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## FINANCIAL POISE WEBINARS **LEGAL ETHICS – BEST PRACTICES 2017**

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### SERIES OVERVIEW

Corporate scandals make the headlines periodically, but businesses and the lawyers that work with them face ethical challenges every day, even in situations that are legally compliant. This webinar series examines ethical issues confronted by businesses in a variety of contexts, from so called “grey areas” to those involving outright corruption. The panels consider and recommend different approaches to ethical decision-making and the lawyer's role in advising business clients. Each episode is delivered in Plain English understandable to business owners and executives without much background in these areas. Yet, each episode is proven to be valuable to seasoned professionals. As with all Financial Poise Webinars, each episode in the series brings you into engaging, sometimes humorous, conversations designed to entertain as it teaches. And, as with all Financial Poise Webinars, each episode in the series is designed to be viewed independently of the other episodes, so that participants will enhance their knowledge of this area whether they attend one, some, or all of the episodes.

### EPISODE SUMMARIES

#### **EPISODE #1**

**Best Practice Regarding Technology**  
**September 27, 2017 at 1pm CST**

Technology is rapidly changing the way that lawyers and businesses interact (e.g. email; data rooms). This creates new and different challenges to confidentiality, attorney-client privilege and the creation of a detailed record of negotiations and interactions. This webinar will describe the problems and some solutions that arise out of the changing manner and pace of business law practice.



**EPISODE #2**

**Hot Off the Press- Recent Cases & Decisions  
October 25, 2017 at 2pm CST**

This webinar is for the lawyer- or anyone else- who wants to brush up on legal ethics in the business context. The panelists discuss recent and important case law in the area and explain how those decisions can have real word impact on the situations you may be involved in. Among others, the following ethical model rules are discussed: Rule 1.2-Scope of Representation and Allocation of Authority Between Client and Lawyer; Rule 1.7-Conflict of Interest: Current Clients; Rule 1.8-Conflict of Interest: Current Clients: Specific Rules; Rule 1.9-Duties to Former Client; and Rule 1.13-Organization as Client

**EPISODE #3**

**How to Avoid Malpractice & Disciplinary Actions - General DOs and DON'Ts  
November 15, 2017 at 2pm CST**

This webinar presents basic practice pointers to avoid committing malpractice and disciplinary actions, and how to respond to claims of malpractice or unethical behavior. The panel also discusses the role that malpractice insurance plays in these situations and the ramifications of a malpractice judgment or disciplinary action. Model Rules discussed include: (a) those that govern the client-lawyer relationship (Rule 1.1 through 1.10; 1.13; and 1.16); (b) those tha that speak to transactions with persons other than clients (Rule 4.1 through 4.4); Rules 5.1 through 5.5 (dealing with respective responsibilities of a partners/supervisory lawyers, subordinate lawyers, non-lawyer assistance, independence, unauthorized practice of law, and multijurisdictional practice of law); and communication, including advertising and solicitation of clients (Rules 7.1 through 7.5).