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Vitamin D and autism, an update

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6 **Vitamin D and autism, what's new?**

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17 Short Title: Vitamin D and autism

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19 Abbreviations: 25(OH)D: 25-hydroxyvitamin D; ASD: autism spectrum disorder; CARS:
20 Childhood Autism Rating Scale; calcitriol: 1,25(OH)D₂

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23 Key Words: autism, ASD, prevention of autism, treatment of autism, vitamin D;

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27

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4 **Abstract**
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7 An overwhelming amount of evidence point to the possibility that gestational and
8 early childhood vitamin D deficiencies cause some cases of autism. Vitamin D is
9 metabolized into a seco-steroid hormone that regulates about 3,000 of the
10 26,000 genes in the coding human genome. It is also a neurosteroid that is
11 active in brain development, having effects on cellular proliferation,
12 differentiation, calcium signaling, neurotrophic and neuroprotective actions; it
13 also appears to have an effect on neurotransmission and synaptic
14 plasticity. Children who are, or who are destined to become, autistic have lower
15 25(OH)D levels at 3 months of gestation, at birth and at age 8. Two open label
16 trials found high dose vitamin D helps about 75% of autistic children with about
17 50% of the children having a Childhood Autism Rating Scale (CARS) below 30
18 after treatment. The vitamin D doses used in the first study was 300 IU/KG/day
19 up to a maximum of 5,000 IU/day [highest final level was 45 ng/ml] while the
20 other study used 150,000 IU/month as well as 400 IU/day (highest final level was
21 53 ng/ml, which is in the mid to lower third of the 25(OH)D reference range of 30
22 – 100 ng/ml)]. These two open label trials were recently confirmed with
23 randomized controlled trial used 300 IU/kg/day, which resulted in effects similar
24 to the two open label studies. In terms of prevention, a recent study showed
25 vitamin D supplementation during pregnancy (5,000 IU/day) and during infancy
26 and early childhood (1,000 IU/day) significantly reduced the expected incidence
27 of autism in mothers who already had one autistic child (from 20% to 5%).
28 Evidence based medicine requires practitioners make treatment decisions based
29 on the best science available. Vitamin D is safe, for example, over the last 15
30 years, Poison Control reports there have been approximately 15,000 reported
31 cases of vitamin D overdose. However only three people developed clinical
32 toxicity and no one died. 3,000 people died from acetaminophen overdose during
33 those same years. Given those facts, I suggest practitioners should treat autism
34 with high doses of vitamin D (300 IU/kg/day) and seek to prevent autism by
35 supplementing pregnant women (6,000 IU/day) and infants and young children
36 (150 IU/kg/day). As the American Academy of Pediatrics recommends vitamin D
37 supplementation during childhood, practitioners who do not prescribe vitamin D,
38 leave themselves open to malpractice suits for failure to prevent autism.
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5 **Vitamin D and autism, what's new?** 6

