, O'Neal's present and former agents, and all other such persons acting on O'Neal's behalf,
including attorneys and investigators. "WARHOL PORTRAIT" means, includes, and refers to

that certain portrait of Farrah Fawcett, painted by Andy Warhol, that is the subject of the above-captioned litigation.)

RESPONSE TO SPECIAL INTERROGATORY NO. 1:

Responding Party incorporates by this reference each and every General Objection as though fully set forth herein.

Subject to and without waiving the foregoing objections, Responding Party responds as follows: Yes.

SPECIAL INTERROGATORY NO. 2:

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If YOUR answer to Interrogatory No. 1 is anything but an unqualified denial, state all facts that support or refute YOUR contention.

RESPONSE TO SPECIAL INTERROGATORY NO. 2:

Responding Party incorporates by this reference each and every General Objection as though fully set forth herein. Subject to and without waiving the foregoing objections, Responding Party responds as follows:

Responding Party met the late artist, Andy Warhol, in or around 1969 in New York, and they became good friends. In or around 1979, Responding Party met the late Farrah Fawcett and began a relationship with her that would endure until her untimely death in 2009.

In or around 1980, Responding Party introduced Ms. Fawcett to Mr. Warhol. Shortly afterward, Mr. Warhol called Responding Party in New York where Responding Party was staying at the time. Ms. Fawcett was also in New York. Mr. Warhol called Responding Party and asked if he could create a portrait of Ms. Fawcett in connection with the production of a television news program entitled "20/20," which was planning to film a segment featuring Mr. Warhol at work in his studio. Responding Party told Mr. Warhol that he could create a portrait, so long as he gave one copy to Ms. Fawcett and another copy to Responding Party. In connection therewith, Responding Party explained to Mr. Warhol that Ms. Fawcett and Responding Party each wanted their own copies of the portrait for their separate homes in California. Mr. Warhol readily agreed to Responding Party's request for two copies of the portrait, one for Ms. Fawcett and the other for Responding Party. Based on this agreement, Responding Party arranged for Ms. Fawcett to appear for the portrait.

Shortly afterwards, Responding Party accompanied Ms. Fawcett to Mr. Warhol's studio where Ms. Fawcett was photographed by Mr. Warhol for the portrait. Several weeks later, Ms. Fawcett and Responding Party each received one copy of the portrait.

For approximately the next 30 years, Ms. Fawcett and Responding Party would share residences on and off again, and their respective artwork would sometimes be displayed in each others' homes. With regard to the Warhol portrait, Responding Party's copy was usually displayed at Responding Party's home in Malibu, although at times it was also displayed in Ms. Pawcett's residence for long periods, in part to protect it from the ocean air of Malibu. At no time did Responding Party ever relinquish ownership of Responding Party's copy of the Warhol portrait, regardless of whether it was displayed at Responding Party's home, Ms. Fawcett's home, or when it was placed in a storage facility maintained by Ms. Fawcett. At no time has Responding Party represented to anyone that Responding Party did not own his copy of the Warhol portrait.

Discovery is ongoing and continuous and Responding Party reserves the right to supplement this Response.

SPECIAL INTERROGATORY NO. 3:

If YOUR answer to Interrogatory No. 1 is anything but an unqualified denial, identify all DOCUMENTS that support or refute YOUR contention.

("DOCUMENT" or "DOCUMENTS" includes, without limitation, any writings, drawings, graphs, charts, photographs, sound recordings, images, electronic records, e-mail, and other data or data compilations stored in any medium from which information can be obtained, within the possession, custody andlor control of DEFENDANT, or his employees, agents, attorneys, and/or any other persons who may act on his behalf, excepting only those DOCUMENTS which are privileged or otherwise protected from discovery, as to which the claim of privilege or protection is specifically stated by written notice to PLAINTIFF. "PLAINTIFF"

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means plaintiff The Board of Regents for the University of Texas System on Behalf of the University of Texas at Austin.)

RESPONSE TO SPECIAL INTERROGATORY NO. 3:

Responding Party incorporates by this reference each and every General Objection as though fully set forth herein. Subject to and without waiving the foregoing objections, Responding Party responds as follows: Please see Responding Party's document production, Bates Nos. 1 through 23. Discovery is ongoing and continuous and Responding Party reserves the right to supplement this Response.

SPECIAL INTERROGATORY NO. 4:

If YOUR answer to Interrogatory No. 1 is anything but an unqualified denial, identify all PERSONS who have knowledge of any facts that support or refute YOUR contention.

("PERSON" or "PERSONS" means any natural person, corporation, limited or general partnership, joint venture, firm, association, proprietorship, agency, board authority, governmental entity, or any other entity.)

RESPONSE TO SPECIAL INTERROGATORY NO. 4:

Responding Party incorporates by this reference each and every General Objection as though fully set forth herein. Subject to and without waiving the foregoing objections, Responding Party responds as follows: Responding Party, Richard Francis, Russell Francis, Rick Rogers, Shira Nachshon, Jeffrey Eisen, David Pinsky, each of whom may be contacted via counsel of record for Responding Party. Discovery is ongoing and continuous and Responding Party reserves the right to supplement this Response.

SPECIAL INTERROGATORY NO. 5:

Do YOU contend that YOU own the WARHOL NAPKIN?

("WARHOL NAPKIN" means, includes, and refers to that certain drawing by Andy Warhol referred to as the Warhol Napkin in Paragraph 7 of DEFENDANT'S Cross-Complaint, a picture of which is attached as Exhibit A to DEFENDANT'S Cross-Complaint. A true and

correct copy of DEFENDANT'S Cross-Complaint is attached to these interrogatories as Exhibit 1.)

RESPONSE TO SPECIAL INTERROGATORY NO. 5:

Responding Party incorporates by this reference each and every General Objection as though fully set forth herein.

Subject to and without waiving the foregoing objections, Responding Party responds as follows: Yes.

SPECIAL INTERROGATORY NO. 6:

If YOUR answer to Interrogatory No. 5 is anything but an unqualified denial, state all facts that support or refute YOUR contention.

RESPONSE TO SPECIAL INTERROGATORY NO. 6:

Responding Party incorporates by this reference each and every General Objection as though fully set forth herein. Subject to and without waiving the foregoing objections, Responding Party responds as follows:

Responding Party met Warhol in the 1970s in New York. They shared an enduring friendship and Responding Party visited Warhol on numerous occasions. It was Responding Party who eventually introduced his long time romantic partner, the late iconic actress Farrah Fawcett, to Warhol in 1980. Thereafter, Responding Party and Ms. Fawcett would visit Warhol in Manhattan and at Montauk in Long Island. Both Responding Party and Ms. Fawcett received artwork from Warhol on several occasions, including, without limitation, the "Warhol Napkin," when visiting Warhol.

Ms. Fawcett and Responding Party had an extremely close but sometimes tumultuous relationship. During the last 30 years of Ms. Fawcett's life they lived together on and off again. They were never married. On those several occasions when Ms. Fawcett and Responding Party stopped living together, Ms. Fawcett would remove certain items from Responding Party's home, including artwork and collectibles created by Warhol and others. Responding Party did not seek

immediate physical return of those items, however, because Responding Party knew that they would eventually reconcile and once again share the same home.

When Ms. Fawcett tragically succumbed to cancer in 2009, the artwork that she owned passed from the Farrah Fawcett Living Trust to the University. Among the items removed from Ms. Fawcett's home and received by the University, however, was artwork which did not exclusively belong to Ms. Fawcett, but was jointly owned by Responding Party and Ms. Fawcett. This includes the Warhol Napkin which was owned jointly by Ms. Fawcett and Responding Party. In fact, the Warhol Napkin is inscribed by Warhol "To Farrah F. And Ryan O".

Discovery is ongoing and continuous and Responding Party reserves the right to supplement this Response.

SPECIAL INTERROGATORY NO. 7:

If YOUR answer to Interrogatory No. 5 is anything but an unqualified denial, identify all DOCUMENTS that support or refute YOUR contention.

RESPONSE TO SPECIAL INTERROGATORY NO. 7:

Responding Party incorporates by this reference each and every General Objection as though fully set forth herein. Subject to and without waiving the foregoing objections, Responding Party responds as follows: Please see Responding Party's document production, Bates Nos. 1 through 23. Discovery is ongoing and continuous and Responding Party reserves the right to supplement this Response.

SPECIAL INTERROGATORY NO. 8:

If YOUR answer to Interrogatory No. 5 is anything but an unqualified denial, identify all PERSONS who have knowledge of any facts that support or refute YOUR contention.

RESPONSE TO SPECIAL INTERROGATORY NO. 8:

Responding Party incorporates by this reference each and every General Objection as though fully set forth herein. Subject to and without waiving the foregoing objections, Responding Party responds as follows: Responding Party, Richard Francis, Russell Francis, Rick Rogers,

Shira Nachshon, Jeffrey Eisen, David Pinsky, each of whom may be contacted via counsel of record for Responding Party. Discovery is ongoing and continuous and Responding Party reserves the right to supplement this Response.

SPECIAL INTERROGATORY NO. 9:

Do YOU contend that YOU own the R.O. LIPS DRAWING?

("R.O. LIPS DRAWING" means, includes, and refers to that certain drawing by Andy Warhol, ostensibly of DEFENDANT'S lips, pictured in the background of photographs featuring DEFENDANT in the September 2009 Vanity Fair article entitled, "Beautiful People, Ugly Choices," a true and correct copy of which is attached to these interrogatories as Exhibit 2.)

RESPONSE TO SPECIAL INTERROGATORY NO. 9:

Responding Party incorporates by this reference each and every General Objection as though fully set forth herein. Responding Party further objects to this Interrogatory to the extent that it assumes facts not in evidence, including that the R.O. Lips drawing appears in the background of a September 2009 Vanity Fair article entitled "Beautiful People, Ugly Choices."

Subject to and without waiving the foregoing objections, Responding Party responds as follows: Yes.