7 **Introduction** 8

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10 An epidemic of autism appears to be underway in the United States, reminiscent
11 of another epidemic that swept Europe 250 years ago, with young children as the
12 almost exclusive victims of its devastating effects.^{1,2} That earlier disease was
13 vitamin D deficient rickets. Until recently it was an almost unheard of condition
14 among children in affluent countries. Now the prevalence of rickets is rapidly
15 growing in the United States as evidenced by findings reported in the *Mayo Clinic*
16 *Proceedings*.³
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20 The other disease that is rapidly growing is Autism Spectrum Disorder [ASD],
21 which is a common neurodevelopmental disorder characterized by impaired
22 communication and repetitive behaviors. It has recently shown a dramatically
23 increased prevalence, (see figure 1) caused by either improved surveillance,
24 diagnostic substitution, over-diagnosis and/or a true increase in prevalence. ASD
25 is now diagnosed in 1 of every 64 American children by the age of eight years
26 according to the CDC. Scientists are desperately searching for something that
27 will both reduce the incidence and effectively treat ASD.
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31 Until now, the cause (s) of most autism was unknown. Multiple genetic and
32 environmental factors have been hypothesized as possible etiologies, but nothing
33 exists to prevent or treat the core symptoms of the disorder, until now. I believe
34 vitamin D deficiency in utero and in early childhood is the cause of a significant
35 percentage of ASD. Vitamin D deficiency was first hypothesized to cause ASD as
36 far back as 2007.⁴ High doses of vitamin D were first proposed to have a
37 significant treatment effect on the core symptoms of autism in 2013.⁵
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41 A few of the possible mechanisms of action that explain vitamin D helping
42 prevent and treat ASD have recently been reviewed.^{6,7} They include reducing
43 risk and severity through anti-inflammatory effects in the brain, enhancing DNA
44 repair mechanisms, anti-autoimmune effects, raising seizure threshold,
45 increasing T-regulatory cells, protecting neural mitochondria and up-regulating
46 glutathione, the master antioxidant, which scavenges oxidative by-products.^{6,8}
47 Another mechanism is through vitamin D's effect on serotonin via direct genetic
48 regulation of serotonin's rate limiting enzymes, both peripheral tryptophan
49 hydroxylase (TPH)1 and central TPH2. Activated vitamin D (a steroid hormone)
50 down-regulates TPH1), while up-regulating TPH2, thus explaining the serotonin
51 paradox in ASD in which peripheral serotonin is increased but central serotonin is
52 decreased.⁹
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57 A resurgence of vitamin D deficiency due to sun avoidance may now be
58 threatening our children's health, as well as that of most adults.^{10,11} a 2015
59 Dutch study of 6100 young children found only 33 % had adequate levels. Even
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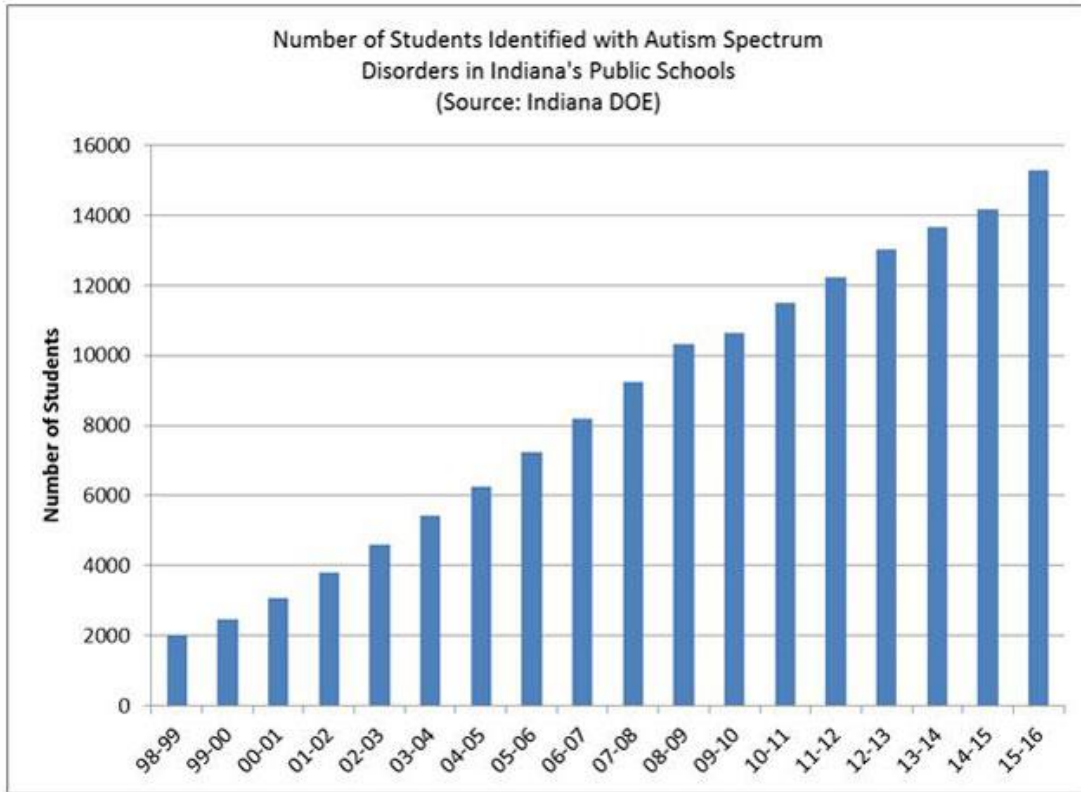
4 among professional basketball players, the prevalence of 25(OH)D < 30 ng/ml is
5 79%.¹² But according to several respected leaders in child and adult nutrition
6 from across the United States, the current increase in autism spectrum disorders
7 (ASD) may well be a direct consequence of significant vitamin D deficiencies in
8 pregnant women as well as their infants and toddlers, as outlined in an extensive
9 and excellent recent review in *Nutrients*.¹³ This insidious deficiency is readily
10 remedied – yet tragically often missed. This review will outline what’s new and
11 examine evidence that vitamin D supplementation, in high enough doses) during
12 pregnancy and/or early childhood will decrease the incidence of autism, and
13 remarkably, show whether high dose vitamin D is an effective treatment for some
14 autistic children.
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18 **ASD**

21 ASD involves poor social and verbal functioning accompanied by repetitive or
22 “stereotyped” behavior.¹⁴ Symptoms begin sometime in early childhood – just
23 where the defects are and what causes them were still unknown, until now
24 though both immune, genetic and environmental factors (air pollution, cloudy
25 weather, seasonal factors, migration of dark-skinned immigrants to poleward
26 latitudes, birth order, gestational diabetes, autoimmune disease in the family and
27 nutrition), seem to play roles.^{15, 16} All of the above risk factors can be explained
28 by vitamin D, for example the mother’s vitamin D levels are surely depleted by
29 multiple pregnancies and lactation; the recurrence risk for familial ASD is 14.4%
30 for an inter-birth interval of 18 months or less, compared with 6.8% for an interval
31 of 4 years or more.¹⁷ Likewise, gestational vitamin D deficiency was associated
32 with a 2.66-fold risk for gestational diabetes.¹⁸
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37 Also, several different comorbidities of ASD,¹⁹ such as seizures and GI problems
38 are treatable with vitamin D.^{20, 21} In fact, until now, practically the only thing we
39 knew for sure was how little we actually know about this puzzling, multi-faceted,
40 and tragic condition, which ranges in severity from very subtle alterations in
41 social behavior to full-blown developmental deterioration and intellectual
42 impairment that may result in placement in long-term care facilities. ASD is a
43 challenging condition to treat.²²
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47 What is undeniable is that there has been a marked increase in the number of
48 children being diagnosed with autism over the past 3 decades,¹³ not just in the
49 U.S. but in most industrialized nations (see Figure below, which show the
50 number of ASD cases in Indiana schools over last 14 years). While some
51 experts argue that this rise is merely due to better detection, I can’t believe
52 parents, pediatricians and schoolteachers of the 1970s missed children with
53 autism since most autism is not a subtle condition. Most agree that some of the
54 increase is real, and probably represents an interaction between genes and
55 something in the environment, something that has changed over the last 30
56 years.
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32 Figure 1. Increase is the prevalence of ASD in Indiana School from 1998 to 2016
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35 What is causing this dramatic rise in autism? Studies show it is more common in
36 urban than rural areas, in cloudy and rainy areas, in areas that get the least solar
37 UVB and in areas with air pollution.⁴ As buildings, buildings, clouds and air
38 pollution all reduce surface UVB, they are all consistent with the vitamin D
39 theory.⁴
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42 As the answers have been elusive, it generated heated controversy among (and
43 between) physician groups, other scientists and many parent groups who cling to
44 the disproved theory that vaccines cause autism. Considerable attention has
45 been given to mercury vaccines and other environmental toxins that cause
46 oxidative stress; but the amount of mercury in childhood vaccines has decreased
47 dramatically as ASD has risen, making this an unlikely culprit. For a detailed
48 review of environment agents that have been associated with ASD, see Sealey
49 et al.²³ Also, a recent study could not find any association between mercury
50 levels during pregnancy and subsequent disorders in the child.²⁴ The same study
51 showed there a difference in mentation of the offspring of fish-eating mothers
52 versus fish-avoiding mothers; fish contains both omega-3 fatty acids and vitamin
53 D.
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57 The "Vitamin D Theory" of ASD 58 59 60 61 62 63 64 65