SPECIAL INTERROGATORY NO. 10:

If YOUR answer to Interrogatory No. 9 is anything but an unqualified denial, state all facts that support or refute YOUR contention.

RESPONSE TO SPECIAL INTERROGATORY NO. 10:

Responding Party incorporates by this reference each and every General Objection as though fully set forth herein. Subject to and without waiving the foregoing objections, Responding Party responds as follows:

Responding Party met Warhol in the 1970s in New York. They shared an enduring friendship and Responding Party visited Warhol on numerous occasions. It was Responding Party who eventually introduced his long time romantic partner, the late iconic actress Farrah Fawcett,

to Warhol in 1980. Thereafter, Responding Party and Ms. Fawcett would visit Warhol in Manhattan and at Montauk in Long Island. Responding Party received artwork from Warhol on several occasions, including, without limitation, the "R.O. Lips" when visiting Warhol. The R.O. Lips is owned exclusively by Responding Party.

Ms. Fawcett and Responding Party had an extremely close but sometimes tumultuous relationship. During the last 30 years of Ms. Fawcett's life they lived together on and off again. They were never married. On those several occasions when Ms. Fawcett and Responding Party stopped living together, Ms. Fawcett would remove certain items from Responding Party's home, including artwork and collectibles created by Warhol and others. Responding Party did not seek immediate physical return of those items, however, because Responding Party knew that they would eventually reconcile and once again share the same home.

Discovery is ongoing and continuous and Responding Party reserves the right to supplement this Response.

SPECIAL INTERROGATORY NO. 11:

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If YOUR answer to Interrogatory No. 9 is anything but an unqualified denial, identify all DOCUMENTS that support or refute YOUR contention.

RESPONSE TO SPECIAL INTERROGATORY NO. 11:

Responding Party incorporates by this reference each and every General Objection as though fully set forth herein. Subject to and without waiving the foregoing objections, Responding Party responds as follows: Please see Responding Party's document production, Bates Nos. 1 through 23. Discovery is ongoing and continuous and Responding Party reserves the right to supplement this Response.

SPECIAL INTERROGATORY NO. 12:

If YOUR answer to Interrogatory No. 9 is anything but an unqualified denial, identify all PERSONS who have knowledge of any facts that support or refute YOUR contention.

RESPONSE TO SPECIAL INTERROGATORY NO. 12:

Responding Party incorporates by this reference each and every General Objection as though fully set forth herein. Subject to and without waiving the foregoing objections, Responding Party responds as follows: Responding Party, Richard Francis, Russell Francis, Rick Rogers, Shira Nachshon, Jeffrey Eisen, David Pinsky, each of whom may be contacted via counsel of record for Responding Party. Discovery is ongoing and continuous and Responding Party reserves the right to supplement this Response.

DATE: February 2012

LAVELY & SINGER PROFESSIONAL CORPORATION MARTIN D. SINGER

TODD S. EAGAN

By

Attorneys for Defendant and Cross-Complainant

RYAN O'NEAL

VERIFICATION

1. Ryan O'Neal, have read the foregoing Defendant and Cross-Complainant Ryan O'Neal's Responses to Plaintiff's Special Interrogatories, Set One, and know its contents.

I am a party to this action. The matters stated in the foregoing document are true of my own knowledge except as to those matters which are stated on information and belief, and as to those matters I believe them to be true.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on February 21, 2012, at Malibu, California.

Ryan O'Neal

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PROOF OF SERVICE 1013A(3) C.C.P. Revised 5/1/88

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STATE OF CALIFORNIA, COUNTY OF LOS ANGELES

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I am employed in the County of Los Angeles, State of California. I am over the age of 18 and not a party to the within action. My business address is 2049 Century Park East, Suite 2400, Los Angeles, California 90067-2906.

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On March 2, 2012, I served the foregoing document described as:

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DEFENDANT AND CROSS-COMPLAINANT RYAN O'NEAL'S RESPONSES TO PLAINTIFF'S INTERROGATORIES, SET ONE

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on the interested parties in this action by placing:

[X] a true and correct copy -OR- [] the original document

thereof enclosed in sealed envelopes addressed as follows:

Charles E. Patterson, Esq.

Michael Chin, Esq. MORRISON & FOERSTER LLP

David J. Beck, Esq.

Houston, Texas 77010

Eric J.R. Nichols, Esq.

Austin, Texas 78701

555 West Fifth Street, Suite 3500 Los Angeles, California 90013-1024

1221 McKinney Street, Suite 4500

BECK, REDDEN & SECREST, L.L.P.

515 Congress Avenue, Suite 1750

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Attorneys for THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS ON BEHALF OF THE SYSTEM UNIVERSITY OF TEXAS AT AUSTIN

Attorneys for THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN

Attorneys for THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN

BY MAIL: [X]

- I deposited such envelope in the mail at Los Angeles, California. The envelope $[\]$ was mailed with postage thereon fully prepaid.
- As follows: I am "readily familiar" with the firm's practice of collection and X processing correspondence for mailing. Under that practice it would be deposited with U.S. postal service on that same day with postage thereon fully prepaid at Los Angeles, California in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one day after date of deposit for mailing in affidavit.

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed March 2, 2012 at Los Angeles, California.

1 2 3 4 5 6 7 8	CHARLES E. PATTERSON (CA SBN 120081) CPatterson@mofo.com HAILLY T.N. KORMAN (CA SBN 273927) HKorman@mofo.com MORRISON & FOERSTER LLP 555 West Fifth Street, Suite 3500 Los Angeles, California 90013-1024 Telephone: (213) 892-5200 Facsimile: (213) 892-5454 (Co-Counsel Continued on Last Page) Attorneys for Plaintiff and Cross-Defendant THE BOARD OF REGENTS FOR THE UNIVERS TEXAS SYSTEM ON BEHALF OF THE UNIVER TEXAS AT AUSTIN	
9	OLIDADA OL CALDO CO CONTROLO C	marrian and a transmit
10	SUPERIOR COURT OF THE S	TATE OF CALIFORNIA
11	COUNTY OF LOS	SANGELES
12	THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON	Case No. BC468468
13	BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN,	[Hon. Maureen Duffy-Lewis, Dept. 38]
14	·	PLAINTIFF THE BOARD OF
15	Plaintiff,	REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF
16	V.	OF THE UNIVERSITY OF TEXAS AT AUSTIN'S SPECIAL
17	RYAN O'NEAL,	INTERROGATORIES TO DEFENDANT RYAN O'NEAL (SET
18-	Defendant.	NO. THREE)
19		
20		Complaint Filed: Aug. 26, 2011 Cross-Complaint Filed: Oct. 7, 2011
21	RYAN O'NEAL,	<u>-</u>
22	Cross-Complainant,	
23	v.	
	THE BOARD OF REGENTS FOR THE	
24	UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT	
25	AUSTIN, an entity form unknown, and ROES 1 – 50, inclusive,	
26	Cross-Defendant.	
27		<u>.</u>
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	la-1185425	

PLAINTIFF'S SPECIAL INTERROGATORIES TO DEFENDANT RYAN O'NEAL (SET NO. THREE)

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EXX

PROPOUNDING PARTY: Plaintiff The Board of Regents for the University of Texas System

on Behalf of the University of Texas at Austin

RESPONDING PARTY: Defendant Ryan O'Neal

SET NUMBER: Three

TO: DEFENDANT RYAN O'NEAL AND HIS COUNSEL OF RECORD:

PLEASE TAKE NOTICE THAT Plaintiff The Board of Regents for the University of Texas System on Behalf of the University of Texas at Austin, pursuant to California Code of Civil Procedure § 2030.010, hereby requests that Defendant Ryan O'Neal answer the following interrogatories within thirty (30) days of service hereof, in accordance with the instructions below.

INSTRUCTIONS

The following instructions shall apply when responding to these interrogatories:

- 1. This discovery seeks all responsive information that is within the possession, custody, or control of, or is known or available to DEFENDANT, his attorneys, investigators, agents, employees or other representatives, or any entity controlled by DEFENDANT.
- 2. If YOU encounter any ambiguity in construing an interrogatory, definition, or instruction herein, YOU shall make YOUR best efforts to interpret the interrogatory, definition, or instruction within the context of the above-captioned litigation and shall set forth the matter deemed ambiguous, and the construction or interpretation chosen or used in responding.
- 3. In the event that YOUR answer to an interrogatory is "not applicable" or any similar phrase or answer, explain in detail why the interrogatory is not applicable.
- 4. If, after reasonable and thorough investigation, using due diligence, YOU are unable to answer any interrogatory or any part thereof, on the grounds of lack of information available to YOU, please state what has been done to locate such information. In addition, specify what knowledge or belief YOU do have concerning the unanswered portion of any interrogatory and set forth the facts upon which such knowledge or belief is based.
- 5. When an interrogatory asks for specific information (e.g., a date) and the precise specific information is unknown, the answer shall give the best approximation of the information la-1185425

requested, provided that the answer also indicates the information being given is an approximation.

- 6. The interrogatories set forth herein shall be construed so as to make responses inclusive rather than exclusive.
- 7. Whenever an interrogatory requests that you identify a person, state his or her full name, present or last known residence and business address(es) and telephone number(s).

INTERROGATORIES

SPECIAL INTERROGATORY NO. 20:

Have YOU ever been convicted (including pleas of guilty or no contest) of any criminal felony? ("YOU" or "YOUR" or "DEFENDANT" means, includes, and refers to defendant Ryan O'Neal and anyone acting on his behalf.)

SPECIAL INTERROGATORY NO. 21:

If YOUR response to Interrogatory No. 20 is in the affirmative, please identify the offense, jurisdiction, date of conviction, the court and case number, and any post-conviction proceedings (e.g. expungement or pardon).