4 What possible factors involving both genetic and environmental interactions
5 could be responsible? Is there an environmental trigger that interacts with
6 genetic factors that may cause ASD? Such an environmental factor must not only
7 trigger ASD, but also account for some of the dramatic increase in autism rates in
8 the last 30 years. Our genes can't change that fast (although our epigenetics
9 might). While our environment is clearly being altered by multiple substances,
10 few serious scientists suggest that changes in gene function alone could cause
11 the epidemic of brain dysfunction that has taken place in the past 3 decades.
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15 I think the best candidate is one that has both genetic and environmental
16 influences; one that is influenced by both nature and nurture. Our behavior has
17 indeed undergone significant changes – changes that, because of their effects on
18 the vitamin D neurosteroid system, can account for many of the observed facts
19 about the autism epidemic. The most important behavior, is that over the last 30
20 years, Americans are avoiding the sun.
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24 But what has happened in the past 30 years that effects the neurosteroids, which
25 control brain development? To the best of my knowledge, only one neurosteroid
26 has been altered over the last 30 years: calcitriol. In 1970s sunblock was rare,
27 pregnant women were encouraged to sun bathe, mothers did not keep their
28 children inside for fear of a sexual predator and video games were unknown.
29 Now we are sun-phobic, lather sunblock on our kids and often put them in front of
30 a video game to keep them inside and away from strangers. As a result, our
31 relationship with the sun has resulted in decreased 25(OH)D.²⁵ This is further
32 confirmed by a study that found the mean cord blood of 460 infants in the Boston
33 Birth Cohort was only 14 ng/ml.²⁶
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37 The sun phobia and sunblock use is mainly the result of the 1989 American AMA
38 Council on Scientific Affairs report, which counseled Americans against sun
39 exposure, but did not include a word about vitamin D.²⁷ They did not recommend
40 Americans take vitamin D supplements to make up for what the sun was no
41 longer making in the skin. In fact, in 2001, researchers at the Centers for Disease
42 Control reported, "In summary, protection from sun exposure is reported for a
43 high proportion of children."²⁸ That is, the sun scare has worked. Couple this with
44 our movement from playing outside to television and video games, and the fear
45 modern mothers have to let their child roam around the neighborhood, and you
46 have the "perfect storm" for the development of deficiency in vitamin D in early
47 childhood.²⁹
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52 In a mostly white cohort in Iowa, 70% of 4 month-old breastfed infants had
53 25(OH)D <12 ng/ml (range 30-100 ng/ml).³⁰ The prevalence of low vitamin D was
54 50% in summer and 79% in the winter. Fifty-seven percent of infants who were
55 followed for six months still had vitamin D deficiency. In another study from
56 Cincinnati, 18% of exclusively breastfed infants aged 1 month had vitamin D
57 levels <10 ng/ml; 76% of the infants and 17% of their mothers had serum
58 25(OH)D <20 ng/ml.³¹
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5 There is a lot of controversy about what 25(OH)D levels are ideal, with the 2010
6 Food and Nutrition Board stating 20 ng/ml is adequate. The Endocrine Society
7 says levels of 40 – 60 ng/ml are ideal. In the past, adequate vitamin D levels
8 have been defined with a biomarker, parathyroid hormone, which tends to be
9 elevated when 25(OH)D levels are low. However, I think there is a better
10 biomarker, the 25(OH)D levels lactating mothers need to provide adequate
11 amounts of D to their suckling infants. The fact that most human breast milk has
12 little to negligible vitamin D is probably a function of most mothers being vitamin
13 D deficient themselves. The problem is so widespread, Danish authors, after
14 studying the D content of breast milk, recently concluded, “exclusively breastfed
15 infants received <20% of the daily dose recommended of vitamin D
16 recommended by the Institute of Medicine for infants during the first year of
17 life.”³²
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22 Levels of vitamin D in most people in modern industrialized countries are known
23 to be much lower than those of fully sun-exposed individuals.³³ Thus, our
24 *behavior* has had the paradoxical and unintended consequence of reducing our
25 children’s levels of a vital neurosteroid hormone that, in turn, can influence the
26 very organ of behavior itself, the brain.⁴
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30 What’s the evidence to support the vitamin D deficiency theory? A recent (2016)
31 35 page review provides a substantial and cogent evidence base, that vitamin D
32 is involved with autism starting with the characteristics of the vitamin D
33 neurosteroid system itself.¹³ Calcitriol acts as a "molecular switch" in brain
34 tissue, turning on genes that influence brain development. In fact, vitamin D
35 helps regulate about 3% of the genes in the 26,000 genes in the coding human
36 genome.³⁴ But unlike any other vitamin system, the bulk of human vitamin D
37 stores (80% or more) have historically come, not from oral intake, but from skin
38 production via sunlight.
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42 As I wrote in 2008, “Large populations of pregnant women putting small amounts
43 of vitamin D in their mouths – in the form of prenatal vitamins – instead of
44 generating large amounts in their skins, is novel to human brain development.”⁴
45 Since we no longer receive as much sun exposure as we did 3-4 decades ago,
46 we need to pay closer attention to how much vitamin D we do get through our
47 mouths. 25(OH)D levels have been falling³⁵ as sun protection for children has
48 been increasing.³⁶
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52 The case for high dose oral supplementation (5,000 IU/day) for healthy people is
53 made when one considers that skin production of vitamin D is so remarkable and
54 robust. In fact, just 20 minutes of summer sunbathing (10 minutes on each side)
55 at solar noon by a fair-skinned adult, produces about 20,000 units of vitamin D
56 within 24 hours.³⁷ To get the same amount orally, a pregnant woman would
57 have to drink 200 glasses of milk at 100 IU per glass (and risk fluid intoxication)
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4 or take 50 standard prenatal multivitamins, which contain 400 IU per tablet, (and
5 risk vitamin A intoxication) to receive the same input as the sun.
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8 Most Americans have assiduously avoided sun exposure for the past 30 years,
9 dutifully following AMA guidelines. Over the years more and more children are
10 avoiding the sun, holed up in the basement playing video games and have
11 sunblock lathered on them when and if they go outside. It is precisely during that
12 same 30-year period that we've seen the rapid rise in autism rates, though
13 thousands of other environmental changes occurred during this same time and
14 such associations, on their own, mean little.
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18 Though most people (sadly including many physicians) still associate vitamin D
19 deficiency only with bone disease, we now understand that the seco-steroid
20 downstream metabolite of vitamin D, 1,25 (OH)₂D (calcitriol), is a neurosteroid
21 hormone, directly responsible for many elements in brain development and
22 behavior. Other examples of neurosteroids include estrogen, testosterone and
23 cortisol, which have effects on many organs and also affect human behaviors.
24 Orally ingested vitamin D is actually a "pre-hormone," which must be metabolized
25 by the liver into 25-hydroxy vitamin D [25(OH)D], which then forms calcitriol, the
26 potent neurosteroid that helps control brain cell growth, and acts on vitamin D
27 receptor molecules found in most brain cells from the very first days of embryo
28 formation. For example, Huang et al, recently wrote "We suggest that calcitriol
29 can be used to alleviate neuro-inflammation in various brain injuries." ³⁸
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34 Because of these potent effects, researchers in 2001 labeled vitamin D the
35 "neglected neurosteroid" and concluded that vitamin D deficiency "should be
36 examined in more detail as a candidate risk factor" for neurodevelopmental
37 disorders such as autism. ³⁹ Researchers have also opined that vitamin D, acting
38 as a neurosteroid, offers "neuroprotection, antiepileptic effects,
39 immunomodulation, (impact on) several brain neurotransmitter systems and
40 hormones as well as regulation of behaviors", stressing "the importance of
41 prenatal, neonatal and postnatal vitamin D supplementation for normal brain
42 functioning." ⁴⁰
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46 But there are plenty of additional persuasive arguments supporting the vitamin D
47 theory of autism. The calcitriol neurosteroid hormone system is different from all
48 the body's other steroid hormone systems. While other steroid hormones are
49 produced directly from the body's own natural store of "precursor" compounds,
50 such as endogenous cholesterol, the amount of calcitriol produced is completely
51 dependent on 25(OH)D availability, which in turn entirely depends on our
52 behavior. No other steroid hormone is so dependent on human behavior.
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56 So human behavior, be it the step into the sun, the step to the supplements, the
57 step into the shade, or the step to the sunscreen, directly determine brain
58 calcitriol levels. In the case of the human fetus, as we're about to see, the
59 vitamin D neurosteroid calcitriol is directly linked to very early cognitive
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4 development; its presence has tremendous implications for the developing baby's
5 brain.
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7 **Genetics** 8 9

10 Genetic factors in ASD are very important, as demonstrated by high rates of
11 occurrence in other family members, particularly in twin studies. Today's
12 consensus, such as it is, posits that a genetic and environmental interaction is
13 causing ASD. For a recent review of the genetics of ASD, see Shailesh et al.⁴¹
14 What is not generally known is that a meta-analysis of 11 studies found children
15 with ASD have much lower 25(OH)D levels than did controls.⁴² That these
16 differences are important is supported by the fact that 1st trimester mothers of
17 autistic individuals also have lower 25(OH)D and that autistic individuals have
18 significantly lower 25(OH)D at birth (both discussed in detail below).
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22 A recent study showed ASD is strongly associated with polymorphisms of the
23 genes that code for the vitamin D receptor, which are associated with both lower
24 vitamin D levels and ASD.⁴³ Others have found that a number of polymorphisms
25 of the genes of vitamin D's metabolic pathway are associated with ASD (odds
26 ratio up to 6).⁴⁴ Common vitamin D metabolic polymorphisms predict significantly
27 lower vitamin D levels in healthy Danish children and adults.⁴⁵
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31 Also, in a study of siblings who were discordant for ASD, researchers found that
32 the ASD siblings had lower 25(OH)D levels at birth than the unaffected siblings,
33 showing ASD individuals are born with significantly lower vitamin D levels.⁴⁶
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36 Very recently, researchers in China found the lowest quartile of 25(OH)D levels
37 of women in their first trimester were associated with a fourfold risk of ASD in the
38 subsequent offspring.⁴⁷ In the same study, higher levels of 25(OH)D were
39 associated with decreasing severity of ASD (R=-0.302, P = 0.001).
40 Maternal 25(OH)D in the lower 3 quartiles (1, 2, 3) compared to the highest
41 quartile (4) was associated with increased odds of ASD diagnosis
42 in offspring: [Odds Ratio (OR), Q1: OR = 3.99, (P=0.001); Q2: OR = 2.68,
43 (P=0.006); Q3: OR = 1.36, (P=0.25)].
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47 The above studies imply that at least some of the genes that code for vitamin D's
48 metabolic pathways interact with vitamin D in the environment to influence the
49 ASD phenotype. Ambient vitamin D overcomes genetic influences as evidenced
50 by a study of 510 Vietnamese twins.⁴⁸ In this study, the heritability of 25(OH)D
51 was found to be 70% in the winter (other studies have found a lower heritability)
52 but during the summer 25(OH)D became 100% environmentally determined. As
53 the authors said, "Serum 25(OH)D concentrations are highly heritable during the
54 winter season only. In the summer, environmental conditions (e.g., sun
55 exposure) prevail over genetic backgrounds in determining serum
56 25(OH)D concentrations."
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4 It appears likely that vitamin D is both the long sought environmental and genetic
5 factor that interacts to determine the ASD phenotype. If one is born with the
6 genetic tendency for ASD, that tendency may interact with environmentally or
7 genetically controlled low 25(OH)D, as the above studies imply. The result of
8 such inheritance is low 25(OH)D, starting in the first trimester, continuing at birth
9 and early childhood, as shown by the decreased 25(OH)D levels in ASD children.
10 However, the studies below imply that adequate amounts of vitamin D during
11 gestation (6,000 IU/day) and early childhood (100 IU/lb/day) will prevent most
12 ASD by overcoming the significant heritability of 25(OH)D levels.
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15 **Xenobiotics and CYP3A4**