SPECIAL INTERROGATORY NO. 22:

Have YOU included the WARHOL PORTRAIT in YOUR will, living trust, or other testamentary instrument? ("WARHOL PORTRAIT" means, includes, and refers to that certain portrait of Farrah Fawcett, painted by Andy Warhol, that is the subject of the above-captioned litigation.)

SPECIAL INTERROGATORY NO. 23:

If YOUR response to Interrogatory No. 22 is in the affirmative, describe when you added the WARHOL PORTRAIT to that testamentary instrument and who is to receive it.

SPECIAL INTERROGATORY NO. 23:

Pursuant to Code of Civil Procedure section 2030.070, identify any information that YOU have acquired since YOU answered Plaintiff's Special Interrogatories (Sets One and Two).

la-1185425

Interrogatories previously served on YOU in this action, including all special and form 3 Interrogatories. If any of YOUR answers to those interrogatories is incorrect, incomplete, or does 4 not include all of the information YOU possess, please update YOUR interrogatory response by 5 6 identifying the answer and providing whatever information is necessary to make the answer accurate and fully complete as of this date. 7 Additional Counsel: 8 DAVID J. BECK (TX SBN 00000070) dbeck@brsfirm.com BECK, REDDEN & SECREST, L.L.P. 10 1221 McKinney Street, Suite 4500 Houston, TX 77010-2010 11 Telephone: (713) 951-3700 12 ERIC J.R. NICHOLS (TX SBN 14994500) 13 enichols@brsfirm.com TIMOTHY CLEVELAND (TX SBN 24055318) 14 tcleveland@brsfirm.com BECK, REDDEN & SECREST, L.L.P. 15 515 Congress Avenue, Suite 1750 Austin, TX 78701 16 Telephone: (512)708-1000 Facsimile: (512)708-1002 17 Dated: September 24, 2012 CHARLES E. PATTERSON 18 HAILLY T.N. KORMAN MORRISON & FOERSTER LLP 19 20 21 22 Attorneys for Plaintiff and Cross-Defendant THE BOARD OF REGENTS FOR THE 23 UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT 24 AUSTIN į.,) 25 26 27 28 114191 la-1185425 PLAINTIFF'S SPECIAL INTERROGATORIES TO DEFENDANT RYAN O'NEAL (SET NO. THREE)

Pursuant to Code of Civil Procedure section 2030.070, review YOUR answers to all

SPECIAL INTERROGATORY NO. 24:

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2 I declare that I am employed with the law firm of Morrison & Foerster LLP, whose address is 555 West Fifth Street, Los Angeles, California 90013. I am not a party to the within cause, and 3 I am over the age of eighteen years. 4 I further declare that on September 24, 2012, I served a copy of: 5 PLAINTIFF THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN'S SPECIAL 6 INTERROGATORIES TO DEFENDANT RYAN O'NEAL 7 (SET NO. THREE) 8 BY PERSONAL SERVICE [Code Civ. Proc sec. 1011] by placing a true copy X 9 thereof enclosed in a sealed envelope addressed as follows for collection and delivery at the mailroom of Morrison & Foerster LLP, causing personal delivery of 10 the document(s) listed above to the person(s) at the address(es) set forth below. 11 I am readily familiar with Morrison & Foerster LLP's practice for the collection and processing of documents for hand delivery and know that in the ordinary course of 12 Morrison & Foerster LLP's business practice the document(s) described above will be 13 taken from Morrison & Foerster LLP's mailroom and hand delivered to the document's addressee (or left with an employee or person in charge of the 14 addressee's office) on the same date that it is placed at Morrison & Foerster LLP's mailroom. 15 16 Martin S. Singer, Esq. Todd S. Eagan, Esq. 17 Lavely & Singer 2049 Century Park East, Suite 2400 18 Los Angeles, CA 90067 19 I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. 20 Executed at Los Angeles, California, this 24th day of September, 2012. 21 22 23 24 Janis Price 25 (typed) 26 27 28

Proof of Service

PROOF OF SERVICE

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(v.)

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MARTIN D. SINGER (BAR NO. 78166) TODD S. EAGAN (BAR NO. 207426) LAVELY & SINGER PROFESSIONAL CORPORATION 2049 Century Park East, Suite 2400 Los Angeles, California 90067-2906 Telephone: (310) 556-3501 Facsimile: (310) 556-3615 5 Attorneys for Defendant and Cross-Complainant Ryan O'Neal 6 8 SUPERIOR COURT OF THE STATE OF CALIFORNIA FOR THE COUNTY OF LOS ANGELES - CENTRAL DISTRICT 9 10 CASE NO. BC468468 THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON 11 **DEFENDANT AND CROSS-**BEHALF OF THE UNIVERSITY OF 12 TEXAS AT AUSTIN. COMPLAINANT RYAN O'NEAL'S SUPPLEMENTAL RESPONSES TO 13 Plaintiff, PLAINTIFF'S SPECIAL INTERROGATORIES 14 ٧, [SET NO. THREE] RYAN O'NEAL. 15 16 Defendant. 17 AND RELATED CROSS-ACTION. 18 PROPOUNDING PARTY: THE BOARD OF REGENTS FOR THE UNIVERSITY 19 OF TEXAS SYSTEM ON BEHALF OF THE 20 21 UNIVERSITY OF TEXAS AT AUSTIN **RESPONDING PARTY:** RYAN O'NEAL 23 SET NUMBER: THREE (3) 24 TO ALL PARTIES AND TO THEIR ATTORNEYS OF RECORD HEREIN: 25 Defendant and Cross-Complainant Ryan O'Neal ("Defendant" or "Responding Party") 26 27 hereby supplements his responses and objections to the Third Set of Special Interrogatories



propounded by Plaintiff The Board of Regents for The University of Texas System on Behalf of

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the University of Texas at Austin ("Plaintiff" or "Propounding Party") as follows:

PRELIMINARY STATEMENT

Each of the following objections and responses are made solely for the purposes of this action. Each response is subject to all objections as to competence, relevance, materiality, propriety, admissibility and any and all objections on any ground that would require exclusion of any response herein, if it were introduced in Court, all of which objections and grounds are expressly reserved and may be interposed at time of trial.

Responding Party has not fully completed the investigation of the facts relating to this case, discovery in this action or preparation for trial. All of the responses contained herein are based only upon such information and documents which are presently available to, and specifically known to the Responding Party. Discovery is continuing and will continue as long as permitted by rule, statute or stipulation of the parties herein, and the investigation of Responding Party's attorneys and agents will continue to and through any hearing, judicial proceeding, or trial in this action. It is anticipated that further discovery, independent investigation, legal research and analysis will supply additional facts, which may, in turn, clarify and add meaning to known facts as well as establish entirely new factual matters, all of which will lead to substantial additions to, changes in, and variations from the contentions and responses herein set forth.

The following responses are given without prejudice to Responding Party's right to produce evidence of any subsequently discovered fact or facts, witnesses or documents which this Responding Party may later recall. Responding Party accordingly reserves the right to change any and all responses herein as additional facts are ascertained, analyses are made, legal research is completed and contentions are formulated. Responding Party, however, does not assume the obligation to revise, correct, augment, add to and/or clarify any responses stated herein based upon information, documentation, facts and/or contentions he may subsequently ascertain and/or develop.

Responding Party reserves the right, prior to or at the time of any hearing, judicial proceeding or trial to introduce any evidence from any source that hereafter may be discovered and testimony of witnesses whose identities may hereafter be discovered. If any information has

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been omitted from these responses, Responding Party reserves the right to apply for relief so as to permit insertion of responsive information omitted herefrom.

No incidental or implied admissions are intended by the objections and responses herein. The fact that Responding Party may respond to the subject discovery request should not be taken as an admission that such responses or objections constitute admissible evidence. The fact that Responding Party may respond or object to any particular request is not intended to and should not be construed to be a waiver by Responding Party of any part of any objection to any portion of said request or any particular request. Each response is subject to all objections as to admissibility and any other objection which would result in the exclusion of any document at trial.

The responses are also given without prejudice to Responding Party's right to produce any inadvertently omitted evidence and introduce such evidence at trial. Thus, to the extent consistent with the Code of Civil Procedure, the following responses and objections are provided without prejudice to Responding Party's right to produce evidence, documentary or otherwise, of any subsequently discovered facts and/or documents. This preliminary statement is incorporated into each and every response set forth below.

GENERAL OBJECTIONS

- 1. Responding Party objects to the Interrogatories to the extent that they are not limited to the subject matter of this action and thus are irrelevant, immaterial, and not reasonably calculated to lead to the discovery of admissible evidence.
- 2. Responding Party objects to the Interrogatories to the extent that they are unduly burdensome, oppressive, unreasonably cumulative, duplicative and overbroad.
- Responding Party objects to the Interrogatories to the extent that they seek 3. information protected from disclosure under the attorney-client privilege, work product doctrine or any other applicable protection or privilege. To the extent any Interrogatories seek information that is privileged under law, whether under attorney-client privilege, or work product doctrine, or otherwise, Responding Party objects thereto and asserts the privilege protection provided by such doctrines to the fullest extent permitted by law.
 - Responding Party objects to the Interrogatories to the extent that they seek 4.

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disclosure of trade secret and/or other confidential and/or proprietary information.

- Responding Party objects to the scope of the Interrogatories to the extent that they 5. fail to specify a properly limited period of time relevant to each Request, making each Request overly broad, unduly burdensome, harassing, oppressive, vague, irrelevant and not reasonably calculated to lead to the discovery of admissible evidence.
- Responding Party objects to the Interrogatories to the extent that they seek 6. information protected from disclosure by rights of privacy under the Constitutions of the United States or the State of California.
- Responding Party objects to the Interrogatories to the extent that they seek 7. information to which the Propounding Party has equal access.
- Responding Party objects generally to the Interrogatories to the extent that they 8. assume facts not in evidence, mischaracterize or misstate facts and/or allegations appearing in the pleadings in this action.
- Responding Party objects generally to the Interrogatories on the grounds and to the 9. extent that they are vague and ambiguous in that the manner in which specific Interrogatories are phrased creates confusion.

The Preliminary Statement and General Objections are incorporated into each response below, regardless of whether specifically mentioned. The specific objections set forth below are not a waiver, in whole or in part, of any of these general objections.

SUPPLEMENTAL RESPONSES TO SPECIAL INTERROGATORIES (SET THREE)

SPECIAL INTERROGATORY NO. 23 [sic]:

Pursuant to Code of Civil Procedure section 2030.070, identify any information that YOU have acquired since YOU answered Plaintiff's Special Interrogatories (Sets One and Two).

RESPONSE TO SPECIAL INTERROGATORY NO. 23 [sic]:

Responding Party incorporates by this reference each and every General Objection as

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though fully set forth herein. Responding Party further objects to this Interrogatory on the grounds that it is vague and ambiguous. Responding Party further objects to this Interrogatory on the grounds that it is overly broad, oppressive, unduly burdensome and harassing. Responding Party further objects to this Interrogatory on the grounds that it seeks irrelevant information not likely to lead to the discovery of admissible evidence. Responding Party further objects to this Interrogatory on the grounds that it seeks to invade Responding Party's right of privacy in his financial affairs. Responding Party further objects to this Interrogatory to the extent that it violates the attorney-client privilege and/or work product doctrine.

SUPPLEMENTAL RESPONSE TO SPECIAL INTERROGATORY NO. 23 [sic]:

Responding Party incorporates by this reference each and every General Objection as though fully set forth herein. Responding Party further objects to this Interrogatory on the grounds that it is vague and ambiguous. Responding Party further objects to this Interrogatory on the grounds that it is overly broad, oppressive, unduly burdensome and harassing. Responding Party further objects to this Interrogatory on the grounds that it seeks irrelevant information not likely to lead to the discovery of admissible evidence. Responding Party further objects to this Interrogatory on the grounds that it seeks to invade Responding Party's right of privacy in his financial affairs. Responding Party further objects to this Interrogatory to the extent that is violates the attorney-client privilege and/or work product doctrine.

Subject to and without waiver of the foregoing objections, Responding Party responds as follows: To the extent that additional factual information has been acquired by Responding Party, Responding Party directs the Requesting Party to the deposition transcripts of Richard B. Francis, Russell Francis, Jeffrey Eisen, Shira Nachson, David Pinsky and the declarations of Russell Francis, Jeffrey Eisen, David Pinsky, Mela Murphy, Arnold Robinson and Rick Rogers produced in this action and Responding Party's document production.

SPECIAL INTERROGATORY NO. 24:

Pursuant to Code of Civil Procedure section 2030.070, review YOUR answers to all Interrogatories previously served on YOU in this action, including all special and form

Interrogatories. If any of YOUR answers to those interrogatories is incorrect, incomplete, or does not include all of the information YOU possess, please update YOUR interrogatory response by identifying the answer and providing whatever information is necessary to make the answer accurate and fully complete as of this date.

RESPONSE TO SPECIAL INTERROGATORY NO. 24:

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Responding Party incorporates by this reference each and every General Objection as though fully set forth herein. Responding Party further objects to this Interrogatory on the grounds that it is vague and ambiguous. Responding Party further objects to this Interrogatory on the grounds that it is overly broad, oppressive, unduly burdensome and harassing. Responding Party further objects to this Interrogatory on the grounds that it seeks irrelevant information not likely to lead to the discovery of admissible evidence. Responding Party further objects to this Interrogatory on the grounds that it seeks to invade Responding Party's right of privacy in his financial affairs. Responding Party further objects to this Interrogatory to the extent that is violates the attorney-client privilege and/or work product doctrine.

SUPPLEMENTAL RESPONSE TO SPECIAL INTERROGATORY NO. 24:

Responding Party incorporates by this reference each and every General Objection as though fully set forth herein. Responding Party further objects to this Interrogatory on the grounds that it is vague and ambiguous. Responding Party further objects to this Interrogatory on the grounds that it is overly broad, oppressive, unduly burdensome and harassing. Responding Party further objects to this Interrogatory on the grounds that it seeks irrelevant information not likely to lead to the discovery of admissible evidence. Responding Party further objects to this Interrogatory on the grounds that it seeks to invade Responding Party's right of privacy in his financial affairs. Responding Party further objects to this Interrogatory to the extent that is violates the attorney-client privilege and/or work product doctrine.

Subject to and without waiver of the foregoing objections, Responding Party responds as follows:

Special Interrogatory No. 2: Responding Party directs the Requesting Party to the deposition transcripts of Richard B. Francis, Russell Francis, Shira Nachson, David Pinsky and the declarations of Russell Francis, Jeffrey Eisen, David Pinsky, Mela Murphy, Arnold Robinson and Rick Rogers produced in this action, as well as Responding Party's document production.

Special Interrogatory No. 3: Responding Party directs the Requesting Party to the deposition transcripts of Richard B. Francis, Russell Francis, Shira Nachson, David Pinsky and the declarations of Russell Francis, Jeffrey Eisen, David Pinsky, Mela Murphy, Arnold Robinson and Rick Rogers produced in this action, as well as Responding Party's document production.

Special Interrogatory No. 4: Responding Party directs the Requesting Party to the deposition transcripts of Richard B. Francis, Russell Francis, Shira Nachson, David Pinsky and the declarations of Russell Francis, Jeffrey Eisen, David Pinsky, Mela Murphy, Arnold Robinson and Rick Rogers produced in this action, as well as Responding Party's document production. Responding Party further identifies witnesses Robert S. Scott Company Appraisers, Albert G. Ruben & Company, Donna Currie, Sharon Goodman Squires, and Bonhams & Butterfields.

DATE: November 5, 2012 17

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LAVELY & SINGER PROFESSIONAL CORPORATION MARTIN D. SINGER TODD S. EAGAN

TODD S. EAGAN

Attorneys for Defendant and Cross-Complainant.

RYAN O'NEAL

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PROOF OF SERVICE 1013A(3) C.C.P. Revised 5/1/88

STATE OF CALIFORNIA, COUNTY OF LOS ANGELES

I am employed in the County of Los Angeles, State of California. I am over the age of 18 and not a party to the within action. My business address is 2049 Century Park East, Suite 2400, Los Angeles, California 90067-2906.

On the date stated below, I served the foregoing document described as:

DEFENDANT AND CROSS-COMPLAINANT RYAN O'NEAL'S SUPPLEMENTAL RESPONSES TO PLAINTIFF'S SPECIAL INTERROGATORIES [SET NO. THREE]

on the interested parties in this action by placing: [X] a true and correct copy -OR- [X] the original document thereof enclosed in sealed envelopes addressed as follows:

ı	Charles E. Patterson, Esq.
ı	Hailly T.N. Korman, Esq.
ł	MORRISON & FOERSTER LLP
İ	555 West Fifth Street, Suite 3500
i	Charles E. Patterson, Esq. Hailly T.N. Korman, Esq. MORRISON & FOERSTER LLP 555 West Fifth Street, Suite 3500 Los Angeles, California 90013-1024
1	

Attorneys for Plaintiff/Cross-Defendant THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN

David J. Beck, Esq. 1221 McKinney Street, Suite 4500 Houston, Texas 77010

Attorneys for Plaintiff/Cross-Defendant THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN

[X] BY MAIL: I am "readily familiar" with the firm's practice of collection and processing correspondence for mailing. Under that practice it would be deposited with U.S. postal service on that same day with postage thereon fully prepaid at Los Angeles, California in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one day after date of deposit for mailing in affidavit.

Eric J.R. Nichols, Esq.	IORIGINAL
Tim Cleveland, Esq.	•
BECK, REDDEN & SEC	REST, L.L.P.
515 Congress Avenue, Su	ite 1750
Austin, Texas 78701	

Attorneys for Plaintiff/Cross-Defendant THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN

- [X] BY E-MAIL: I served the foregoing document by e-mail with an Adobe Acrobat attachment. The transmission was complete and no error was reported. I printed a copy of the e-mail, showing the attachment, a copy of which is attached to this Declaration.
- [X] BY MAIL: As follows: I am "readily familiar" with the firm's practice of collection and processing correspondence for mailing. Under that practice it would be deposited with U.S. postal service on that same day with postage thereon fully prepaid at Los Angeles, California in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one day after date of deposit for mailing in affidavit.

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on November 5, 2012 at Los Angeles, California.

anice Titus

1 2 3 4 5 6 7	MARTIN D. SINGER (BAR NO. 78166) TODD S. EAGAN (BAR NO. 207426) LAVELY & SINGER PROFESSIONAL CORPORATION 2049 Century Park East, Suite 2400 Los Angeles, California 90067-2906 Telephone: (310) 556-3501 Facsimile: (310) 556-3615 Attorneys for Defendant and Cross-Complainant Ryan O'Neal
8	SUPERIOR COURT OF THE STATE OF CALIFORNIA
9	FOR THE COUNTY OF LOS ANGELES - CENTRAL DISTRICT
10	THE BOARD OF REGENTS FOR THE) CASE NO. BC468468
11	UNIVERSITY OF TEXAS SYSTEM ON) BEHALF OF THE UNIVERSITY OF) DEFENDANT AND CROSS-
12	TEXAS AT AUSTIN, COMPLAINANT RYAN O'NEAL'S FURTHER SUPPLEMENTAL
13	Plaintiff,) RESPONSES TO PLAINTIFF'S SPECIAL INTERROGATORIES
14	v.) [SET NO. THREE]
15	RYAN O'NEAL,
16	Defendant.
17	AND RELATED CROSS-ACTION.
18	3
19	PROPOUNDING PARTY: THE BOARD OF REGENTS FOR THE UNIVERSITY
20	OF TEXAS SYSTEM ON BEHALF OF THE
21	UNIVERSITY OF TEXAS AT AUSTIN
22	RESPONDING PARTY: RYAN O'NEAL
23	SET NUMBER: THREE (3)
24	
25	TO ALL PARTIES AND TO THEIR ATTORNEYS OF RECORD HEREIN:
26	Defendant and Cross-Complainant Ryan O'Neal ("Defendant" or "Responding Party")
27	hereby further supplements his responses and objections to the Third Set of Special Interrogatories
28	propounded by Plaintiff The Board of Regents for The University of Texas System on Behalf of

GS SET 3

the University of Texas at Austin ("Plaintiff" or "Propounding Party") as follows:

PRELIMINARY STATEMENT

Each of the following objections and responses are made solely for the purposes of this action. Each response is subject to all objections as to competence, relevance, materiality, propriety, admissibility and any and all objections on any ground that would require exclusion of any response herein, if it were introduced in Court, all of which objections and grounds are expressly reserved and may be interposed at time of trial.