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17 Examples of xenobiotics include drugs, pesticides, cosmetics, flavorings,
18 fragrances, food additives, industrial chemicals and environmental pollutants.
19 Humans are exposed to thousands of xenobiotics in their lifetimes. And there are
20 numerous toxins associated with autism; for a review see Lanphear et al.⁴⁹
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23 What about the apparent importance of xenobiotics causing ASD? For example,
24 does air pollution cause autism? Does the vitamin D theory explain the multiple
25 studies showing air pollution is associated with autism?^{50, 51, 52} Air pollution is
26 now known to dramatically reduce vitamin D produced from the UVB in sunlight.
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49 So how does your body rid itself of xenobiotics, which include toxins? Often via
50 the CYP3A4 system, a cytochrome P450 enzyme. CYP3A4 is mainly involved in
51 cellular detoxification and it is directly genetically upregulated by vitamin D.⁵⁶ So,
52 vitamin D activates the CYP3A4 detoxification process but vitamin D deficient
53 autistic children cannot fully upregulate the gene and thus their brain is at risk
54 from xenobiotics. Likewise, the body's master antioxidant, glutathione, is also
55 upregulated by vitamin D.⁵⁷
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58 **Immune function**

59

60 Autistic individuals have abnormalities in immune functions similar to those
61 affected by vitamin D, such as increased inflammatory cytokine levels.⁵⁸ And we
62 know that much of the ongoing inflammation in autistic brains is the result of
63 oxidative stress,^{59, 60} just where vitamin D's powerful anti-inflammatory
64 properties are most useful (and most critical).⁶¹ Regardless of the cause of the
65 autoimmune inflammatory state, vitamin D supplementation of the infants and
children is very likely to help. Vitamin D up-regulates production of dendritic
(peacemaker) lymphocytes that reduce the intensity of autoimmune attack by up-
regulating interleukin-10, an anti-inflammatory cytokine.⁶²

5 Calcitriol protects brain tissue by reducing inflammatory cytokine levels,⁶³ which,
6 when elevated, are strongly associated with cognitive impairment in ASD.⁶⁴
7 Calcitriol also protects brain tissue by stimulating production of neurotrophins,
8 chemicals that combat toxicity from a number of sources, including toxic levels of
9 intracellular calcium.⁶⁵ Very recently, a RCT found vitamin D (4,000 IU/day)
10 significantly increased total antioxidant capacity and total glutathione levels in
11 pregnant diabetic women ($P < 0.01$).⁶⁶ See Figure 1.
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15 **(I will send you the graph that goes here)**

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18 Figure 1. Effect of different doses of vitamin D supplementation on oxidative
19 stress, as assessed by (A) total antioxidant capacity and (B) glutathione levels, in
20 patients with gestational diabetes mellitus. Data are presented as mean \pm
21 standard deviation. ** $P < 0.01$ vs. control.
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24 **The five/one male/female ration in ASD**

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27 The fact that vitamin D metabolism differs markedly under the effects of the sex
28 hormones may go a long way towards explaining yet another puzzling fact about
29 autism, namely its strong predilection for boys over girls. For example,
30 researchers in Sweden have shown that estrogen has effects on developing
31 brain tissue that serve to make it more responsive to the neuro-hormonal growth-
32 stimulating effects of calcitriol – results which suggest that estrogen can enhance
33 the beneficial effects of vitamin D on the brain.⁶⁷ Injection of estrogen in quail
34 resulted in significant increases of calcitriol.⁶⁸ Women taking estrogen have 20%
35 higher 25(OH)D as do controls.⁶⁹ At the same time testosterone significantly
36 inhibits CYP27B1 (gene that activates vitamin D) while stimulating CYP24A1
37 (gene that degrades calcitriol) expression in cultured trophoblasts.⁷⁰
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41 Though complex, these studies do support the notion that the developing brain of
42 a female fetus, with its higher estrogen levels, could make more efficient use of
43 available vitamin D than would the brain of a male fetus, with its higher
44 testosterone levels. In a situation where there was plenty of vitamin D present,
45 such differences would go unnoticed - but introduce the all-too-prevalent
46 maternal and early childhood vitamin D deficiency states, and the stage is set for
47 ASD in boys but a lower incidence in girls, which is of course precisely the
48 situation we see with autism's gender discrimination.
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52 **Exposure to UV Light – Another Clue?**