Responding Party has not fully completed the investigation of the facts relating to this case, discovery in this action or preparation for trial. All of the responses contained herein are based only upon such information and documents which are presently available to, and specifically known to the Responding Party. Discovery is continuing and will continue as long as permitted by rule, statute or stipulation of the parties herein, and the investigation of Responding Party's attorneys and agents will continue to and through any hearing, judicial proceeding, or trial in this action. It is anticipated that further discovery, independent investigation, legal research and analysis will supply additional facts, which may, in turn, clarify and add meaning to known facts as well as establish entirely new factual matters, all of which will lead to substantial additions to, changes in, and variations from the contentions and responses herein set forth.

The following responses are given without prejudice to Responding Party's right to produce evidence of any subsequently discovered fact or facts, witnesses or documents which this Responding Party may later recall. Responding Party accordingly reserves the right to change any and all responses herein as additional facts are ascertained, analyses are made, legal research is completed and contentions are formulated. Responding Party, however, does not assume the obligation to revise, correct, augment, add to and/or clarify any responses stated herein based upon information, documentation, facts and/or contentions he may subsequently ascertain and/or develop.

Responding Party reserves the right, prior to or at the time of any hearing, judicial proceeding or trial to introduce any evidence from any source that hereafter may be discovered and testimony of witnesses whose identities may hereafter be discovered. If any information has

been omitted from these responses, Responding Party reserves the right to apply for relief so as to permit insertion of responsive information omitted herefrom.

No incidental or implied admissions are intended by the objections and responses herein. The fact that Responding Party may respond to the subject discovery request should not be taken as an admission that such responses or objections constitute admissible evidence. The fact that Responding Party may respond or object to any particular request is not intended to and should not be construed to be a waiver by Responding Party of any part of any objection to any portion of said request or any particular request. Each response is subject to all objections as to admissibility and any other objection which would result in the exclusion of any document at trial.

The responses are also given without prejudice to Responding Party's right to produce any inadvertently omitted evidence and introduce such evidence at trial. Thus, to the extent consistent with the Code of Civil Procedure, the following responses and objections are provided without prejudice to Responding Party's right to produce evidence, documentary or otherwise, of any subsequently discovered facts and/or documents. This preliminary statement is incorporated into each and every response set forth below.

GENERAL OBJECTIONS

- 1. Responding Party objects to the Interrogatories to the extent that they are not limited to the subject matter of this action and thus are irrelevant, immaterial, and not reasonably calculated to lead to the discovery of admissible evidence.
- 2. Responding Party objects to the Interrogatories to the extent that they are unduly burdensome, oppressive, unreasonably cumulative, duplicative and overbroad.
- Responding Party objects to the Interrogatories to the extent that they seek information protected from disclosure under the attorney-client privilege, work product doctrine or any other applicable protection or privilege. To the extent any Interrogatories seek information that is privileged under law, whether under attorney-client privilege, or work product doctrine, or otherwise, Responding Party objects thereto and asserts the privilege protection provided by such doctrines to the fullest extent permitted by law.
 - 4. Responding Party objects to the Interrogatories to the extent that they seek

disclosure of trade secret and/or other confidential and/or proprietary information.

- 5. Responding Party objects to the scope of the Interrogatories to the extent that they fail to specify a properly limited period of time relevant to each Request, making each Request overly broad, unduly burdensome, harassing, oppressive, vague, irrelevant and not reasonably calculated to lead to the discovery of admissible evidence.
- 6. Responding Party objects to the Interrogatories to the extent that they seek information protected from disclosure by rights of privacy under the Constitutions of the United States or the State of California.
- 7. Responding Party objects to the Interrogatories to the extent that they seek information to which the Propounding Party has equal access.
- 8. Responding Party objects generally to the Interrogatories to the extent that they assume facts not in evidence, mischaracterize or misstate facts and/or allegations appearing in the pleadings in this action.
- 9. Responding Party objects generally to the Interrogatories on the grounds and to the extent that they are vague and ambiguous in that the manner in which specific Interrogatories are phrased creates confusion.

The Preliminary Statement and General Objections are incorporated into each response below, regardless of whether specifically mentioned. The specific objections set forth below are not a waiver, in whole or in part, of any of these general objections.

FURTHER SUPPLEMENTAL RESPONSES TO SPECIAL INTERROGATORIES (SET THREE)

SPECIAL INTERROGATORY NO. 24:

Pursuant to Code of Civil Procedure section 2030.070, review YOUR answers to all Interrogatories previously served on YOU in this action, including all special and form Interrogatories. If any of YOUR answers to those interrogatories is incorrect, incomplete, or does not include all of the information YOU possess, please update YOUR interrogatory

response by identifying the answer and providing whatever information is necessary to make the answer accurate and fully complete as of this date.

RESPONSE TO SPECIAL INTERROGATORY NO. 24:

Responding Party incorporates by this reference each and every General Objection as though fully set forth herein. Responding Party further objects to this Interrogatory on the grounds that it is vague and ambiguous. Responding Party further objects to this Interrogatory on the grounds that it is overly broad, oppressive, unduly burdensome and harassing. Responding Party further objects to this Interrogatory on the grounds that it seeks irrelevant information not likely to lead to the discovery of admissible evidence. Responding Party further objects to this Interrogatory on the grounds that it seeks to invade Responding Party's right of privacy in his financial affairs. Responding Party further objects to this Interrogatory to the extent that is violates the attorney-client privilege and/or work product doctrine.

FURTHER SUPPLEMENTAL RESPONSE TO SPECIAL INTERROGATORY NO. 24

Responding Party incorporates by this reference each and every General Objection as though fully set forth herein. Responding Party further objects to this Interrogatory on the grounds that it is vague and ambiguous. Responding Party further objects to this Interrogatory on the grounds that it is overly broad, oppressive, unduly burdensome and harassing. Responding Party further objects to this Interrogatory on the grounds that it seeks irrelevant information not likely to lead to the discovery of admissible evidence. Responding Party further objects to this Interrogatory on the grounds that it seeks to invade Responding Party's right of privacy in his financial affairs. Responding Party further objects to this Interrogatory to the extent that is violates the attorney-client privilege and/or work product doctrine.

Subject to and without waiver of the foregoing objections, Responding Party responds as follows:

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Special Interrogatory No. 4: Responding Party further identifies witnesses Alana Stewart, Jacqueline Smith, Chris Zaphrus, Jenni Weinman, Sandy Gleysteen, Greg Hodell, Redmond O'Neal.

DATE: October 24, 2013

LAVELY & SINGER
PROFESSIONAL CORPORATION
MARTIN D. SINGER
TODD S. EAGAN

By:

TODD S. EAGAN

Attorneys for Defendant and Cross-Complainant RYAN O'NEAL

PROOF OF SERVICE 1013A(3) C.C.P. Revised 5/1/88 STATE OF CALIFORNIA, COUNTY OF LOS ANGELES

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I am employed in the County of Los Angeles, State of California. I am over the age of 18 and not a party to the within action. My business address is 2049 Century Park East, Suite 2400, Los Angeles, California 90067-2906.

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On October 25, 2013, I served the foregoing document described as:

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DEFENDANT AND CROSS-COMPLAINANT RYAN O'NEAL'S FURTHER SUPPLEMENTAL RESPONSES TO PLAINTIFFS SPECIAL INTERROGATORIES SET THREE

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on the interested parties in this action by placing: [X] a true and correct copy -OR- [] the original document thereof enclosed in sealed envelopes addressed as follows:

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David J. Beck, Esq. 1221 McKinney Street, Suite 4500 Houston, Texas 77010 Attorneys for Plaintiff/Cross-Defendant THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN

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Eric J.R. Nichols, Esq. BECK, REDDEN & SECREST, L.L.P. 515 Congress Avenue, Suite 1750 Austin, Texas 78701 Attorneys for Plaintiff/Cross-Defendant THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN

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BY MAIL: I am "readily familiar" with the firm's practice of collection and processing correspondence for mailing. Under that practice it would be deposited with U.S. postal service on that same day with postage thereon fully prepaid at Los Angeles, California in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one day after date of deposit for mailing in affidavit.

[X] BY FEDERAL EXPRESS: I am "readily familiar" with the firm's practice of collection and processing correspondence for Federal Express. Under that practice it would be deposited with Federal Express on that same day with all costs fully prepaid at Los Angeles, California in the ordinary course of business.

[] BY PERSONAL SERVICE: I delivered said envelope(s) to the offices of the addressee(s), via hand delivery.

BY ELECTRONIC SERVICE: I served the foregoing document by electronically mailing a true and correct copy through Lavely & Singer Professional Corporation's electronic mail system to the e-mail address(s) stated on the service list per agreement in accordance with Code of Civil Procedures section 1010.6.

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on October 25, 2013, at Los Angeles, California.

Amber Gurzens

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PROOF OF SERVICE 1013A(3) C.C.P. Revised 5/1/88 STATE OF CALIFORNIA, COUNTY OF LOS ANGELES

I am employed in the County of Los Angeles, State of California. I am over the age of 18 and not a party to the within action. My business address is 1609 James M. Wood Boulevard, Los Angeles, California 90015

On OCTOBER 25, 2013, I served the foregoing document described as:

DEFENDANT AND CROSS-COMPLAINANT RYAN O'NEAL'S FURTHER SUPPLEMENTAL RESPONSES TO PLAINTIFF'S SPECIAL INTERROGATORIES, SET THREE

on the interested parties in this action by placing: [X] a true and correct copy -OR- [] the original document thereof enclosed in sealed envelopes addressed as follows:

Edith R. Matthai
Diana K. Rodgers
Robie & Mattahi, APC
500 S. Grand Avenue, Suite 1500
Los Angeles, CA 90071
ematthai@romalaw.com
drodgers@romalaw.com

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Attorneys for Plaintiff/Cross-Defendant THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN

- BY MAIL: I am "readily familiar" with the firm's practice of collection and processing correspondence for mailing. Under that practice it would be deposited with U.S. postal service on that same day with postage thereon fully prepaid at Los Angeles, California in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one day after date of deposit for mailing in affidavit.
- BY FEDERAL EXPRESS: I am "readily familiar" with the firm's practice of collection and processing correspondence for Federal Express. Under that practice it would be deposited with Federal Express on that same day with all costs fully prepaid at Los Angeles, California in the ordinary course of business.
- [X] BY PERSONAL SERVICE: I delivered said envelope(s) to the offices of the addressee(s), via hand delivery.
- BY ELECTRONIC SERVICE: I served the foregoing document by electronically mailing a true and correct copy through Lavely & Singer Professional Corporation's electronic mail system to the e-mail address(s) stated on the service list per agreement in accordance with Code of Civil Procedures section 1010.6.

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on October 25, 2013, at Los Angeles, California.

NATIONWIDE LEGAL

1	MARTIN D. SINGER (BAR NO. 78166) TODD S. EAGAN (BAR NO. 207426) LAVELY & SINGER PROFESSIONAL CORPORATION 2049 Century Park East, Suite 2400 Los Angeles, California 90067-2906 Telephone: (310) 556-3501 Facsimile: (310) 556-3615 Attorneys for Defendant and Cross-Complainant RYAN O'NEAL	And the second s	1:55 pm NOV 1 4 2013 By museuper
7 8 9	SUPERIOR COURT FOR THE COUNTY OF LOS AN		
11 12 13 14	THE BOARD OF REGENTS OF THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN, Plaintiff,	DEFENDAN' COMPLAIN	C468468 M. Hiroshige, Department 54] T AND CROSS- ANT RYAN O'NEAL'S MENDED WITNESS LIST
15 16 17	v. RYAN O'NEAL, an individual, Defendant.	Final Status Conference: Time: Dept:	November 1, 2013 9:00 A.M. 54
18 19 20	RYAN O'NEAL, Cross-Complainant,	Trial Date: Time: Dept:	November 13, 2013 9:30 A.M. 54
21 22	THE BOARD OF REGENTS OF THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS	Complaint Fi	led: August 26, 2011
23 24 25	AT AUSTIN, an entity form unknown; and ROES 1 - 50, inclusive, Cross-Defendants.		
26 27			
28	DEFENDANT AND CROSS-COMPLAINANT RYAI	-1- NO'NEAL'S FOU	RTH AMENDED WITNESS LIST

TO ALL PARTIES AND TO THEIR ATTORNEYS OF RECORD:

Defendant and Cross-Complainant Ryan O'Neal hereby discloses that any or all of the following persons may be called at trial to present testimony, including expert testimony pursuant to California Evidence Code Section 801. The Parties also reserve the right to call any person appearing on any other party's witness list or other witnesses for impeachment and/or rebuttal.

Name	Percipient or Expert Witness	Deposed	Estimated Time for Direct Exam by Defendant	Estimated Time for Cross Exam by Plaintiff	Adverse Witness
Avila, Maribel	Percipient	No	.5	Unknown	No
Currie, Donna	Percipient	No	.5	Unknown	No
Eisen, Jeffrey	Percipient	Yes	.5	Unknown	No
Francaviglia, Joseph	Percipient	No	.5	Unknown	No
Francis, Richard	Percipient	Yes	1.5	Unknown	No
Francis, Russell	Percipient	Yes	.5	Unknown	No
Gleysteen, Sandy	Percipient	No	.5	Unknown	No
Goodman Squires, Sharon	Percipient	No	.5	Unknown	No
Hodal, Greg	Percipient	No	:5	Unknown	No
Lott, Greg	Percipient	Yes	1.0	Unknown	Yes
McManus, Karen	Expert	No	1.0	Unknown	No
Murphy, Mela	Percipient	Yes	1.0	Unknown	No
Nachson, Shira	Percipient	Yes	.5	Unknown	No
Nevius, Craig	Percipient	Yes	1.0	Unknown	Yes
O'Neal, Redmond	Percipient	No	.5	Unknown	No
O'Neal, Ryan	Percipient	Yes	3.5	Unknown	No
Pinsky, David	Percipient	Yes	1.0	Unknown	No
Rogers, Richard	Percipient	No	.5	Unknown	No
Rogers, Rick	Percipient	No	.5	Unknown	No
Robinson, Arnold	Percipient	No	.5	Unknown	No
Safady, Randa	Percipient	Yes	.5	Unknown	Yes
Scott, Robert S. of Robert S. Scott Appraisal Company	Percipient	No	.5	Unknown	No .
Smith, Jaclyn	Percipient	No	.5	Unknown	No .
Stewart, Alana	Percipient	No	.5	Unknown :	No
University of Texas PMK	Percipient	Yes	1.0	Unknown	Yes

Name	Percipient or Expert Witness	Deposed	Estimated Time for Direct Exam by Defendant	Estimated Time for Cross Exam by Plaintiff	Adverse Witness		
Van Buren, Joy formerly of Albert G. Ruben & Company	Percipient	No	.5	Unknown	No		
Weinman, Jenni	Percipient	No	.5	Unknown	No		
Zaferes, Chris	Percipient	No	.5	Unknown	No		
Dated: November 14, 2	013	LÄVELY	7 & SINGER				
Dated. November 14, 2	Dated: November 14, 2013 LAVELY & SINGER PROFESSIONAL CORPORATION MARTIN D. SINGER TODD S. EAGAN						
	By: TODD S. EAGAN						
		A C	Attorneys for De Complainant RY	fendant and Cro AN O'NEAL	SS-		
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PROOF OF SERVICE 1013A(3) C.C.P. Revised 5/1/88

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STATE OF CALIFORNIA, COUNTY OF LOS ANGELES

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I am employed in the County of Los Angeles, State of California. I am over the age of 18 and not a party to the within action. My business address is P.R.O.S at 339 S. Ardmore Avenue, Suite 329, Los Angeles, Californía 90020

5

On the date stated below, I served the foregoing document described as:

6

DEFENDANT AND CROSS-COMPLAINANT RYAN O'NEAL'S FOURTH AMENDED WITNESS LIST

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on the interested parties in this action by placing: [X] a true and correct copy -OR- [] the original document thereof enclosed in sealed envelopes addressed as follows:

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Edith R. Matthai Diana K. Rodgers Robie & Mattahi, APC 500 S. Grand Avenue, Suite 1500

THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN

Attorneys for Plaintiff/Cross-Defendant

Los Angeles, CA 90071 ematthai@romalaw.com

drodgers@romalaw.com

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BY MAIL: I am "readily familiar" with the firm's practice of collection and [] processing correspondence for mailing. Under that practice it would be deposited with U.S. postal service on that same day with postage thereon fully prepaid at Los Angeles, California in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one day after date of deposit for mailing in affidavit.

BY FEDERAL EXPRESS: I am "readily familiar" with the firm's practice of [] collection and processing correspondence for Federal Express. Under that practice it would be deposited with Federal Express on that same day with all costs fully prepaid at Los Angeles, California in the ordinary course of business.

BY PERSONAL SERVICE: I delivered said envelope(s) to the offices of the addressee(s), via hand delivery.

BY ELECTRONIC SERVICE: I served the foregoing document by electronically mailing a true and correct copy through Lavely & Singer Professional Corporation's electronic mail system to the e-mail address(s) stated on the service list per agreement in accordance with Code of Civil Procedures section 1010.6.

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on November 14, 2013, at Los Angeles, California.

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PROOF OF SERVICE 1013A(3) C.C.P. Revised 5/1/88

STATE OF CALIFORNIA, COUNTY OF LOS ANGELES

I am employed in the County of Los Angeles, State of California. I am over the age of 18 and not a party to the within action. My business address is 2049 Century Park East, Suite 2400, Los Angeles, California 90067-2906.

On the date stated below, I served the foregoing document described as:

DEFENDANT AND CROSS-COMPLAINANT RYAN O'NEAL'S FOURTH AMENDED WITNESS LIST

on the interested parties in this action by placing: [X] a true and correct copy -OR- [] the original document thereof enclosed in sealed envelopes addressed as follows:

David J. Beck, Esq. 1221 McKinney Street, Suite 4500 Houston, Texas 77010 Attorneys for Plaintiff/Cross-Defendant THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN

Eric J.R. Nichols, Esq. BECK, REDDEN & SECREST, L.L.P. 515 Congress Avenue, Suite 1750 Austin, Texas 78701 Attorneys for Plaintiff/Cross-Defendant THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN

- [] BY MAIL: I am "readily familiar" with the firm's practice of collection and processing correspondence for mailing. Under that practice it would be deposited with U.S. postal service on that same day with postage thereon fully prepaid at Los Angeles, California in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one day after date of deposit for mailing in affidavit.
- [X] BY FEDERAL EXPRESS: I am "readily familiar" with the firm's practice of collection and processing correspondence for Federal Express. Under that practice it would be deposited with Federal Express on that same day with all costs fully prepaid at Los Angeles, California in the ordinary course of business.
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I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on November 14, 2013, at Los Angeles, California.