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56 We know that certain brain diseases, such as multiple sclerosis, are much more
57 common in high latitudes where sunlight is scarce, and many scientists suspect
58 that those conditions are directly related to chronic or seasonal vitamin D
59 deficiencies. Significant positive association between latitude and the prevalence
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4 of autism has recently been reported.¹³ A 2013 study confirmed that children who
5 live in low UVB light have almost three times the prevalence of ASD compared to
6 children who live in sunny areas.⁷¹
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9 One might expect that babies born in late winter would have higher rates of
10 autism if vitamin D deficiency were involved, since their mothers would have
11 spent most of their pregnancies in fairly low-sunlight settings. One detailed
12 review of this topic concluded most studies find a late winter increase in autism
13 births.¹³
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16 If adequate amounts of vitamin D prevent autism, one would expect children with
17 rickets to have an increased risk of autism. At least two old papers have
18 addressed it,^{72, 73} both published before Kanner described autism in 1943. Both
19 papers describe “weak mindedness,” “feeble minds,” “mental dullness,” “odd
20 introverted behavior,” unresponsiveness and developmental delays.⁷⁴ Even more
21 intriguing, both papers report that the mental condition in rachitic children
22 improved with vitamin D. More recently, a 2015 study of 35 rachitic children
23 found 25% of the rachitic children also had autism as detected by autism ratings
24 scales.⁷⁵
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27 **Interventional studies**

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31 A recent propionic acid induced toxic rat model of ASD reported that vitamin D, in
32 amounts comparative to high-dose human ones, exerted both a protective and
33 treatment effect, with the protective effect more robust than the treatment
34 effect.⁷⁶
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37 A case report in *Pediatrics* described a child with both rickets and autism.
38 Remarkably, his autism essentially went away after his rickets was treated with
39 150,000 IU/month as well as 400 IU/day.⁷⁷ A 3-month Egyptian study of 122
40 subjects with ASD, found serum 25(OH)D levels were inversely correlated with
41 severity on the Childhood Autism Rating Scale (CARS) with (R=0.5 and
42 p<0.001).⁷⁸ An open label trial of high-dose vitamin D (300 IU/kg/day up to a
43 maximum of 5,000 IU/day) in 83 of those 122 subjects with ASD found significant
44 clinical improvement (mean CARS went from 37 to 30). Approximately 75% of
45 the 83 supplemented ASD children improved (P<0.05) with no evidence of
46 toxicity. In fact, the highest 25(OH)D level in these children after 3 months of 300
47 IU/kg/day was 45 ng/ml (range 30-100 ng/ml). The five ASD children whose final
48 25(OH)D was > 40 ng/ml had the most robust improvement on the CARS.
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53 Another open label study of 37 children aged 3-11 years with ASD were treated
54 for 3 months with large bolus doses (150,000 IU/month given intramuscularly)
55 together with 400 IU/day by mouth. They found significant vitamin D treatment
56 effects in ASD on standardized rating scales, again with no evidence of toxicity.⁷⁹
57 The mean baseline level of the treatment group was 21 ng/ml. After three (3)
58 months of high dose vitamin D, their mean 25(OH)D was 41 ng/ml with the
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4 highest level at 55 ng/ml. After three (3) months of treatment, significant
5 improvement were found on the Autism Behavior Checklist (P= 0.038) and the
6 CARS (P=0.016).
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9 Yet another open label trial of 11 children with ASD studied changes in
10 neurotropic factors as well as changes in the Autism Behavior Checklist after
11 administration of varying amounts of vitamin D. Only small doses of vitamin D
12 were given and 25(OH)D >20 ng/ml was considered adequate. Nonetheless, a
13 significant treatment effect on standardized scales was found.⁸⁰
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16 The first and only randomized controlled trial (RCT) of 109 ASD children ages 3-
17 10 by an Egyptian group using 300 IU/kg/day up to a max of 5,000 IU/day has
18 been accepted for publication (*Journal of Psychiatry and Psychology*). In this
19 study all autistic children with 25(OH)D < 20 ng/ml were excluded from the study
20 for ethical reasons and treated with vitamin D. Baseline 25(OH)D of the
21 remaining 109 study children (mean age 5.4 years) was around 27 ng/ml in both
22 arms of the study (levels the FNB says is adequate). After the 4-month study,
23 mean 25(OH)D in the treatment group was 47 ng/ml and was unchanged in the
24 placebo arm. The highest 25(OH)D obtained during this “high dose” treatment
25 was 55 ng/ml, range: (30-100 ng/ml). In a per protocol analysis, the total CARS
26 scores significantly decreased (improved) in the vitamin D group while the
27 placebo group remained unchanged (mean treatment CARS ± SD; 30.3 ± 6.1,
28 versus placebo 36.4 ± 6.0; p=<0.001 respectfully), again with no evidence of
29 toxicity.⁸¹ Approximately 50% of the children in the treatment arm no longer met
30 the ASD diagnosis on the CARS. Younger children responded better than older
31 children.
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37 In terms of prevention, an open label study of 20 infants born to mothers who
38 already had one child with ASD, found 5,000 IU/day of vitamin D given to the
39 pregnant mothers and 1,000 IU/day to the resultant child up to the age of 3 years
40 reduced subsequent ASD incidence to 5% instead of the 20% rate consistently
41 reported in the literature for mothers who already had one or more autistic
42 children.⁸² The two children (5%) who developed autism in spite of the treatment
43 had only one of two autism scales positive, and may have responded to higher
44 doses during childhood. For example, the 300 IU/kg/day dose, as used above in
45 the interventional studies, would be 4,500 IU/day for a 15 kg 3-year-old.
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49 **Summary**