Amber Gurzenski

EXHIBIT G

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MARTIN D. SINGER (BAR NO. 78166) TODD S. EAGAN (BAR NO. 207426) LAVELY & SINGER PROFESSIONAL CORPORATION 2049 Century Park East, Suite 2400 Los Angeles, California 90067-2906 Telephone: (310) 556-3501 Facsimile: (310) 556-3615 5 Attorneys for Defendant and Cross-Complainant RYAN O'NEAL 7 SUPERIOR COURT OF THE STATE OF CALIFORNIA 8 FOR THE COUNTY OF LOS ANGELES - CENTRAL DISTRICT 9 10 CASE NO. BC468468 THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON 11 **DEFENDANT AND CROSS-**BEHALF OF THE UNIVERSITY OF COMPLAINANT RYAN O'NEAL'S TEXAS AT AUSTIN, 12 FURTHER (SECOND) SUPPLEMENTAL RESPONSES TO PLAINTIFF'S Plaintiff, 13 SPECIAL INTERROGATORIES 14 **[SET NO. THREE]** RYAN O'NEAL, 15 Defendant. 16 17 AND RELATED CROSS-ACTION. 18 THE BOARD OF REGENTS FOR THE UNIVERSITY PROPOUNDING PARTY: 19 OF TEXAS SYSTEM ON BEHALF OF THE 20 UNIVERSITY OF TEXAS AT AUSTIN 21 RYAN O'NEAL **RESPONDING PARTY:** 22 THREE (3) SET NUMBER: 23 24 TO ALL PARTIES AND TO THEIR ATTORNEYS OF RECORD HEREIN: Defendant and Cross-Complainant Ryan O'Neal ("Defendant" or "Responding Party") 26 hereby further supplements his responses and objections to the Third Set of Special Interrogatories

propounded by Plaintiff The Board of Regents for The University of Texas System on Behalf of the

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University of Texas at Austin ("Plaintiff" or "Propounding Party") as follows:

PRELIMINARY STATEMENT

Each of the following objections and responses are made solely for the purposes of this action. Each response is subject to all objections as to competence, relevance, materiality, propriety, admissibility and any and all objections on any ground that would require exclusion of any response herein, if it were introduced in Court, all of which objections and grounds are expressly reserved and may be interposed at time of trial.

Responding Party has not fully completed the investigation of the facts relating to this case, discovery in this action or preparation for trial. All of the responses contained herein are based only upon such information and documents which are presently available to, and specifically known to the Responding Party. Discovery is continuing and will continue as long as permitted by rule, statute or stipulation of the parties herein, and the investigation of Responding Party's attorneys and agents will continue to and through any hearing, judicial proceeding, or trial in this action. It is anticipated that further discovery, independent investigation, legal research and analysis will supply additional facts, which may, in turn, clarify and add meaning to known facts as well as establish entirely new factual matters, all of which will lead to substantial additions to, changes in, and variations from the contentions and responses herein set forth.

The following responses are given without prejudice to Responding Party's right to produce evidence of any subsequently discovered fact or facts, witnesses or documents which this Responding Party may later recall. Responding Party accordingly reserves the right to change any and all responses herein as additional facts are ascertained, analyses are made, legal research is completed and contentions are formulated. Responding Party, however, does not assume the obligation to revise, correct, augment, add to and/or clarify any responses stated herein based upon information, documentation, facts and/or contentions he may subsequently ascertain and/or develop.

Responding Party reserves the right, prior to or at the time of any hearing, judicial proceeding or trial to introduce any evidence from any source that hereafter may be discovered and testimony of witnesses whose identities may hereafter be discovered. If any information has been omitted from these responses, Responding Party reserves the right to apply for relief so as to permit insertion of

responsive information omitted herefrom.

No incidental or implied admissions are intended by the objections and responses herein. The fact that Responding Party may respond to the subject discovery request should not be taken as an admission that such responses or objections constitute admissible evidence. The fact that Responding Party may respond or object to any particular request is not intended to and should not be construed to be a waiver by Responding Party of any part of any objection to any portion of said request or any particular request. Each response is subject to all objections as to admissibility and any other objection which would result in the exclusion of any document at trial.

The responses are also given without prejudice to Responding Party's right to produce any inadvertently omitted evidence and introduce such evidence at trial. Thus, to the extent consistent with the Code of Civil Procedure, the following responses and objections are provided without prejudice to Responding Party's right to produce evidence, documentary or otherwise, of any subsequently discovered facts and/or documents. This preliminary statement is incorporated into each and every response set forth below.

GENERAL OBJECTIONS

- 1. Responding Party objects to the Interrogatories to the extent that they are not limited to the subject matter of this action and thus are irrelevant, immaterial, and not reasonably calculated to lead to the discovery of admissible evidence.
- 2. Responding Party objects to the Interrogatories to the extent that they are unduly burdensome, oppressive, unreasonably cumulative, duplicative and overbroad.
- 3. Responding Party objects to the Interrogatories to the extent that they seek information protected from disclosure under the attorney-client privilege, work product doctrine or any other applicable protection or privilege. To the extent any Interrogatories seek information that is privileged under law, whether under attorney-client privilege, or work product doctrine, or otherwise, Responding Party objects thereto and asserts the privilege protection provided by such doctrines to the fullest extent permitted by law.
- 4. Responding Party objects to the Interrogatories to the extent that they seek disclosure of trade secret and/or other confidential and/or proprietary information.

- 5. Responding Party objects to the scope of the Interrogatories to the extent that they fail to specify a properly limited period of time relevant to each Request, making each Request overly broad, unduly burdensome, harassing, oppressive, vague, irrelevant and not reasonably calculated to lead to the discovery of admissible evidence.
- 6. Responding Party objects to the Interrogatories to the extent that they seek information protected from disclosure by rights of privacy under the Constitutions of the United States or the State of California.
- 7. Responding Party objects to the Interrogatories to the extent that they seek information to which the Propounding Party has equal access.
- 8. Responding Party objects generally to the Interrogatories to the extent that they assume facts not in evidence, mischaracterize or misstate facts and/or allegations appearing in the pleadings in this action.
- 9. Responding Party objects generally to the Interrogatories on the grounds and to the extent that they are vague and ambiguous in that the manner in which specific Interrogatories are phrased creates confusion.

The Preliminary Statement and General Objections are incorporated into each response below, regardless of whether specifically mentioned. The specific objections set forth below are not a waiver, in whole or in part, of any of these general objections.

FURTHER (SECOND) SUPPLEMENTAL RESPONSES TO SPECIAL INTERROGATORIES (SET THREE)

SPECIAL INTERROGATORY NO. 24:

Pursuant to Code of Civil Procedure section 2030.070, review YOUR answers to all Interrogatories previously served on YOU in this action, including all special and form Interrogatories. If any of YOUR answers to those interrogatories is incorrect, incomplete, or does not include all of the information YOU possess, please update YOUR interrogatory response by identifying the answer and providing whatever information is necessary to make the

answer accurate and fully complete as of this date.

RESPONSE TO SPECIAL INTERROGATORY NO. 24:

Responding Party incorporates by this reference each and every General Objection as though fully set forth herein. Responding Party further objects to this Interrogatory on the grounds that it is vague and ambiguous. Responding Party further objects to this Interrogatory on the grounds that it is overly broad, oppressive, unduly burdensome and harassing. Responding Party further objects to this Interrogatory on the grounds that it seeks irrelevant information not likely to lead to the discovery of admissible evidence. Responding Party further objects to this Interrogatory on the grounds that it seeks to invade Responding Party's right of privacy in his financial affairs. Responding Party further objects to this Interrogatory to the extent that is violates the attorney-client privilege and/or work product doctrine.

FURTHER (SECOND) SUPPLEMENTAL RESPONSE TO SPECIAL

INTERROGATORY NO. 24

Responding Party incorporates by this reference each and every General Objection as though fully set forth herein. Responding Party further objects to this Interrogatory on the grounds that it is vague and ambiguous. Responding Party further objects to this Interrogatory on the grounds that it is overly broad, oppressive, unduly burdensome and harassing. Responding Party further objects to this Interrogatory on the grounds that it seeks irrelevant information not likely to lead to the discovery of admissible evidence. Responding Party further objects to this Interrogatory on the grounds that it seeks to invade Responding Party's right of privacy in his financial affairs. Responding Party further objects to this Interrogatory to the extent that is violates the attorney-client privilege and/or work product doctrine.

Subject to and without waiver of the foregoing objections, Responding Party responds as follows:

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Special Interrogatory No. 4: Responding Party further identifies witnesses Maribel Avila, Joseph Francaviglia and Joy Van Buren, in addition to all previously identified witnesses.

DATE: November 18, 2013

LAVELY & SINGER PROFESSIONAL CORPORATION MARTIN D. SINGER TODD S. EAGAN

Ву:

Attorneys for Defendant and Cross-Complainant RYAN O'NEAL

[...)

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PROOF OF SERVICE 1013A(3) C.C.P. Revised 5/1/88

STATE OF CALIFORNIA, COUNTY OF LOS ANGELES

I am employed in the County of Los Angeles, State of California. I am over the age of 18 and not a party to the within action. My business address is 2049 Century Park East, Suite 2400, Los Angeles, California 90067-2906.

On the date stated below, I served the foregoing document described as:

DEFENDANT AND CROSS-COMPLAINANT RYAN O'NEAL'S FURTHER (SECOND)

SUPPLEMENTAL RESPONSES TO PLAINTIFF'S SPECIAL INTERROGATORIES

[SET NO. THREE]

on the interested parties in this action by placing: [X] a true and correct copy -OR-[] the original document thereof enclosed in sealed envelopes addressed as follows:

[X] BY PERSONAL SERVICE:

[X] I caused such envelope to be delivered by a process server employed by P.R.O.S. Attorney Service, 339 S. Ardmore, Suite 329, Los Angeles, CA 90020.

[] I delivered said envelope(s) to the offices of the addressee(s), via hand delivery.

Edith R. Matthai

Diana K. Rodgers

Robie & Mattahi, APC

500 S. Grand Avenue, Suite 1500

Los Angeles, CA 90071

ematthai@romalaw.com

drodgers@romalaw.com

drodgers@romalaw.com

Attorneys for Plaintiff/Cross-Defendant

THE BOARD OF REGENTS FOR THE

UNIVERSITY OF TEXAS SYSTEM ON

BEHALF OF THE UNIVERSITY OF

TEXAS AT AUSTIN

[X] BY FEDERAL EXPRESS: I am "readily familiar" with the firm's practice of collection and processing correspondence for Federal Express. Under that practice it would be deposited with Federal Express on that same day with all costs fully prepaid at Los Angeles, California in the ordinary course of business.

David J. Beck, Esq. 1221 McKinney Street, Suite 4500 Houston, Texas 77010	Attorneys for Plaintiff/Cross-Defendant THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN
Eric J.R. Nichols, Esq. BECK, REDDEN & SECREST, L.L.P. 515 Congress Avenue, Suite 1750 Austin, Texas 78701	Attorneys for Plaintiff/Cross-Defendant THE BOARD OF REGENTS FOR THE UNIVERSITY OF TEXAS SYSTEM ON BEHALF OF THE UNIVERSITY OF TEXAS AT AUSTIN

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on November 19, 2013, at Los Angeles, California.

Amber Gurzenski

Diana Rodgers - UT v. O'Neal - Motion in Limine No. 13 and Motion to Preclude Maribel **Avila**

From:

Diana Rodgers

To:

mdsinger@lavelysinger.com; teagan@lavelysinger.com

Date:

11/21/2013 9:29 AM

Subject: UT v. O'Neal - Motion in Limine No. 13 and Motion to Preclude Maribel Avila

CC:

dbeck@beckredden.com: Edith Matthai: enichols@beckredden.com

Dear Marty and Todd.

I am writing to meet and confer with you regarding Maribel Avila's testimony. I called you both earlier this morning but you were out, so I left you both telephone messages about this motion.

We intend to make a motion pursuant to Code of Civil Procedure Section 2030.310 to limit Mr. O'Neal's discovery responses to those filed on or before October 25, 2013 and to preclude Ms. Avila from testifying. Ms. Avila was not identified in those interrogatory responses. It is our position that she should not be permitted to testify. UT did not know of Ms. Avila before she was just identified by Mr. O'Neal. The only information we have regarding Ms. Avila was provided only two days ago in Marty's declaration, in which Marty states that she is a nurse who cared for Ms. Fawcett. The discovery statutes are intended to safeguard against surprise, an inability to prepare for trial and witnesses, and to safeguard against undue prejudice -- all of which would result from MS. Avila's being permitted to testify. (West Hills Hospital v. Sup. Ct. (1979) 98 Cal.App.3d 656, 659 ["the discovery statutes are intended to safeguard against surprise"].)

Mr. O'Neal cannot show substantial justification for this extremely tardy identification of Ms. Avila. Mr. O'Neal claims he had reconciled with Ms. Fawcett after she became ill, and that they were living together, so Mr. O'Neal knew Ms. Avila and knew he should interview her and obtain any information known by her. (See Deyo v. Kilbourne (1978) 84 Cal.App.3d 771, 782, 149 [one "cannot plead ignorance to information which can be obtained from sources under [one's] control"].) This prejudice cannot be cured through further discovery. This case has been pending for over two years and was set for trial a year ago.

Please let me know if you will agree to limit Mr. O'Neal's discovery to responses filed on or before October 25, 2013, and whether you will agree to withdraw Ms. Avila as a witness.

Thank you.

..Diana

Diana K. Rodgers, Esq.

Robie & Matthai

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DECLARATION OF MARTIN D. SINGER

I, Martin D. Singer, declare and say as follows:

- 1. I am an attorney at law duly qualified to practice before the Courts of the State of California and am a member of the firm of Lavely & Singer Professional Corporation, attorneys for Defendant and Cross-Complainant Ryan O'Neal ("O'Neal"). The facts stated herein are stated of my own personal knowledge and if called and sworn as a witness, I could and would testify competently thereto. I submit this declaration in support of O'Neal's Opposition to Plaintiff's Motions in Limine Nos. 6 and 11 Excluding Testimony of Witnesses Not Disclosed in Discovery.
- 2. On November 16, 2012, O'Neal filed his First Amended Witness List with the Court identifying, among others, Alana Stewart, Joy Van Buren, Sandy Gleysteen and Redmond O'Neal. Ms. Stewart and Mr. O'Neal are expected to provide testimony of their observations of the location of the disputed Warhol Portrait at different times between 1980 2009.

 Ms. Van Buren is expected to testify regarding Mr. O'Neal's insurance coverage on the Warhol Portrait in 1991, which she arranged. Ms. Gleysteen was an NBC producer who is expected to testify about UT's key-witness' (Craig Nevius) removal from the "Farrah's Story" documentary in or around 2008/2009 at the request of the network, and Mr. Nevius' resulting vendetta against O'Neal. My office first learned of these witnesses and their availability and willingness to testify about important material facts in November 2012 and immediately identified them to UT. In the year since these witnesses were identified to UT, UT has not sought to initiate any discovery directed toward them.
- 3. In the intervening year since O'Neal filed his First Amended Witness List, and in no small part due to UT's recent aggressive media campaign to try and support their claims in this case and to attack Mr. O'Neal on the "Today" show, on Good Morning America, in the pages of the *New York Post* and through other tabloid media and websites, new witnesses have come forward to offer their testimony regarding the Warhol Portrait. As a result, on October 25, 2013, O'Neal filed his Second Amended Witness List and identified additional witnesses Jaclyn Smith, Jenni Weinman and Dr. Chris Zaferes. Ms. Smith and Ms. Weinman are expected to

testify regarding the location of the Warhol Portrait after 1980. The witnesses will refute UT's contention that the Warhol portrait was always at Ms. Fawcett's home after 1997. My office first learned that these witnesses had relevant information and were willing to testify in October 2013. In fact, Ms. Smith first contacted my office on October 7, 2013, after being phoned by UT's counsel around the same time. As for Ms. Weinman, it was not until on October 21, 2013 that my office first learned that Ms. Weinman was a percipient witness willing to offer testimony. Also on October 21, 2013, my office first learned that Dr. Zaferes had important information about statements made to him by Ms. Fawcett concerning the Warhol Portrait. O'Neal's responses to interrogatories were supplemented accordingly on October 25, 2013, and the Second Amended Witness List was filed.

- 4. Thereafter, on November 14, 2013, after learning of two new witnesses, O'Neal filed his Fourth Amended Witness List identifying Joseph Francaviglia and Maribel Avila. In that regard, on November 13, 2013, Joseph Francaviglia, who is the custodian of records for the auction house Bonhams and Butterfields, first approached me at Court with information concerning UT's handling of the assets of the Fawcett Estate which is pertinent to this action, and indicated his willingness to testify as an individual (in addition to appearing as custodian of records for Bonhams & Butterfields, whose records have been subpoenaed for trial). On November 14, 2013, Maribel Avila, a nurse to Ms. Fawcett, first approached my office with information about statements made by Ms. Fawcett to her concerning the Warhol Portrait after reading about the action in the New York Post within a few days of her reading the article.
- 5. My office has made offers to UT's counsel to make each of these witnesses available for deposition. On November 12, 2013 attorney Todd S. Eagan ("Eagan") of my office sent a letter to Diana Rodgers ("Rodgers"), attorney for UT, representing that O'Neal would make the witnesses available for deposition prior to trial. Attached hereto as Exhibit "A" is a true and correct copy of Mr. Eagan's November 12, 2013 correspondence to Ms. Rodgers. Ms. Rodgers did not respond.
- 6. On November 14, 2013, I approached Edith Matthai ("Matthai"), attorney for UT in Court, and reiterated O'Neal's offer to make each of the witnesses available for deposition. In

doing so, I said to Ms. Matthai that any of the five attorneys representing UT present in the courtroom that day could be available to proceed with the depositions prior to trial if UT wanted to take their depositions. Ms. Matthai did not accept or reject my proposal, nor did she state that the five UT attorneys present in the courtroom were unavailable to proceed with depositions prior to trial. Nor did Ms. Matthai articulate any prejudice faced by UT in connection with calling these witnesses to testify at trial based on the time of the disclosure.

- 7. Also on November 14, 2013, I sent a follow-up letter to Ms. Matthai confirming our conversation at Court, and once again offering to make available any witnesses that UT contended were not identified on the original witness list. Attached hereto as Exhibit "B" is a true and correct copy of my November 14, 2013 correspondence to Ms. Matthai.
- 8. On Saturday night at 11:13 p.m. on November 16, 2013, Ms. Matthai sent me an email (but dated the letter November 15, 2013) in which she formally declined our offer to make the witnesses available in writing. Attached hereto as Exhibit "C" is a true and correct copy of Ms. Matthai's November 16, 2013 email to me. At no time has Ms. Matthai articulated how UT is prejudiced by the timing of disclosure of these witnesses.
- 9. Each of the witnesses which UT contends were not timely disclosed have information concerning key facts relevant to this action. The witnesses were each identified as soon as my office learned that they possessed information regarding material facts and were willing to testify at trial. UT has never demonstrated prejudice by way of a fact-based declaration which would justify the wholesale exclusion of these witnesses, nor has any such representation been made on an informal basis. To ensure a full and fair determination of this action on the merits, I believe that each witness should be permitted to testify. Certainly, UT has the resources to depose the witnesses, but has declined to conduct any discovery regarding the witnesses, including through the depositions which my office offered to coordinate.

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I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed this 19 day of November, 2013, at Los Angeles, California

MARTIN D. SINGER

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