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51 There seems to be little doubt that some of the epidemic of autism is real, and
52 not just a fluke of over-reporting and over-diagnosis by anxious parents and
53 physicians. There’s equal certainty that we also face an epidemic of vitamin D
54 deficiency as we steadily move away from old ways that exposed us to more
55 vitamin D producing sunlight. The vitamin D theory of autism has significant
56 support. Randomized controlled trials are currently underway (one using a stock
57 dose of only 2,000 IU/day, a dose that will not get the children’s 25(OH)D into the
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4 40s and 50s where (in my experience) a treatment effect may be more likely.
5 While we are awaiting those results, however, it seems prudent to maximize
6 vitamin D status in pregnant women, infants and young children, aiming for levels
7 found in humans living in a sun-rich environment,⁸³ which are between 40-60
8 ng/ml, which is mid-range of 25(OH)D's reference range (30 – 100 ng/ml).
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11 In order to prevent autism, I believe pregnant and lactating women should take
12 6,000 IU/day.^{84, 85} Children, from infants at the breast to 3-year old toddlers to 6-
13 year-old first graders, should take 100 IU/pound/day not to exceed 5,000 IU/day
14 unless regular 25(OH)D levels are obtained. This means that in order to prevent
15 autism, a 20-pound child should take 2,000 IU/day and a nursing infant about
16 1,000 IU/day. If the lactating mother is taking 6,000 IU/day, the infant will get all
17 the vitamin D it needs from their mother's milk but the infant should take 1,000
18 IU/day after weaning. We know these preventative doses are safe from the
19 above controlled trials which used twice the dose, but only obtaining low to
20 middle 25(OH)D levels.
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25 To treat autism with vitamin D, it would seem wise to choose a dose we know
26 works, that being 300 IU/kg/day with a maximum of 5,000 IU/day. As the open
27 study above reports, only 5 children exceeded levels of 40 ng/ml at the end of the
28 study, and the authors noted that those 5 children responded best to treatment.
29 Even the unusual dosage regimen used in China to treat autism (1.5 million
30 IU/month delivered intramuscularly combined with 400 IU/day for 3 months) did
31 not result in toxicity, with the highest 25(OH)D level at 52 ng/ml.
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35 The American Academy of Pediatrics (AAP) recommends all healthy infants up to
36 the age of one year take 400 IU/day (10 mcg) of vitamin D and 600 IU/day (15
37 mcg) after the first birthday. These doses low but may help prevent some ASD.
38 However, research has shown that about 70% of American toddlers do not take
39 any vitamin D at all, in spite of the AAP recommendation.⁸⁶ Also, only 20% of
40 American infants get the 400 IU/day the AAP recommends.⁸⁷ It is unknown if
41 parents simply ignore the pediatricians advice or if pediatricians are forgetting to
42 recommend vitamin D supplements for infants and toddlers.
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46 Pediatricians who fail to recommend supplementation may open themselves up
47 to malpractice suits. An autistic child would make a very sympathetic plaintiff, and
48 the mean lifetime costs for a child with autism is about 2.4 million dollars ⁸⁸ (not
49 including non-economic damages), a sum that will attract plaintiff (malpractice)
50 attorneys. Also, it would be wise not to rely on "standard of care" to save you.
51 What other physicians are doing or saying is "standard care." "Standard of care"
52 is what a reasonable physician should have done, based on the latest scientific
53 literature.
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8 **Compliance with Ethical Standards**
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10 Funding: none
11

12 Conflict of interest: JJC is president of the non-profit Vitamin D Council; he receives
13 remuneration from Purity.
14

15 No humans or animals were used in this study.
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18 This article does not contain any studies with human participants performed by any of the
19 authors.
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